



# CENTRAL HEALTH

## **Our Vision**

Central Texas is a model healthy community.

## **Our Mission**

By caring for those who need it most, Central Health improves the health of our community.

## **Our Values**

Central Health will achieve excellence through:

*Stewardship* - We maintain public trust through fiscal discipline and open and transparent communication.

*Innovation* - We create solutions to improve healthcare access.

*Right by All* - By being open, anti-racist, equity-minded, and respectful in discourse, we honor those around us and do right by all people.

*Collaboration* - We partner with others to improve the health of our community.

## **BOARD OF MANAGERS MEETING Wednesday, February 23, 2022, 5:00 p.m. or immediately following the Executive Committee Meeting**

### **Videoconference meeting<sup>1</sup>**

### **A quorum of the Board and the presiding officer will be present at:**

Austin Independent School District  
4000 S I-35 Frontage Rd.  
Austin, TX 78704

Members of the public may attend the meeting at the address above, or observe and participate in the meeting by connecting to the Ring Central meeting link listed below (copy and paste into your web browser):

<https://meetings.ringcentral.com/j/1486651613?pwd=Q2RaQTFwTDg0UTFsS2l3L3NZdmQzZz09>

Password: 521648

Members of the public may also listen and participate by telephone at:

Dial: (888) 501-0031

Meeting ID: 148 665 1613

Effective September 1, 2021, Governor Abbott rescinded emergency waivers allowing Open Meetings to be conducted virtually. To reduce the possibility of COVID-19 infection as a result of attendance at in-person meetings, the Board will meet via videoconference with a quorum present in person and allow public participation via videoconference and telephone as allowed under the Open Meetings Act. Although a quorum of the Central Health Board will be physically present at the location posted in the meeting notice, we strongly encourage all members of the public to observe the meeting virtually and participate in public comment, if desired, through the virtual meeting link or telephone number listed on each meeting notice.

Members of the public who attend in person should conduct a self-assessment before coming to the building to ensure they do not have a high temperature or any symptoms of COVID-19. Anyone who is symptomatic and/or has a fever should contact their healthcare provider for further instructions. Symptomatic members of the public can still participate, if desired, through the virtual meeting link or telephone number listed on each meeting notice. Masks are required inside AISD

facilities and individuals should maintain proper social distancing from others. Resources related to COVID-19 can be found at the following link <https://www.austintexas.gov/covid19>.

A member of the public who wishes to make comments during Public Communication for the Board of Managers meeting or the Executive Committee meeting must properly register with Central Health ***no later than 3:30 p.m. on February 23, 2022***. Registration can be completed in one of three ways:

- Complete the virtual sign-in form at <https://www.centralhealth.net/meeting-sign-up/>;
- Call 512-978-9190. Please leave a voice message with your full name and your request to comment via telephone at the meeting; with the name of the meeting at which you wish to speak; or
- Sign-in at the front desk on the day of the meeting, prior to the start of the meeting.

Individuals who register to speak on the website or by telephone will receive a confirmation email and/or phone call by staff with instructions on how to join the meeting and participate in public communication.

## **PUBLIC COMMUNICATION**

Public Communication rules for Central Health Board and Committee meetings include setting a fixed amount of time per person to speak and limiting Board responses to public inquiries, if any, to statements of specific factual information or existing policy.

## **CONSENT AGENDA**

All matters listed under the CONSENT AGENDA will be considered by the Board of Managers to be routine and will be enacted by one motion. There will be no separate discussion of these items unless members of the Board request specific items be moved from the CONSENT AGENDA to the REGULAR AGENDA for discussion prior to the vote on the motion to adopt the CONSENT AGENDA.

- C1. Approve the minutes of the Central Health Board of Managers January 26, 2022 meeting.
- C2. Receive and ratify Central Health Investments for January 2022.

## **REGULAR AGENDA<sup>2</sup>**

1. Review and adopt the proposed Equity-Focused Service Delivery Strategic Plan. (*Action item*)
2. Receive the fiscal year-to-date healthcare service expenditures made by, and accept the preliminary January 2022 financial statements for, Central Health and the Community Care Collaborative. (*Informational item*)
3. Receive training on open government statutes. (*Informational Item*)

4. Discuss Board compliance policies and procedures, including:
  - a. CMP-001 Compliance Program Description Policy
  - b. CMP-0010 Code of Conduct and Ethics for Board Members Policy
  - c. CMP-006 Reporting and Non-Retaliation Policy
  - d. CMP-009 Duality and Conflict of Interest Policy
  - e. CMP-009p Duality and Conflict of Interest Procedures (*Informational Item*)
5. Receive and discuss an update regarding Sendero Health Plans, Inc., including an update on enrollment for 2022.<sup>3,4</sup> (*Informational Item*)
6. Receive and discuss updates on the 1115 Medicaid Waiver, Delivery System Reform Incentive Payment (DSRIP) program and associated projects, the Community Care Collaborative, and other healthcare delivery partners, programs, projects, and arrangements, including agreements with Ascension Texas.<sup>3</sup> (*Informational Item*)
7. Confirm the next regular Board meeting date, time, and location. (*Informational Item*)

Notes:

- <sup>1</sup> Although emergency orders allowing for fully virtual meetings have expired, the Travis County area continues to have a high COVID-19 infection rate. This meeting may include one or more members of the Board of Managers participating by videoconference. It is the intent of the presiding officer to be physically present and preside over the meeting at Austin Independent School District headquarters, 4000 S I-35 Frontage Rd, Austin, TX 78704. This meeting location will be open to the public during the open portions of the meeting, and any member participating by videoconference shall be both visible and audible to the public whenever the member is speaking. **Members of the public are strongly encouraged to participate remotely through the toll-free videoconference link or telephone number provided.**
- <sup>2</sup> The Board of Managers may take items in an order that differs from the posted order and may consider any item posted on the agenda in a closed session if the item involves issues that require consideration in a closed session and the Board announces that the item will be considered during a closed session.
- <sup>3</sup> Possible closed session discussion under Texas Government Code §551.071 (Consultation with Attorney)
- <sup>4</sup> Possible closed session discussion under Texas Government Code §551.085 (Governing Board of Certain Providers of Health Care Services)

A recording of this meeting will be made available to the public through the Central Health website ([www.centralhealth.net](http://www.centralhealth.net)) as soon as possible after the meeting.

Any individual with a disability who plans to attend this meeting and requires auxiliary aids or services should notify Central Health at least two days in advance, so that appropriate arrangements can be made. Notice should be given to the Board Governance Manager by telephone at (512) 978-8049.

Cualquier persona con una discapacidad que planea asistir o ver esta reunión y requiera ayudas o servicios auxiliares debe notificar a Central Health con la mayor anticipación posible de la reunión, pero no menos de dos días de anticipación, para que se puedan hacer los arreglos apropiados. Se debe notificar al Gerente de Gobierno de la Junta por teléfono al (512) 978-8049.

Consecutive interpretation services from Spanish to English are available during Public Communication or when public comment is invited. Please notify the Board Governance Manager by telephone at (512) 978-8049 if services are needed.

Servicios de interpretación consecutiva del español al inglés están disponibles durante la Comunicación Pública o cuando se le invita al público a comentar. Notifique al Gerente de Gobierno de la Junta por teléfono al (512) 978-8049 si necesita servicios.



CENTRAL HEALTH

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**Our Mission**

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*Respect* - We honor our relationship with those we serve and those with whom we work.

*Collaboration* - We partner with others to improve the health of our community.

**BOARD MEETING**

**February 23, 2022**

**AGENDA ITEM C1**

Approve the minutes of the Central Health Board of Managers January 26, 2022 meeting.

MINUTES OF MEETING – JANUARY 26, 2022  
CENTRAL HEALTH  
BOARD OF MANAGERS

On Monday, January 26, 2022, a meeting of the Central Health Board of Managers convened in open session at 5:01 p.m. remotely by toll-free videoconference. Clerk for the meeting was Briana Yanes.

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**Board members present at Austin Independent School District:** Chairperson Bell, Vice Chairperson Brinson, Manager Greenberg, Manager Motwani, and Manager Museitif.

**Board members present via video and audio:** Manager Jones and Manager Valadez (arrived at 5:10)

**Absent:** Manager Zuniga and Manager Zamora

**PUBLIC COMMUNICATION**

**Clerk’s Notes:** Public Communication began at 5:03 p.m. Yesenia Ramos introduced two speakers for Public Communication.

Members of the Board heard from: Paul Saldana and Mike Geeslin

**CONSENT AGENDA**

- C1. Approve the minutes of the Central Health Board of Managers December 20, 2021 meeting.**
- C2. Receive and ratify Central Health Investments for December 2021.**
- C3. Receive the fiscal year-to-date healthcare service expenditures made by, and accept the preliminary December 2021 financial statements for, Central Health and the Community Care Collaborative.**
- C4. Receive and take appropriate action on a merit increase in the renewed contract between Central Health and the President and Chief Executive Officer of Sendero Health Plans, Inc. as recommended by the Sendero Board of Directions.**
- C5. Approve a resolution honoring Susan Lara Willars for her seven and a half years of service as Enterprise VP of Human Resources.**

**Clerk’s Notes:** Discussion on this item began at 5:09 p.m.

Manager Greenberg moved that the Board approve Consent Agenda Items C1 through C5.

Manager Museitif seconded the motion.

Chairperson Charles Bell	For
Vice Chairperson Cynthia Brinson	For
Treasurer Julie Zuniga	Absent
Secretary Cynthia Valadez	Absent
Manager Sherri Greenberg	For
Manager Shannon Jones	For
Manager Amit Motwani	For
Manager Maram Museitif	For
Manager Guadalupe Zamora	Absent

## REGULAR AGENDA

At 5:10 p.m. Manager Museitif moved that the Board of Managers limit debate on all items on the agenda to three minutes per member per item.

Manager Jones seconded the motion.

Chairperson Charles Bell	For
Vice Chairperson Cynthia Brinson	For
Treasurer Julie Zuniga	Absent
Secretary Cynthia Valadez	For
Manager Sherri Greenberg	For
Manager Shannon Jones	For
Manager Amit Motwani	For
Manager Maram Museitif	For
Manager Guadalupe Zamora	Absent

**1. Announce appointments to the Central Health Board Committees.**

**Clerk's Notes:** Discussion on this item began at 5:13 p.m. Chair Bell announced that he sent out a list of the committees and members of each.

**2. Discuss and take appropriate action on the proposed mandatory payment rate to be assessed on institutional healthcare providers during Fiscal Year 2022 under the local healthcare provider participation program (LPPF) in Travis County and how the revenue derived from those payments is to be spent, as required by Texas Health & Safety Code §298E.151.**

**Clerk's Notes:** Discussion on this item began at 5:14 p.m. Chair Bell announced that a memo and presentation was sent out with the board packet and staff was available for any questions.

Manager Greenberg moved that the Board set the FY 2022 Local Provider Participation Fund (LPPF) mandatory payment rate at 0.82% of net patient revenue of Travis County inpatient hospitals.

Manager Brinson seconded the motion.

Chairperson Charles Bell	For
Vice Chairperson Cynthia Brinson	For
Treasurer Julie Zuniga	Absent
Secretary Cynthia Valadez	For
Manager Sherri Greenberg	For
Manager Shannon Jones	For
Manager Amit Motwani	For
Manager Maram Museitif	For
Manager Guadalupe Zamora	Absent

**3. Receive, discuss, and take appropriate action on a presentation of the Central Health Fiscal Year 2021 financial audit.**

**Clerk's Notes:** Discussion on this item began at 5:16 p.m. Mr. Jimmy Romell, the engagement partner of Central Health's external auditors Maxwell, Locke, and Ritter, gave a brief presentation on the fiscal year 2021 financial audit.

Manager Motwani moved that the Board accept the Central Health Fiscal Year 2021 financial audit as presented by Maxwell, Locke, and Ritter.

Manager Jones seconded the motion.

Chairperson Charles Bell	For
Vice Chairperson Cynthia Brinson	For
Treasurer Julie Zuniga	Absent
Secretary Cynthia Valadez	For
Manager Sherri Greenberg	For
Manager Shannon Jones	For
Manager Amit Motwani	For
Manager Maram Museitif	For
Manager Guadalupe Zamora	Absent

- 4. Receive and discuss updates on the 1115 Medicaid Waiver, Delivery System Reform Incentive Payment (DSRIP) program and associated projects, the Community Care Collaborative, and other healthcare delivery partners, programs, projects, and arrangements, including agreements with Ascension Texas.**

**Clerk's Notes:** This item was not discussed.

- 5. Confirm the next regular Board meeting date, time, and location.**

At 5:20 p.m. Manager Greenberg moved that meeting adjourn.

Manager Motwani seconded the motion.

Chairperson Charles Bell	For
Vice Chairperson Cynthia Brinson	For
Treasurer Julie Zuniga	Absent
Secretary Cynthia Valadez	For
Manager Sherri Greenberg	For
Manager Shannon Jones	For
Manager Amit Motwani	For
Manager Maram Museitif	For
Manager Guadalupe Zamora	Absent

The meeting was adjourned at 5:20 p.m.

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Charles Bell, Chairperson  
Central Health Board of Managers

ATTESTED TO BY:

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Cynthia Valadez, Secretary  
Central Health Board of Managers





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**BOARD MEETING**

**February 23, 2022**

**AGENDA ITEM C2**

Receive and ratify Central Health Investments for January 2022.

STATE OF TEXAS

COUNTY OF TRAVIS

CENTRAL HEALTH

Whereas, it appears to the Board of Managers of the Central Health, Travis County, Texas that there are sufficient funds on hand over and above those of immediate need for operating demand,

Now, Therefore, the Board of Managers hereby orders

- 1.) that the County Treasurer of Travis County, Texas, acting on behalf of Central Health, execute the investment of these funds in the total amount of \$135,238,346.70 in legally authorized securities as stipulated in the Travis County Healthcare District Investment and Collateral Policy for the periods as indicated in Attachment A, which consists of 23 pages.
- 2.) that the County Treasurer, acting on behalf of Central Health, take and hold in safekeeping all individual security investment instruments, relinquishing same only by order of the Board of Managers or for surrender at maturity.

Date: February 16, 2022

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CHAIR, BOARD OF MANAGERS

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VICE CHAIR, BOARD OF MANAGERS

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MANAGER

CENTRAL HEALTH  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 1/3/2022

TIME: 10:30

The following transaction was executed on behalf of Central Health:

DESCRIPTION	Texas Daily	FUND NAME:	CENTRAL HEALTH
PAR VALUE:	5,593,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE	N/A	PRICE:	100%
MATURITY DAT	N/A	BOND EQ. YIELD:	0.0151%
PRINCIPAL:	5,593,000.00	PURCHASED THRU:	Texas Daily
ACCRUED INT	N/A	BROKER:	N/A
TOTAL DUE:	5,593,000.00	CUSIP #:	N/A
TRADE DATE:	1/3/2022	SETTLEMENT DATE:	1/3/2022

AUTHORIZED BY:

  
CASH/INVESTMENT MANAGER

CENTRAL HEALTH  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 1/3/2022

TIME: 10:30

The following transaction was executed on behalf of Central Health:

DESCRIPTION	Texas Daily	FUND NAME:	CENTRAL HEALTH
PAR VALUE:	8,241.50	SAFEKEEPING NO:	N/A
CPN/DISC RATE	N/A	PRICE:	100%
MATURITY DAT	N/A	BOND EQ. YIELD:	0.0151%
PRINCIPAL:	8,241.50	PURCHASED THRU:	Texas Daily
ACCRUED INT	N/A	BROKER:	N/A
TOTAL DUE:	8,241.50	CUSIP #:	N/A
TRADE DATE:	1/3/2022	SETTLEMENT DATE:	1/3/2022

AUTHORIZED BY:

  
CASH/INVESTMENT MANAGER

CENTRAL HEALTH  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 1/4/2022

TIME: 10:30

The following transaction was executed on behalf of Central Health:

DESCRIPTION:	TexPool	FUND NAME:	CENTRAL HEALTH
PAR VALUE:	27,847,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE:	N/A	BOND EQ. YIELD:	0.0278%
PRINCIPAL:	27,847,000.00	PURCHASED THRU:	TexPool
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	27,847,000.00	CUSIP #:	N/A
TRADE DATE:	1/4/2022	SETTLEMENT DATE:	1/4/2022

AUTHORIZED BY:

   
CASH/INVESTMENT MANAGER

CENTRAL HEALTH  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 1/4/2022

TIME: 10:30

The following transaction was executed on behalf of Central Health:

DESCRIPTION:	TexDaily	FUND NAME:	CENTRAL HEALTH
PAR VALUE:	20,000,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE:	N/A	BOND EQ. YIELD:	0.0151%
PRINCIPAL:	20,000,000.00	PURCHASED THRU:	TexDaily
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	20,000,000.00	CUSIP #:	N/A
TRADE DATE:	1/4/2022	SETTLEMENT DATE:	1/4/2022

AUTHORIZED BY:

   
CASH/INVESTMENT MANAGER

CENTRAL HEALTH  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 1/5/2022

TIME: 10:30

The following transaction was executed on behalf of Central Health:

DESCRIPTION:	TexPool	FUND NAME:	CENTRAL HEALTH
PAR VALUE:	4,180,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0282%
PRINCIPAL:	4,180,000.00	PURCHASED THRU:	TexPool
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	4,180,000.00	CUSIP #:	N/A
TRADE DATE:	1/5/2022	SETTLEMENT DATE:	1/5/2022

AUTHORIZED BY:

*Deborah A. Lauder milk*  
CASH/INVESTMENT MANAGER

CENTRAL HEALTH  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 1/6/2022

TIME: 10:30

The following transaction was executed on behalf of Central Health:

DESCRIPTION:	TexDaily	FUND NAME:	CENTRAL HEALTH
PAR VALUE:	2,262,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0151%
PRINCIPAL:	2,262,000.00	PURCHASED THRU:	TexDaily
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	2,262,000.00	CUSIP #:	N/A
TRADE DATE:	1/6/2022	SETTLEMENT DATE:	1/6/2022

AUTHORIZED BY:

  
CASH/INVESTMENT MANAGER



CENTRAL HEALTH  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 1/10/2022  
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TIME: 10:30  
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The following transaction was executed on behalf of Central Health:

DESCRIPTION:	TexPool	FUND NAME:	CENTRAL HEALTH
PAR VALUE:	3,925,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0336%
PRINCIPAL:	3,925,000.00	PURCHASED THRU:	TexPool
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	3,925,000.00	CUSIP #:	N/A
TRADE DATE:	1/10/2022	SETTLEMENT DATE:	1/10/2022

AUTHORIZED BY

  
CASH/INVESTMENT MANAGER

CENTRAL HEALTH  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 1/11/2022

TIME: 10:30

The following transaction was executed on behalf of Central Health:

DESCRIPTION:	TexPool	FUND NAME:	CENTRAL HEALTH
PAR VALUE:	2,722,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE:	N/A	BOND EQ. YIELD:	0.0335%
PRINCIPAL:	2,722,000.00	PURCHASED THRU:	TexPool
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	2,722,000.00	CUSIP #:	N/A
TRADE DATE:	1/11/2022	SETTLEMENT DATE:	1/11/2022

AUTHORIZED BY:

   
CASH/INVESTMENT MANAGER

CENTRAL HEALTH  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 1/12/2022

TIME: 10:30

The following transaction was executed on behalf of Central Health:

DESCRIPTION:	TexPool	FUND NAME:	CENTRAL HEALTH
PAR VALUE:	2,913,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE:	N/A	BOND EQ. YIELD:	0.0347%
PRINCIPAL:	2,913,000.00	PURCHASED THRU:	TexPool
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	2,913,000.00	CUSIP #:	N/A
TRADE DATE:	1/12/2022	SETTLEMENT DATE:	1/12/2022

AUTHORIZED BY:

*Deborah A. Lauder milk*  
CASH/INVESTMENT MANAGER

CENTRAL HEALTH  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 1/13/2022  
\_\_\_\_\_

TIME: 10:30  
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The following transaction was executed on behalf of Central Health:

DESCRIPTION:	TexPool	FUND NAME:	CENTRAL HEALTH
PAR VALUE:	3,311,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0364%
PRINCIPAL:	3,311,000.00	PURCHASED THRU:	TexPool
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	3,311,000.00	CUSIP #:	N/A
TRADE DATE:	1/13/2022	SETTLEMENT DATE:	1/13/2022

AUTHORIZED BY:

  
CASH/INVESTMENT MANAGER

CENTRAL HEALTH  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 1/14/2022

TIME: 10:30

The following transaction was executed on behalf of Central Health:

DESCRIPTION:	TexPool	FUND NAME:	CENTRAL HEALTH
PAR VALUE:	4,714,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0379%
PRINCIPAL:	4,714,000.00	PURCHASED THRU:	TexPool
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	4,714,000.00	CUSIP #:	N/A
TRADE DATE:	1/14/2022	SETTLEMENT DATE:	1/14/2022

AUTHORIZED BY:

   
CASH/INVESTMENT MANAGER

CENTRAL HEALTH  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 1/18/2022

TIME: 10:30

The following transaction was executed on behalf of Central Health:

DESCRIPTION:	TexPool	FUND NAME:	CENTRAL HEALTH
PAR VALUE:	2,945,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0377%
PRINCIPAL:	2,945,000.00	PURCHASED THRU:	TexPool
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	2,945,000.00	CUSIP #:	N/A
TRADE DATE:	1/18/2022	SETTLEMENT DATE:	1/18/2022

AUTHORIZED BY:

   
CASH/INVESTMENT MANAGER

CENTRAL HEALTH  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 1/18/2022  
\_\_\_\_\_

TIME: 10:30  
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The following transaction was executed on behalf of Central Health:

DESCRIPTION:	TexPool	FUND NAME:	CENTRAL HEALTH
PAR VALUE:	5,280.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0377%
PRINCIPAL:	5,280.00	PURCHASED THRU:	TexPool
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	5,280.00	CUSIP #:	N/A
TRADE DATE:	1/18/2022	SETTLEMENT DATE:	1/18/2022

AUTHORIZED BY:



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CASH/INVESTMENT MANAGER

CENTRAL HEALTH  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 1/19/2022  
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TIME: 10:30  
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The following transaction was executed on behalf of Central Health:

DESCRIPTION:	TexPool	FUND NAME:	CENTRAL HEALTH
PAR VALUE:	5,870,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE:	N/A	BOND EQ. YIELD:	0.0380%
PRINCIPAL:	5,870,000.00	PURCHASED THRU:	TexPool
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	5,870,000.00	CUSIP #:	N/A
TRADE DATE:	1/19/2022	SETTLEMENT DATE:	1/19/2022

AUTHORIZED BY:

*Deborah A. Laudermitte*  
CASH/INVESTMENT MANAGER



CENTRAL HEALTH  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 1/19/2022

TIME: 9:00 AM

The following transaction was executed on behalf of Central Health:

DESCRIPTION:	ING CP (Discount)	FUND NAME:	CENTRAL HEALTH OPERATING
PAR VALUE:	\$ 5,000,000.00	SAFEKEEPING NO:	P 31317
COUPON RATE: (DISCOUNT)	0.550%	PRICE:	99.6333000
MATURITY DATE:	9/16/2022 US TREASURY CONVENTION YLD		0.5520%
PRINCIPAL:	\$ 4,981,666.67	PURCHASED THROUGH:	Wells Fargo Securities
ACCRUED INT:	\$ 0.00	BROKER:	Mike Minahan
TOTAL DUE:	\$ 4,981,666.67	CUSIP #:	4497W1JG2
TRADE DATE:	1/19/2022	SETTLEMENT DATE:	1/19/2022

AUTHORIZED BY:

*Deborah A. Laudermilk*

CENTRAL HEALTH  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 1/20/2022  
\_\_\_\_\_

TIME: 10:30  
\_\_\_\_\_

The following transaction was executed on behalf of Central Health:

DESCRIPTION:	TexPool	FUND NAME:	CENTRAL HEALTH
PAR VALUE:	4,582,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0415%
PRINCIPAL:	4,582,000.00	PURCHASED THRU:	TexPool
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	4,582,000.00	CUSIP #:	N/A
TRADE DATE:	1/20/2022	SETTLEMENT DATE:	1/20/2022

AUTHORIZED BY:

  
CASH/INVESTMENT MANAGER

CENTRAL HEALTH  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 1/19/2022

TIME: 1:30 PM

The following transaction was executed on behalf of Central Health:

DESCRIPTION:	Penn State Univ REV BNDS	FUND NAME:	CENTRAL HEALTH OPERATING
PAR VALUE:	\$ 1,240,000.00	SAFEKEEPING NO:	P 31317
CUPON / DISCOUNT RATE	1.680%	PRICE:	101.0530000
MATURITY DATE:	9/1/2024 US TREASURY CONVENTION YLD		1.2690%
PRINCIPAL:	\$ 1,253,057.20	PURCHASED THROUGH:	WELLS FARGO
ACCRUED INT:	\$ 8,101.33	BROKER:	MIKE MINAHAN
TOTAL DUE:	\$ 1,261,158.53	CUSIP #:	709235N68
TRADE DATE:	1/19/2022	SETTLEMENT DATE:	1/21/2022

AUTHORIZED BY: *Deborah A. Laudermitk*

---

CENTRAL HEALTH  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 1/21/2022

TIME: 10:30

The following transaction was executed on behalf of Central Health:

DESCRIPTION:	TexPool	FUND NAME:	CENTRAL HEALTH
PAR VALUE:	3,883,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0430%
PRINCIPAL:	3,883,000.00	PURCHASED THRU:	TexPool
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	3,883,000.00	CUSIP #:	N/A
TRADE DATE:	1/21/2022	SETTLEMENT DATE:	1/21/2022

AUTHORIZED BY:

   
CASH/INVESTMENT MANAGER

CENTRAL HEALTH  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 1/24/2022

TIME: 10:30

The following transaction was executed on behalf of Central Health:

DESCRIPTION:	TexDaily	FUND NAME:	CENTRAL HEALTH
PAR VALUE:	4,326,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0151%
PRINCIPAL:	4,326,000.00	PURCHASED THRU:	TexDaily
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	4,326,000.00	CUSIP #:	N/A
TRADE DATE:	1/24/2022	SETTLEMENT DATE:	1/24/2022

AUTHORIZED BY

  
CASH/INVESTMENT MANAGER

CENTRAL HEALTH  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 1/25/2022  
\_\_\_\_\_

TIME: 10:30  
\_\_\_\_\_

The following transaction was executed on behalf of Central Health:

DESCRIPTION:	TexPool	FUND NAME:	CENTRAL HEALTH
PAR VALUE:	4,467,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0437%
PRINCIPAL:	4,467,000.00	PURCHASED THRU:	TexPool
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	4,467,000.00	CUSIP #:	N/A
TRADE DATE:	1/25/2022	SETTLEMENT DATE:	1/25/2022

AUTHORIZED BY:    
\_\_\_\_\_  
CASH/INVESTMENT MANAGER

CENTRAL HEALTH  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 1/26/2022

TIME: 10:30

The following transaction was executed on behalf of Central Health:

DESCRIPTION:	TexPool	FUND NAME:	CENTRAL HEALTH
PAR VALUE:	6,463,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0420%
PRINCIPAL:	6,463,000.00	PURCHASED THRU:	TexPool
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	6,463,000.00	CUSIP #:	N/A
TRADE DATE:	1/26/2022	SETTLEMENT DATE:	1/26/2022

AUTHORIZED BY:

*Deborah A. Laudermilk*  
CASH/INVESTMENT MANAGER

CENTRAL HEALTH  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 1/27/2022  
\_\_\_\_\_

TIME: 10:30  
\_\_\_\_\_

The following transaction was executed on behalf of Central Health:

DESCRIPTION:	TexPool	FUND NAME:	CENTRAL HEALTH
PAR VALUE:	5,954,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	0.0430%
PRINCIPAL:	5,954,000.00	PURCHASED THRU:	TexPool
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	5,954,000.00	CUSIP #:	N/A
TRADE DATE:	1/27/2022	SETTLEMENT DATE:	1/27/2022

AUTHORIZED BY:

  
CASH/INVESTMENT MANAGER



CENTRAL HEALTH  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 1/31/2022

TIME: 10:30

The following transaction was executed on behalf of Central Health:

DESCRIPTION:	TexDaily	FUND NAME:	CENTRAL HEALTH
PAR VALUE:	13,025,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE:	N/A	BOND EQ. YIELD:	0.0151%
PRINCIPAL:	13,025,000.00	PURCHASED THRU:	TexDaily
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	13,025,000.00	CUSIP #:	N/A
TRADE DATE:	1/31/2022	SETTLEMENT DATE:	1/31/2022

AUTHORIZED BY:

  
CASH/INVESTMENT MANAGER

CENTRAL HEALTH MONTHLY INVESTMENT REPORT  
 PORTFOLIO STATISTICS

DATE: January 31, 2022

By Fund Type

Operating	\$	475,107,740.84	85.85%
LPPF		22,272,898.37	4.02%
Bond Proceeds		56,065,567.08	10.13%
Other		-	0.00%
Total Portfolio	\$	<u>553,446,206.29</u>	<u>100.00%</u>

By Security Type

**Operating-**

TexasDAILY	\$	55,715,314.03	11.73%
TexPool	\$	185,768,966.11	39.10%
TexSTAR	\$	1,730,870.48	0.36%
TexasTERM CP		-	0.00%
Non-Int Bearing Bank Account		928,000.00	0.20%
Certificates of Deposit		-	0.00%
Treasury Securities		-	0.00%
Government Agencies		159,496,100.00	33.57%
Commercial Paper		9,974,654.17	2.10%
Municipal Bonds		61,493,836.05	12.94%
Total	\$	<u>475,107,740.84</u>	<u>100.00%</u>

**LPPF-**

TexPool		22,272,898.37	100.00%
Total	\$	<u>22,272,898.37</u>	<u>100.00%</u>

**Bond Proceeds-**

TexPool	\$	56,065,567.08	100.00%
Total	\$	<u>56,065,567.08</u>	<u>100.00%</u>

Compared to Policy Limits

		Actual %	Guidelines
TexasDAILY	55,715,314.03	10.08%	30.00%
TexPool	264,107,431.56	47.80%	50.00%
TexSTAR	1,730,870.48	0.31%	30.00%
TexasTERM CP	0.00	0.00%	30.00%
Total LGIPS	\$ 321,553,616.07	58.20%	70.00%
Certificates of Deposit	-	0.00%	50.00%
Treasury Securities	-	0.00%	100.00%
Government Agencies	159,496,100.00	28.87%	75.00%
Commercial Paper	9,974,654.17	1.81%	20.00%
Municipal Bonds	61,493,836.05	11.13%	20.00%
	\$ 552,518,206.29	100.00%	

Commercial Paper by Entity as a Percentage of Portfolio

ING CP 6/14/22	\$	4,992,987.50	0.90%	5.00%
ING CP 9/16/22	\$	4,981,666.67	0.90%	5.00%
	\$	<u>9,974,654.17</u>	<u>1.81%</u>	<u>20.00%</u>

Municipal Bonds by Entity as a Percentage of Portfolio

Alabama ST Pub Sch & Clg 2022	\$	1,000,000.00	0.21%	5.00%
Alpine UT Sch Dist	\$	3,864,844.80	0.81%	5.00%
Chippewa Valley School Go Bonds	\$	2,022,800.00	0.43%	5.00%
City of Dallas Waterworks	\$	5,154,300.00	1.08%	5.00%
City of Hampton VA - GO	\$	1,157,199.00	0.24%	5.00%
City of Lafayette LA Utility - Rev	\$	5,870,448.00	1.24%	5.00%
City of Yuma AZ - REV	\$	1,500,000.00	0.32%	5.00%
Clear Creek TX ISD 2/15/2025	\$	4,856,355.00	1.02%	5.00%
Commonwealth of Virginia - GO	\$	5,089,600.00	1.07%	5.00%
Multnomah CNTY OR - GO	\$	5,415,174.00	1.14%	5.00%
NYC Tran Fin Tax - REV	\$	5,000,000.00	1.05%	5.00%
Oklahoma County OK ISD	\$	4,662,698.00	0.98%	5.00%
Penn Sate Univ - REV	\$	1,253,057.20	0.26%	5.00%
San Diego CA Pub Facs - Rev	\$	1,067,164.80	0.22%	5.00%
State of Mississippi CP	\$	3,000,000.00	0.63%	5.00%
Shakopee MN ISD - GO	\$	1,000,000.00	0.21%	5.00%
Texas Tech Univ	\$	525,840.00	0.11%	5.00%
Univ Cincinnati OH Tax - Rev	\$	1,000,000.00	0.21%	5.00%
Upper Occoquan VA - Rev	\$	3,110,000.00	0.65%	5.00%
WA DC INC Tax - Rev	\$	4,944,355.25	1.04%	5.00%
	\$	<u>61,493,836.05</u>	<u>12.94%</u>	<u>25.00%</u>

Investment Revenue & Accrued Interest

January-22

Fiscal YTD

Interest/Dividends-			
TexasDAILY	\$	605.28	\$ 2,289.02
TexPool		4,979.45	\$ 8,126.86
TexSTAR		14.63	\$ 71.78
TexasTERM CP		0.00	\$ 29,652.06
Certificates of Deposit		0.00	\$ -
Treasury Securities		0.00	\$ -
Government Agencies		0.00	\$ 167,125.00
Commercial Paper		0.00	\$ -
Municipal Bonds		13,521.50	\$ 174,496.92
	\$	<u>19,120.86</u>	\$ 381,761.64
Discounts, Premiums, & Accrued Interest			
TexasTERM CP	\$	-	\$ 1,273.97
-less previous accruals		0.00	\$ (18,558.91)
Certificates of Deposit		0.00	\$ -
-less previous accruals		0.00	\$ -
Treasury Securities		0.00	\$ -
-less previous accruals		0.00	\$ -
Government Agencies		56,732.41	\$ 200,732.50
-less previous accruals		(334.05)	\$ (140,546.97)
Commercial Paper		2,079.17	\$ 2,904.17
-less previous accruals		0.00	\$ (9,657.53)
Municipal Bonds		69,068.98	\$ 230,366.08
-less previous accruals		(50,089.42)	\$ (304,889.31)
	\$	<u>77,457.09</u>	\$ (38,376.00)
Total Investment Revenue & Accrued Interest	\$	<u>96,577.95</u>	\$ 343,385.64

STATE OF TEXAS

COUNTY OF TRAVIS

CENTRAL HEALTH - LPPF

Whereas, it appears to the Board of Managers of the Central Health, Travis County, Texas that there are sufficient funds on hand over and above those of immediate need for LPPF demand,

Now, Therefore, the Board of Managers hereby orders

- 1.) that the County Treasurer of Travis County, Texas, acting on behalf of Central Health LPPF, execute the investment of these funds in the total amount of \$12,866,000.00 in legally authorized securities as stipulated in the Travis County Healthcare District Investment and Collateral Policy for the periods as indicated in Attachment A, which consists of 1 page(s).
- 2.) that the County Treasurer, acting on behalf of Central Health LPPF, take and hold in safekeeping all individual security investment instruments, relinquishing same only by order of the Board of Managers or for surrender at maturity.

Date: February 16, 2022

\_\_\_\_\_  
CHAIR, BOARD OF MANAGERS

\_\_\_\_\_  
VICE CHAIR, BOARD OF MANAGERS

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MANAGER

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MANAGER

CENTRAL HEALTH  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 1/28/2022

TIME: 10:30

The following transaction was executed on behalf of Central Health:

DESCRIPTION:	TexPool	FUND NAME:	CENTRAL HEALTH LPPF
PAR VALUE:	12,866,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE:	N/A	BOND EQ. YIELD:	0.0437%
PRINCIPAL:	12,866,000.00	PURCHASED THRU:	TexPool
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	12,866,000.00	CUSIP #:	N/A
TRADE DATE:	1/28/2022	SETTLEMENT DATE:	1/28/2022

AUTHORIZED BY:

   
CASH/INVESTMENT MANAGER

CENTRAL HEALTH - LPPF INVESTMENT REPORT  
 PORTFOLIO STATISTICS

DATE: October 31, 2021

By Fund Type		
LPPF	\$ 22,272,898.37	100.00%
<b>Total Portfolio</b>	<b>\$ 22,272,898.37</b>	<b>100.00%</b>

By Security Type		
LPPF-		
TexasDAILY	\$ -	0.00%
TexPool	\$ 22,272,898.37	100.00%
TexSTAR	\$ -	0.00%
TexasTERM CP	\$ -	0.00%
Non-Int Bearing Bank Account	\$ -	0.00%
Certificates of Deposit	\$ -	0.00%
Treasury Securities	\$ -	0.00%
Government Agencies	\$ -	0.00%
Commercial Paper	\$ -	0.00%
Municipal Bonds	\$ -	0.00%
<b>Total</b>	<b>\$ 22,272,898.37</b>	<b>100.00%</b>

LPPF Investment Revenue & Accrued Interest	January-22	Fiscal YTD
Interest/Dividends-		
TexasDAILY	0.00	0.00
TexPool	362.15	1,237.73
TexSTAR	0.00	0.00
TexasTERM CP	0.00	0.00
Certificates of Deposit	0.00	0.00
Treasury Securities	0.00	0.00
Government Agencies	0.00	0.00
Commercial Paper	0.00	0.00
Municipal Bonds	0.00	0.00
<b>LPPF Total Investment Revenue &amp; Accrued Interest</b>	<b>\$ 362.15</b>	<b>\$ 1,237.73</b>



# CENTRAL HEALTH

## **Our Vision**

Central Texas is a model healthy community.

## **Our Mission**

By caring for those who need it most, Central Health improves the health of our community.

## **Our Values**

Central Health will achieve excellence through:

*Stewardship* - We maintain public trust through fiscal discipline and open and transparent communication.

*Innovation* - We create solutions to improve healthcare access.

*Respect* - We honor our relationship with those we serve and those with whom we work.

*Collaboration* - We partner with others to improve the health of our community.

## **BOARD MEETING**

**February 23, 2022**

## **REGULAR AGENDA ITEM 1**

Review and adopt the proposed Equity-Focused Service Delivery Strategic Plan. (*Action item*)



**AGENDA ITEM SUBMISSION FORM**

This form is to provide a general overview of the agenda item in advance of posting for the Board meeting. Proposed motion language is a recommendation only and not final until the meeting and may be changed by the Board Manager making the motion. All information in this form is subject to the Public Information Act.

Agenda Item Meeting Date	<u>February 23, 2022</u>
Who will present the agenda item? (Name, Title)	<u>Monica Crowley, Guidehouse team including Dr. Abhi Sharma MD, Danielle Sreenivasan, and Wil Murphy</u>
General Item Description	<u>Review and adopt Equity-focused Service Delivery Strategic Plan</u>
Is this an informational or action item?	<u>Action</u>
Fiscal Impact	<u>N/A</u>
Recommended Motion (if needed – action item)	<u>Request that the board adopt the goal and imperatives of the Equity-focused Service Delivery Strategic Plan as the basis for staff’s operational implementation and financial sustainability development work starting with the FY2023 budget priorities</u>

Key takeaways about agenda item, and/or feedback sought from the Board of Managers:

- 1) The Guidehouse team will present to the board key takeaways from the Equity-Focused Service Delivery Strategic Plan  
These will include goal and strategic imperatives to continue building a high functioning, equity-focused, healthcare delivery system including: access and capacity building, improved care coordination, streamlined and expanded member engagement and system of care alignment
- 2) and expansion

What backup will be provided, or will this be a verbal update? (Backup is due one week before the meeting.)	<u>Presentation will be provided</u>
Estimated time needed for presentation & questions?	<u>1 hour for presentation, discussion and questions</u>
Is closed session recommended? (Consult with attorneys.)	<u>No</u>
Form Prepared By/Date Submitted:	<u>Monica Crowley, February 17, 2022</u>





# Strategic Systems of Care Planning

Equity-focused Service Delivery  
Strategic Plan



CENTRAL HEALTH

*February 23, 2022*



# Central Health's FY2019 – FY2024 Strategic Plan Objectives



Develop and execute health care delivery strategy based on people and place

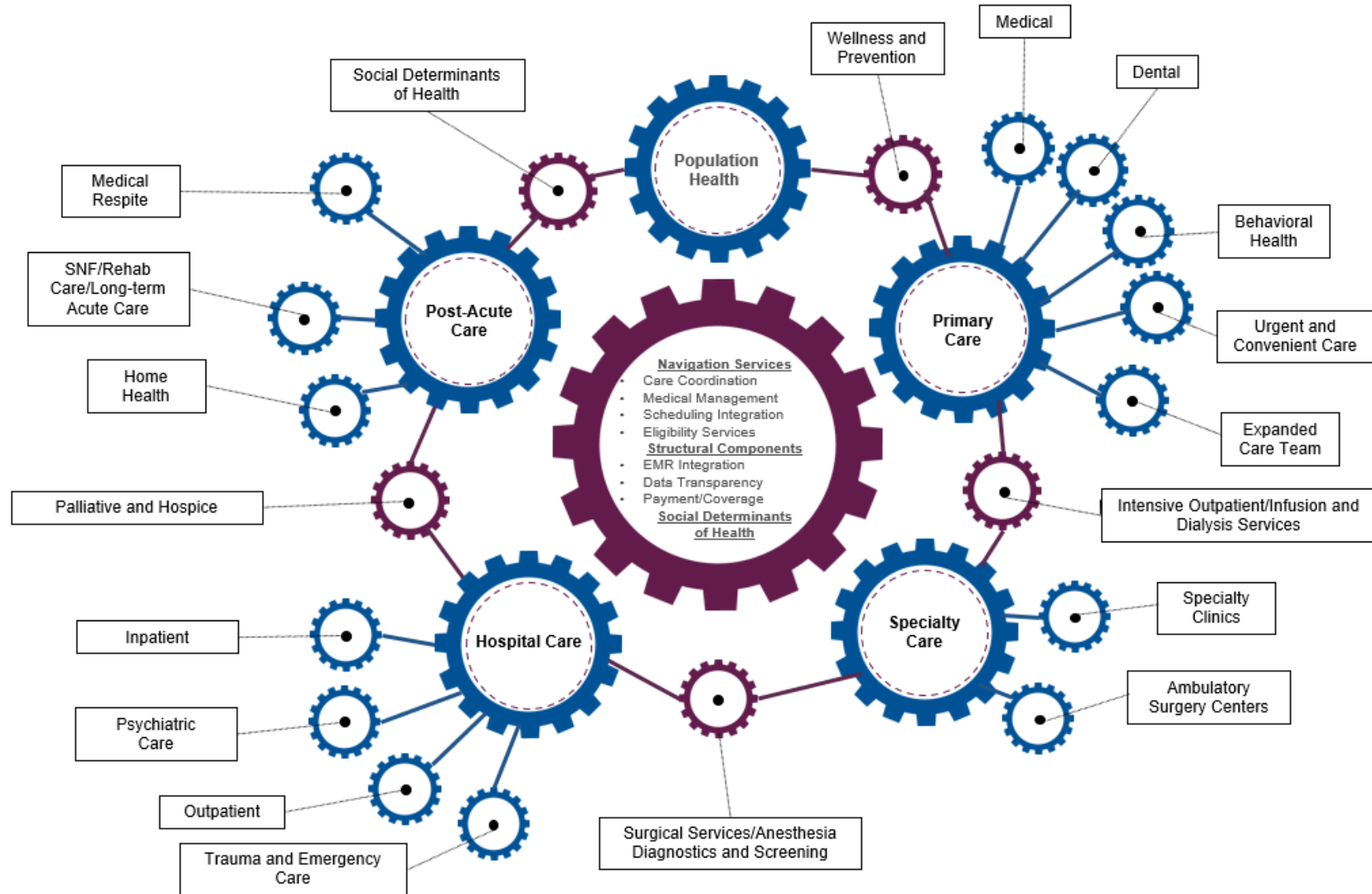


Implement patient-focused and coordinated health care system



Implement sustainable financial model for health care delivery strategies through FY2024

# Identifying and addressing current gaps in our safety-net system will position Central Health to meet future needs



# Recap: Engagement Objectives

## ***1. Voice of the Community:***

Through the **community engagement strategy**, understand the perspectives of patients, providers, and community members and ensure that hard to reach populations are included in our assessment of health care needs in the service area

## ***2. Community Health Needs Assessment:***

Through development of the **Community Health Needs Assessment Report**, understand the current state of the safety-net health care system in Travis County, gaps, and future needs

## ***3. Equity-focused Service Delivery Strategic Plan***

Develop an Equity-focused **Service Delivery Strategic Plan** to build a comprehensive, high functioning health care system to improve the health of Central Health's patients

# Central Health can advance towards its enterprise goals through the execution of system based strategic imperatives



**Central Health's Proposed Equity-Focused Service Delivery Strategic Planning Goal**  
To develop an equitable system of care that is comprehensive and accountable, while optimizing the collective use of capabilities and resources to serve the safety-net population.

## Our Strategic Imperatives

- 1 Access and Capacity**  
Central Health will more equitably meet the health care needs of the safety-net community, by increasing the number of providers and care teams and the availability of comprehensive, high-quality and timely care.

---

- 2 Care Coordination**  
Central Health will coordinate care for Travis County's safety-net population by optimizing transitions of care by facilitating communication within patients care teams across the care continuum and enabling meaningful information sharing.

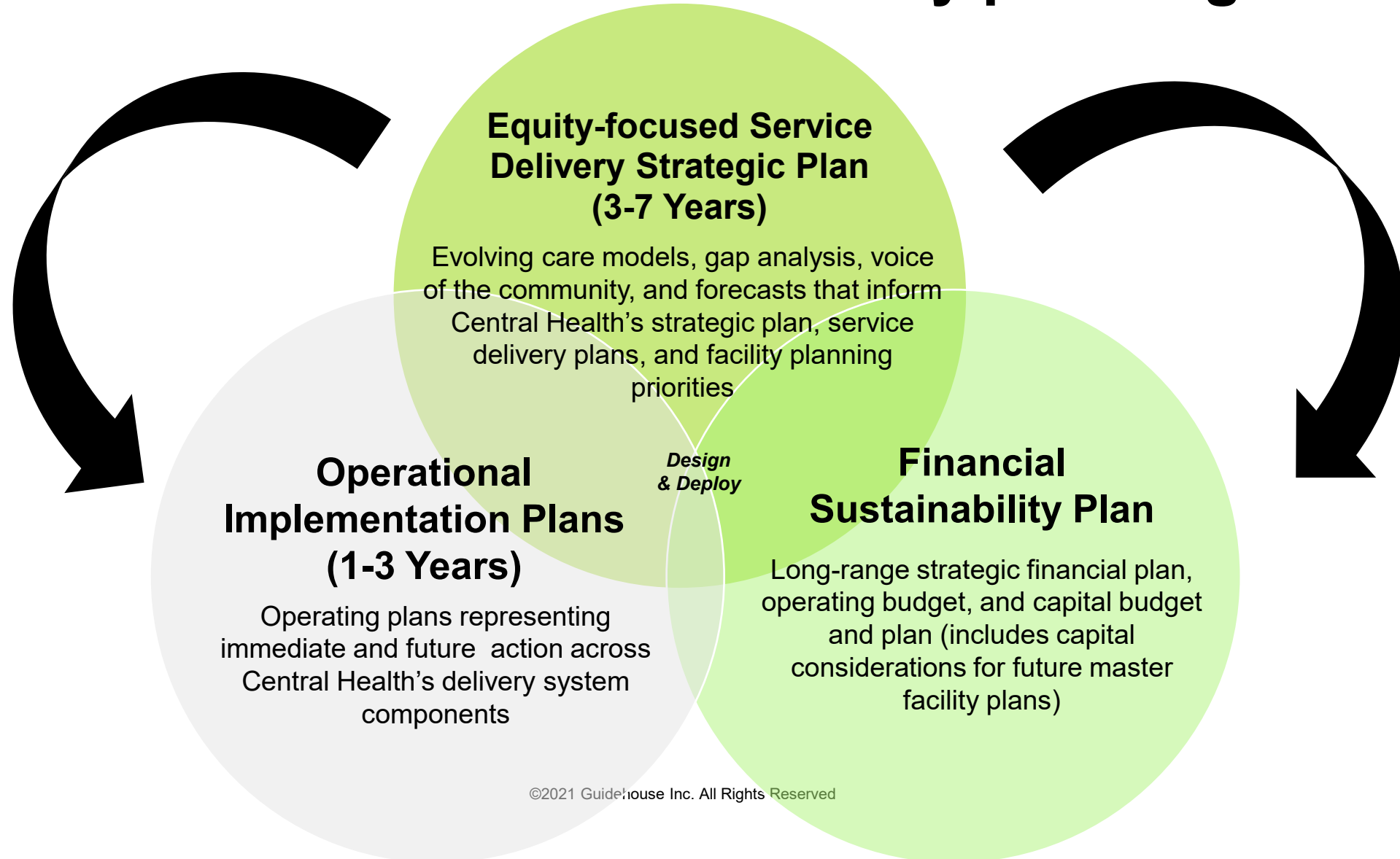
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- 3 Member Enrollment and Engagement**  
Central Health will focus on enrollment in identified high-need planning and assessment regions and enhance engagement for the enrolled population, with special emphasis on care transitions, people experiencing homelessness, justice involved individuals, and communities where English and Spanish are not the primary language.

---

- 4 System of Care Infrastructure**  
Central Health will develop a high functioning system of care to improve health for Travis County's safety-net population via alignment of relationships including joint service-delivery planning and facilitation of timely sharing of health care data.

# The Service Delivery Strategic Plan will influence and drive operational and financial sustainability planning efforts





## CENTRAL HEALTH

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### **Our Values**

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*Innovation* - We create solutions to improve healthcare access.

*Respect* - We honor our relationship with those we serve and those with whom we work.

*Collaboration* - We partner with others to improve the health of our community.

## **BOARD MEETING**

**February 23, 2022**

## **REGULAR AGENDA ITEM 2**

Receive the fiscal year-to-date healthcare service expenditures made by, and accept the preliminary January 2022 financial statements for, Central Health and the Community Care Collaborative. (*Informational item*)



# Central Health

## Financial Statement Presentation

FY 2022 – as of January 31, 2022  
(Preliminary)

Central Health Board of Managers

February 23, 2022

Lisa Owens, Deputy CFO

Patti Bethke, Controller

DRAFT





- Slide 2 Index
- Slide 3 Highlights
- Slide 4 Balance Sheet - Assets
- Slide 5 Balance Sheet - Liabilities & Net Assets
- Slide 6 Sources & Uses
- Slide 7 HCD - Summary
- Slide 8 HCD - Blank Page
- Slide 9 HCD - Operating Cost
- Slide 10 HCD - Primary Care
- Slide 11 HCD - Specialty Care

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Note: HCD = Health Care Delivery



- Year-to-date through January collected net property tax revenue is \$231 million compared to \$204 million as of January 2021 representing 87.1% of the adjusted tax levy compared to 84.3% as of January 2021 .
- Healthcare Delivery is \$33 million for the four months ending 1/31/2022.
- GAAP reporting Net Assets increased \$95 million year-over-year.
- TCHD LPPF total restricted balance of LPPF as of 1/31/2022 is \$22 million.

GAAP: Generally Accepted Accounting Principles refer to a common set of accounting principles, standards, and procedures issued by the Financial Accounting Standards Board. GAAP primary focus is to improve clarity, consistency, and comparability of the communication of financial information.

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Assets	Preliminary as	
	of 1/31/2022	as of 1/31/2021
Current Assets		
Cash and cash equivalents	1,254,330	713,514
Short-term investments	449,847,609	314,674,418
Ad valorem taxes receivable	63,408,572	74,925,976
Other receivables	2,230,295	2,112,597
Prepaid expenses	730,113	509,276
Total Current Assets	517,470,919	392,935,781
Restricted Cash and Investments or Noncurrent		
Restricted for capital acquisition	79,093,651	6,459,065
Sendero paid-in-capital	71,000,000	71,000,000
Working capital advance to CommUnityCare	4,000,000	4,000,000
Sendero Surplus Debenture	37,083,000	37,083,000
Restricted TCHD LPPF Cash & Investments	22,298,833	29,651,206
Total Restricted Cash and Investments or Noncurrent	213,475,484	148,193,271
Capital Assets		
Land	26,372,222	13,425,967
Buildings and improvements	56,589,949	132,881,024
Equipment and furniture	17,761,542	10,030,421
Capital Projects in progress	11,181,570	4,429,042
Less accumulated depreciation	(26,525,158)	(48,965,461)
Total Capital Assets	85,380,126	111,800,992
<b>Total Assets</b>	<b>816,326,529</b>	<b>652,930,044</b>

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Liabilities	Preliminary	
	as of 1/31/2022	as of 1/31/2021
Current Liabilities		
<b>Accounts payable</b>	7,610,270	6,757,702
Salaries and benefits payable	2,013,277	1,867,684
Other Payables	1,216,226	-
Debt service payable, short-term	4,988,640	1,218,246
<b>Deferred tax revenue</b>	34,301,038	37,495,975
Other deferred revenue	-	610,000
Total Current Liabilities	50,129,451	47,949,608
Restricted or Noncurrent Liabilities		
<b>Funds held for TCHD LPPF</b>	22,298,833	29,651,206
Debt service payable, long-term	80,228,130	6,105,000
Total Restricted or Noncurrent Liabilities	102,526,963	35,756,206
Total Liabilities	152,656,414	83,705,814
Net Assets		
Unrestricted	522,028,489	457,423,238
Restricted	56,261,500	-
Investment in Capital Assets	85,380,126	111,699,601
Total Net Assets	663,670,115	569,122,839
<b>Liabilities and Net Assets</b>	816,326,529	652,828,653

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Sources / Uses	Jan 2022	FY22 YTD	FY22 Budget	Percent of Budget Used	FY21 YTD
<b>Sources</b>					
<b>Property Tax Revenue</b>	114,154,480	230,978,341	260,933,097	89%	203,503,691
Lease Revenue	867,725	3,528,309	13,422,399	26%	3,449,982
Other Revenue	98,368	356,614	3,000,000	12%	243,032
Tobacco Settlement Revenue	-	-	3,000,000	0%	-
Contingency Reserve (Carryforward)	-	235,884,286	226,521,399	104%	136,179,266
<b>Total Sources</b>	<b>115,120,573</b>	<b>470,747,550</b>	<b>506,876,895</b>	<b>93%</b>	<b>343,375,971</b>
<b>Uses of Funds</b>					
<b>Healthcare Delivery</b>	<b>8,675,590</b>	<b>33,493,437</b>	<b>491,485,797</b>	<b>7%</b>	<b>30,949,456</b>
<b>Administrative Program</b>					
Salaries and benefits	397,637	1,761,946	7,134,758	25%	1,541,946
<b>Consulting Fees</b>	<b>(52,875)</b>	<b>5,073</b>	<b>1,341,120</b>	<b>0%</b>	<b>47,176</b>
Legal Fees	35,485	221,453	1,456,636	15%	287,074
Other Purchase Goods and Services	79,964	652,476	3,287,732	20%	473,725
<b>Total Administrative Program</b>	<b>460,211</b>	<b>2,640,948</b>	<b>13,220,246</b>	<b>20%</b>	<b>2,349,921</b>
<b>Tax Collection Expenses</b>	<b>504,237</b>	<b>1,106,297</b>	<b>2,170,853</b>	<b>51%</b>	<b>1,090,141</b>
<b>Total Uses</b>	<b>9,640,038</b>	<b>37,240,682</b>	<b>506,876,896</b>	<b>7%</b>	<b>34,389,518</b>
<b>Excess Sources / (Uses)</b>	<b>105,480,535</b>	<b>433,506,868</b>			<b>308,986,453</b>

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Healthcare Delivery Summary	Jan 2022	FY22 YTD	FY22 Budget	Percent of Budget Used	FY21 YTD
<b>Inter-Governmental Transfers (IGTs)</b>	-	-	15,509,298	0%	-
<b>Healthcare Services</b>					
Primary Care - (see detail on Slide 11)	4,213,271	15,792,112	59,040,000	27%	15,221,238
Specialty Care, incld Dental - (see detail on Slide 12)	418,330	1,999,452	17,175,000	12%	2,056,373
Specialty Care, Behavioral Health	18,951	243,951	1,383,856	18%	204,328
Pharmacy	905,395	3,132,651	14,250,000	22%	3,335,700
Post Acute Care	-	-	2,125,000	0%	-
All Other Healthcare Services	57,253	229,012	6,737,035	3%	229,412
Community Healthcare Initiatives Fund	-	-	875,000	0%	-
<b>Subtotal Healthcare Services</b>	<b>5,613,200</b>	<b>21,397,178</b>	<b>101,585,891</b>	<b>21%</b>	<b>21,047,051</b>
<b>ACA Premium Assist, Education, Enrollment</b>	<b>1,215,957</b>	<b>4,146,083</b>	<b>13,902,929</b>	<b>30%</b>	<b>3,864,309</b>
<b>Healthcare Facilities and Campus Redevelopment</b>	<b>904,848</b>	<b>1,414,536</b>	<b>6,284,795</b>	<b>23%</b>	<b>669,291</b>
<b>Healthcare Delivery Operating Costs</b>	<b>805,380</b>	<b>5,990,821</b>	<b>35,631,887</b>	<b>17%</b>	<b>5,338,208</b>
<b>Debt, Reserves and Transfers</b>	<b>136,205</b>	<b>544,819</b>	<b>318,570,997</b>	<b>0%</b>	<b>30,597</b>
<b>Total Healthcare Delivery</b>	<b>8,675,590</b>	<b>33,493,437</b>	<b>491,485,797</b>	<b>7%</b>	<b>30,949,456</b>

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Details for Health Care Delivery on the following slides.

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Healthcare Delivery Detail	Jan 2022	FY22 YTD	FY22 Budget	Percent of Budget Used	FY21 YTD
<b>Healthcare Operations and Support</b>					
<b>ACA and Premium Assistance Programs</b>					
High Risk Premium Programs	865,500	2,856,369	10,240,575	28%	2,612,562
CHAP Program	134,976	823,116	3,079,354	27%	778,077
ACA Enrollment and Education Services	215,481	466,598	583,000	80%	473,670
<b>Subtotal ACA &amp; Premium Assist Program</b>	<b>1,215,957</b>	<b>4,146,083</b>	<b>13,902,929</b>	<b>30%</b>	<b>3,864,309</b>
<b>Healthcare Facilities and Campus</b>					
Salaries and benefits	24,755	108,175	395,914	27%	32,135
Consulting Services	-	5,096	1,512,000	0%	65,181
Legal Fees	6,744	14,312	370,000	4%	113,030
Other Goods & Svc incl. UT Ground Lease	873,349	1,286,953	4,006,881	32%	458,945
<b>Subtotal Healthcare Facilities and Campus</b>	<b>904,848</b>	<b>1,414,536</b>	<b>6,284,795</b>	<b>23%</b>	<b>669,291</b>
<b>Healthcare Delivery Operating Costs</b>					
Salaries and benefits	1,062,055	4,430,834	18,866,066	23%	3,642,755
Consulting Services	124,746	258,675	840,000	31%	10,860
Legal Fees	2,784	6,264	339,000	2%	36,598
Other Services and Purchased Goods	(384,205)	1,295,048	15,586,821	8%	1,647,995
<b>Subtotal HCD Operating Cost</b>	<b>805,380</b>	<b>5,990,821</b>	<b>35,631,887</b>	<b>17%</b>	<b>5,338,208</b>
<b>Debt Service, Reserves and Transfers</b>					
Debt Service	136,205	544,819	6,152,676	9%	30,597
Healthcare Capital Line of Credit	-	-	1,091,773	-	-
FY2022 Capital reserve	-	-	12,546,013	-	-
FY2022 Contingency reserve appropriation	-	-	298,780,535	-	-
<b>Subtotal Debt, Reserves and Transfers</b>	<b>136,205</b>	<b>544,819</b>	<b>318,570,997</b>	<b>0%</b>	<b>30,597</b>
<b>Total Healthcare Delivery</b>	<b>8,675,590</b>	<b>33,493,437</b>	<b>491,485,797</b>	<b>7%</b>	<b>30,949,456</b>

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Healthcare Delivery - Primary Care	Jan 2022	FY22 YTD	FY22 Budget	Percent of Budget Used	FY21 YTD
<b>Primary Care</b>					
CommUnity Care	3,648,879	13,416,079	45,885,000	29%	12,817,043
Lone Star Circle of Care	401,492	1,671,072	6,755,000	25%	1,640,770
People's Community Clinic	153,409	655,979	2,600,000	25%	601,593
Other Primary Care	9,491	48,982	3,800,000	1%	161,832
<b>Subtotal Primary Care Services</b>	<b>4,213,271</b>	<b>15,792,112</b>	<b>59,040,000</b>	<b>27%</b>	<b>15,221,238</b>

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(continued on next page)



Healthcare Delivery - Specialty Care	Jan 2022	FY22 YTD	FY22 Budget	Percent of Budget Used	FY21 YTD	YOY Percent Change	Comments*
Specialty Care							
Ancillary Services & DME	2,148	18,168	735,000	2%	18,706	-3%	
Cardiology	44,036	88,436	265,000	33%	50,925	74%	
Referral Services	25,000	100,000	500,000	20%	100,000	0%	
<b>Dental Specialty</b>	68,133	326,930	1,300,000	25%	249,795	31%	Service Expansion
Dermatology	68,251	168,397	550,000	31%	128,152	31%	
Ear, Nose & Throat ENT	3,589	61,503	350,000	18%	95,636	-36%	
Endocrinology	35,975	135,625	575,000	24%	85,819	58%	Service Expansion
<b>Gastroenterology</b>	45,908	255,141	2,100,000	12%	405,431	-37%	Lower Volume
General Surgery	1,552	10,252	300,000	3%	15,983	-36%	
Gynecology Complex	0	0	100,000	0%	(200)	-100%	CCC Agreement
Nephrology	5,886	12,486	200,000	6%	5,232	139%	New service in FY21 with lower volume
Oncology	1,427	103,777	700,000	15%	87,604	18%	
<b>Ophthalmology</b>	28,329	344,984	1,650,000	21%	331,813	4%	
Prosthetics	1,679	16,837	200,000	8%	23,629	-29%	
Podiatry	7,225	87,325	350,000	25%	96,600	-10%	
Pulmonology	13,738	74,338	375,000	20%	113,941	-35%	
Reproductive and Sexual Health	34,477	122,873	1,150,000	11%	150,887	-19%	
Rheumatology	24,230	51,230	250,000	20%	46,943	9%	
Neurology	0	0	100,000	0%	0	0%	New CUC Service
Wound Care	6,750	21,150	150,000	14%	49,475	-57%	
<b>Subtotal Specialty Care</b>	<b>418,333</b>	<b>1,999,452</b>	<b>11,900,000</b>	<b>17%</b>	<b>2,056,371</b>	<b>-3%</b>	
MAP Basic Expansion	0	0	1,975,000	0%	0	0%	
Systems Planning Expansion	0	0	3,300,000	0%	0	0%	
<b>Total Specialty Care</b>	<b>418,333</b>	<b>1,999,452</b>	<b>17,175,000</b>	<b>12%</b>	<b>2,056,371</b>	<b>-3%</b>	

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\* Changes greater than \$90,000 and +/- 33%



# Questions ? Comments ?

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Balance Sheet (Assets) – Slide 4

**Current Assets**

Cash and Cash Equivalents – \$1.3M compared to \$714K January 2021

Short-term Investments – Short-term investments were \$450M at month-end, net of restricted investments totaling \$79M.

Ad Valorem Taxes Receivable – \$63.4M balance is composed of:

Gross Tax Receivables	\$	66.7M
Taxable Assessed Valuation Adjustment		(0.4)M
Est. Allowance for Doubtful collections		(2.9)M
Total Taxes Receivable	\$	63.4M

Other Receivables – Other receivables total \$2.2M and includes intercompany balances:

- CommUnityCare - \$677K
- Sendero - \$706K
- Community Care Collaborative - \$27K
- Accrued Interest - \$500K
- Miscellaneous Receivables – \$320K



January 2022 Preliminary Monthly Financial Statements (unaudited)

Page 2 of 6

Balance Sheet (Assets) – Slide 4 (continued)

Prepaid Expenses – \$730K balance composed of:

- TCAD Appraisal Fees - \$188K
- ICC - \$115K
- Prepaid Tax Collection Fees - \$89K
- Software - \$84K
- Deposits - \$82K
- Prepaid Insurance - \$76K
- JTT Equipment - \$65K
- Memberships - \$31K

**Total Current Assets – \$517M**

**Restricted Cash & Investments or Noncurrent**

Investments Restricted for Capital Acquisition – \$79M in securities and reserves restricted for capital acquisition.

Sendero Paid-in-Capital – \$71.0M (unchanged)

Working Capital Advance to CommUnityCare – \$4.0M (unchanged)

Sendero Surplus Debenture – \$37.1M (unchanged)

Restricted TCHD LPPF Cash & Investments - \$22M

Capital Assets – \$85M, net of accumulated depreciation (unchanged)

**Total Assets – \$816M**



**Current Liabilities** – Slide 5

Accounts Payable – Major components of the \$7.6M balance are:

- \$6.3M estimated IBNR for healthcare services.
- \$1.3M vendor invoices due.

Salaries and Benefits Payable – \$2M balance is comprised of the accrued liability for salary costs unpaid at month-end, the value of accrued personal time off.

Other Payables – \$1.2M Contract Liability.

Debt Service Payable, Short-Term – \$5M in Certificates of Obligation and Interest Payable for 2011 and 2021 Taxable and non-Taxable Series, due 03/01/22.

Deferred Tax Revenue - \$34M pending collection

**Total Current Liabilities** – \$50M

**Restricted or Noncurrent Liabilities**

Funds held for TCHD LPPF - \$22M receipts from participants in the LPPF.

Balance Sheet (Liabilities) – Slide 5 (continued)

Debt Service Payable, Long-Term – \$80.2M balance (unchanged):

	Series 2020	Series 2021	
	General Obligation Bonds	Certificates of Obligation Bonds	
Non-tax LT	7.285 M	10.8 M	
Taxable LT		60.0 M	
Premium		2.1 M	
Totals	<b>7.285 M</b>	<b>72.9 M</b>	<b>80.2 M</b>

\$7.285M was originally issued in 2011 for the North Central clinic and refunded May 2020. \$72.9M was issued in 2021 for two clinics and an administration building. Annual payments are due on 3/1 for all Series.

**Total Restricted of Noncurrent Liabilities – \$102.5M**

**Total Liabilities – \$153M**

**Net Assets**

Unrestricted Net Assets – \$522M

Restricted Net Assets – \$56M

Investment in Capital Assets – \$85M

**Total Net Assets – \$664M**

**Total Liabilities and Net Assets – \$816M**



**Sources and Uses Report** – Slide 6

January financials → four months, 33% of the fiscal year.

**Sources – Total \$115M for the month**

Property Tax Revenue – Net property tax revenue for the month was \$114M. Net revenue includes \$115M current month's collections; \$86K Penalties and Interest; (\$500K) in adjustments for prior year delinquent taxes.

Lease Revenue – \$868K recorded for Seton.

Other Revenue – \$98K in monthly investment income

**Uses of Funds – Total \$9.6M for the month**

Total Healthcare Delivery Program – Total healthcare delivery expenses were \$8.7M for the month and \$33M YTD compared to \$31M YTD thru January 2021.

Healthcare Delivery Budget includes funds for service expansion in Post-Acute Care \$2.1M, Primary & Specialty Care \$6.7M, and Community Health Care Initiatives \$875K

Administration Program – \$460K in expense for the month, which includes:

- Personnel costs – \$398K
- Consulting services – \$(53)K
- Legal fees – \$35K
- Other general and administrative – \$80K

**Tax Collection Expenses** – \$504K for the month.

**Excess Sources/(Uses)** – \$105M in January. Current YTD is \$434M compared to prior year YTD of \$309M.





**Healthcare Delivery Expense** – Slide 7

**Healthcare Delivery Expense** – Total \$8.7M January; \$33M YTD compared to \$31M January FY21 YTD.

Intergovernmental Transfers (“IGT’s”) – YTD \$0M for DSRIP IGT compared to \$0M YTD last year for DSRIP IGT.

Healthcare Services – Healthcare delivery providers’ expense for January totaled \$5.6M, which includes:

- Primary care – \$4.2M
- Specialty Care (including Dental and Behavioral Health) – \$437K
- Pharmacy - \$905K
- All Other - \$57

ACA Premium Assist, Education, Enrollment – \$1.2M in expenses for the month; \$4.1M YTD compared to \$3.9M FY21 YTD

Healthcare Facilities and Campus Redevelopment - \$905K in expense for the month and \$1.4M YTD.

Healthcare Delivery Operating Cost – \$805K in expenses for the month and includes:

- Personnel costs – \$1.06M
- Consulting Services – \$125K
- Legal Fees - \$3K
- Other services and purchased goods – \$(384)K

Debt, Reserves and Transfer – \$136K in Debt Service and Capital Reserve for the month

**Total Healthcare Delivery** - for the month of January was \$8.7M.

# Community Care Collaborative

## Financial Statement Presentation

### FY 2022 – as of January 31, 2022 (Preliminary)

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**Central Health Board of Managers**  
**Board of Managers Meeting**  
**February 23, 2022**

**Jeff Knodel, Chief Financial Officer**  
**Lisa Owens, Deputy Chief Financial Officer**



**Community Care**  
**COLLABORATIVE**

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*Preliminary*

# Highlights Community Care Collaborative

January 2022



- \* Cash is at \$21.0M compared to \$20.0M last year.
- \* Total Liabilities are at \$13.8M at the end of January 2022.
- \* Net Assets at the end of January are \$7.3M.

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*Preliminary*

# Balance Sheet Community Care Collaborative

January 31, 2022



Community Care Collaborative

	<u>1/31/2022</u>	<u>1/31/2021</u>
<b>Assets</b>		
Cash and Cash Equivalents	21,015,697	20,028,640
Other Receivables	39,717	22,003
Prepaid and Other	68,286	75,431
Total Assets	<u>21,123,699</u>	<u>20,126,073</u>
<b>Liabilities</b>		
AP and Accrued Liabilities	6,271,395	5,815,909
Deferred Revenue	7,455,418	4,350,228
Other Liabilities	118,153	197,998
Accrued Payroll	620	127,064
Total Liabilities	<u>13,845,585</u>	<u>10,491,198</u>
Net Assets	<u>7,278,114</u>	<u>9,634,875</u>
Liabilities and Net Assets	<u>21,123,699</u>	<u>20,126,073</u>

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*Preliminary*

# Sources and Uses Report, Budget vs Actual

## Fiscal Year-to-Date through January 31, 2022



<b>Sources of Funds</b>	<b>Budget</b>	<b>YTD Actual</b>	<b>YTD % of Budget</b>	<b>Prior YTD Actual</b>
DSRIP Revenue	61,168,472	0	0%	0
Operations Contingency Carryforward	5,362,495	9,123,145	170%	11,316,128
Other Sources	100,000	3,954	4%	8,035
<b>Total Sources of Funds</b>	<b>66,630,967</b>	<b>9,127,099</b>	<b>14%</b>	<b>11,324,163</b>
<b>Uses - Programs</b>				
Healthcare Delivery	19,630,967	4,193,158	21%	4,425,997
UT Services Agreement	35,000,000	0	0%	0
DSRIP Project Costs	12,000,000	2,655,827	22%	2,263,291
<b>Total Uses</b>	<b>66,630,967</b>	<b>6,848,985</b>	<b>10%</b>	<b>6,689,288</b>
<b>Net Sources (Uses)</b>	<b>-</b>	<b>2,278,114</b>		<b>4,634,875</b>
<b>Net Assets</b>		<b>7,278,114</b>		<b>9,634,875</b>

\* Operating under FY20 approved budget.

*Preliminary*

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# Healthcare Delivery Costs - Summary

Fiscal Year-to-Date through January 2022

	Budget	YTD Actual	YTD % of Budget	Prior YTD Actual
<b>Healthcare Delivery</b>				
Primary Care & Emergency Transport	921,822	280,448	30%	311,014
Specialty Care	3,908,000	665,617	17%	751,992
Specialty Behavioral Health	8,000,000	2,072,325	26%	2,113,000
Post-Acute Care	2,675,000	621,481	23%	528,279
Urgent and Convenient Care	475,000	24,515	5%	41,133
Healthcare Delivery - Operations	2,849,742	528,772	19%	680,578
Operations Contingency Reserve	801,403	0	0%	0
<b>Total Healthcare Delivery</b>	<b>19,630,967</b>	<b>4,193,158</b>	<b>21%</b>	<b>4,425,997</b>

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\* Operating under FY20 approved budget.

*Preliminary*

# Thank You

[www.ccc-ids.org](http://www.ccc-ids.org)



Community Care  
COLLABORATIVE

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*Preliminary*



January 2022 FYTD Financial Statements (unaudited)  
Page 1 of 4

## **Balance Sheet**

### **Current Assets**

Cash and Cash Equivalents – \$21.0M

Other Receivables – \$40K – Atrium rent receivable, transportation assistance

Prepaid and Other – \$68K – Atrium security deposit and software license

**Total Assets – \$21.1M**

### **Liabilities**

Accounts Payable and Accrued Liabilities – \$6.3M, which includes:

- \$6.2M estimated IBNR (Incurred But Not Received) for healthcare provider services
- \$27K due to Central Health
- \$129K trade payables

Deferred Revenue – \$7.5M deferred revenue related to DSRIP projects

Other Liabilities – \$118K includes leasehold improvement allowance liability of \$37K and deferred rent of \$81K

Payroll Liabilities – \$1K

**Total Liabilities – \$13.8M**





January 2022 FYTD Financial Statements (unaudited)  
Page 2 of 4

### **Net Assets**

Unrestricted Net Assets – \$7.3M

**Total Net Assets – \$7.3M**

**Total Liabilities and Net Assets – \$21.1M**

### **Sources and Uses Report**

January financials → 4 months, 33% of fiscal year

### **Sources of Funds, Year-to-Date**

DSRIP Revenue - \$0K

Operations Contingency - \$9.1M from FY2021, excluding emergency reserves of \$5M.

Other Sources – \$4K interest income

**Uses of Funds, Year-to-Date**

Operating Expenses

Healthcare Delivery (Excludes DSRIP) – \$4.2M

	Budget	YTD Actual	YTD % of Budget	Prior YTD Actual
<b>Healthcare Delivery</b>				
Primary Care & Emergency Transport	921,822	280,448	30%	311,014
Specialty Care	3,908,000	665,617	17%	751,992
Specialty Behavioral Health	8,000,000	2,072,325	26%	2,113,000
Post-Acute Care	2,675,000	621,481	23%	528,279
Urgent and Convenient Care	475,000	24,515	5%	41,133
Healthcare Delivery - Operations	2,849,742	528,772	19%	680,578
Operations Contingency Reserve	801,403	0	0%	0
<b>Total Healthcare Delivery</b>	<b>19,630,967</b>	<b>4,193,158</b>	<b>21%</b>	<b>4,425,997</b>

UT Services Agreement – \$0M



January 2022 FYTD Financial Statements (unaudited)  
Page 4 of 4

DSRIP Project Costs – \$2.7M, primarily made up of provider earnings of:

- Community Care - \$2.0M
- Lone Star Circle of Care – \$535K
- Hospice Austin – \$71K
- DSRIP Operating Expenses - \$26K

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CENTRAL HEALTH

**Our Vision**

Central Texas is a model healthy community.

**Our Mission**

By caring for those who need it most, Central Health improves the health of our community.

**Our Values**

Central Health will achieve excellence through:

*Stewardship* - We maintain public trust through fiscal discipline and open and transparent communication.

*Innovation* - We create solutions to improve healthcare access.

*Respect* - We honor our relationship with those we serve and those with whom we work.

*Collaboration* - We partner with others to improve the health of our community.

## **BOARD MEETING**

**February 23, 2022**

## **REGULAR AGENDA ITEM 3**

Receive training on open government statutes. (*Informational Item*)



# CENTRAL HEALTH

## **Our Vision**

Central Texas is a model healthy community.

## **Our Mission**

By caring for those who need it most, Central Health improves the health of our community.

## **Our Values**

Central Health will achieve excellence through:

*Stewardship* - We maintain public trust through fiscal discipline and open and transparent communication.

*Innovation* - We create solutions to improve healthcare access.

*Respect* - We honor our relationship with those we serve and those with whom we work.

*Collaboration* - We partner with others to improve the health of our community.

## **BOARD MEETING**

**February 23, 2022**

## **REGULAR AGENDA ITEM 4**

Discuss Board compliance policies and procedures, including:

- a. CMP-001 Compliance Program Description Policy
- b. CMP-0010 Code of Conduct and Ethics for Board Members Policy
- c. CMP-006 Reporting and Non-Retaliation Policy
- d. CMP-009 Duality and Conflict of Interest Policy
- e. CMP-009p Duality and Conflict of Interest Procedures (*Informational Item*)



**AGENDA ITEM SUBMISSION FORM**

Today's Date: 01/18/2022

Agenda Item Meeting Date: 02/23/2022

Form Prepared By: McKenzie Frazier

Who will present the agenda item? (Name, Title): McKenzie Frazier, Compliance Officer

Item Description: Highlight compliance policies and procedures for Board awareness

Is this an informational or action item? Informational

Fiscal Impact: No

Proposed Motion: No

What backup will you provide to the Board? (Backup is due one week before the meeting. Please notify Briana if your backup will be late.) 5 compliance documents

How much time do you think the item will take including presentation & questions? 15 minutes

Key takeaways about agenda item:

- CMP-001 Compliance Program Description Policy
- CMP-0010 Code of Conduct and Ethics for Board Members Policy
- CMP-006 Reporting and Non-Retaliation Policy
- CMP-009 Duality and Conflict of Interest Policy
- CMP-009p Duality and Conflict of Interest Procedures

Is closed session needed? (You will have to consult with attorneys to ensure closed session is permitted.) No



<b>Policy Title:</b> Compliance Program Description
<b>Policy #:</b> CMP-001
<b>Effective Date:</b> 5/28/2010
<b>Revision Dates:</b> 4/6/2017, 1/30/2019
<b>Review Dates:</b> 07/15/2020; <b>07/12/2021</b>
<b>Policy Owner:</b> VP, Compliance
<b>Executive Sponsor:</b> Chief Executive Officer
<b>Attachments:</b> None

**I. PURPOSE**

The purpose of Central Health’s Compliance Program is to establish and maintain the infrastructure and program components necessary to promote and better ensure compliance with applicable laws, regulations, ordinances, and policies and procedures, including the Central Health Code of Conduct and Ethics. The Compliance Program is intended to promote a culture of ethics and compliance across the organization, foster the identification and reporting of compliance violations and foster rapid response when issues have been identified.

Additionally, as a steward of public funds, Central Health is obligated to act in accordance with the highest ethical standards. The Central Health Compliance Program was implemented following a resolution of the Central Health Board of Managers Establishing High Standards of Integrity dated May 27, 2010 ("Board Resolution").

**II. SCOPE**

This policy applies to all Central Health employees and operations. This includes Board of Managers, officers, interns, volunteers, and temporary employees.

**III. DEFINITIONS**

None.

**IV. POLICY**

In partnership with the Central Health Code of Conduct, this policy provides a summary of the Compliance Program structure, responsibilities and core components.

The Compliance Program is established by Central Health’s Board of Managers. Under the direction of the Chief Executive Officer (CEO), the Compliance Officer manages the day-to-day operations of the Compliance Program. This includes maintaining a current Compliance Work Plan that is relevant to Central Health operations and an annual Audit Plan that monitors controls in areas with corrective actions based on actual or potential findings from external reviews, internal routine or special reviews, or any other issues that deserve study for potential improvements. The scope of areas subject to routine and special reviews is vast and may include any department, line of service, or activity within the organization.

Additionally, the Compliance Committee conducts an annual review of the Compliance Program Description and evaluates the effectiveness of the Compliance Program. These work products are presented to the CEO and the Board with recommendations for improvement.

The Compliance Program Description and Work Plan will address the following:

1. Regularly scheduled review and **distribution of written standards of conduct**, compliance program documents and organizational policies and standard operating procedures (SOP). These materials should articulate Central Health's commitment to compliance with all applicable laws, regulations, standards, and contractual obligations. Documents should be written in language clearly understandable by impacted individuals and distributed in a consistent manner. *CMP-0011 should be referenced for additional guidance regarding Policy and Standard Operating Procedure Management.*
2. Identified personnel responsible for the implementation of the Compliance Program, including a **dedicated Compliance Officer and Compliance Committee**. Compliance Officer may at times designate responsibilities to other compliance personnel. This individual, or individuals, will be responsible for the daily operations and monitoring of the Compliance Program and have a clear line of reporting to the Chief Executive Officer to ensure timely escalation and response to identified risks and issues.
3. Development and implementation of **frequent and effective compliance education and training** for all employees, Board members, and when applicable consultants and contractors. Training topics should include, but not be limited to; the structure of Central Health's Compliance Program, methods for reporting suspected compliance violations, information regarding fraud, waste and abuse policies, HIPAA privacy and security, ethics and organization-specific policies and SOPs.
  - a. Compliance training will occur for all newly hired employees. Training should be completed within ninety (90) days of an employee's start date. Training may be provided in person or electronically.
  - b. Annual Compliance training will be provided to all employees, Board members, and when applicable, consultants and contractors. Training may be provided in person or electronically.
  - c. Periodic training may be identified as necessary. Circumstance that may warrant a periodic training include: as an element of a corrective action addressing identified noncompliance, changes in individual or department job responsibilities, in response to identified trends, following the issuance of a new regulation or law, etc.
4. Central Health ensures there are clear and effective lines of communication that allow all employees, Board members, consultants, contractors, and the public a **method for reporting any suspected violation** or compliance concern to the Compliance Officer without fear of retaliation. This includes a dedicated Compliance and Ethics Help Line. The Compliance Officer is responsible for communicating the methods in which employees may report concerns and provide a path for investigating if there is



suspected retaliation against an individual for an in good faith compliance report. *HR1-005 can be referenced for further information regarding Central Health's Anti-Harassment & Retaliation policy. Additionally, CMP-006 provides information related to the Compliance and Ethics Help Line.*

5. Promotes the **publication of disciplinary standards and guidelines**, which ensure timely response and consistent enforcement of appropriate action following identification of a violation.
6. Oversight and implementation of **audits and monitoring techniques** to aid in the proactive identification, prompt investigation and reduction of compliance violations. A responsibility of the Compliance Program will be to ensure audit and monitoring activities align with identified risk areas.
7. Systematic method for **responding to suspected compliance violations**, improper or illegal activities. Investigations should incorporate the necessary management, including Legal Counsel, to promptly identify root cause, implement corrective and disciplinary actions, mitigate future violations and, if applicable, manage disclosure or report to necessary authorities. CMP-008 can be referenced for additional information regarding compliance investigations.

#### **V. RELEVANT STATUTES, REGULATIONS OR GUIDANCE**

United States Federal Sentencing Guidelines

#### **VI. RELATED POLICIES AND PROCEDURES**

HR2-002 - Background Checks  
HR1-005 - Policy Against Harassment  
CMP-006 - Reporting and Non-Retaliation  
CMP-008 - Compliance Investigations  
CMP-0011P - Policy and Procedure Management

#### **VII. PROCESS**

None



<b>Policy Title:</b> Code of Conduct and Ethics for Board Members
<b>Policy #:</b> CMP-0010
<b>Effective Date:</b> 5/28/2010
<b>Revision Dates:</b> 4/6/2017, 1/30/2019
<b>Review Dates:</b> 07/15/2020; <b>07/12/2021</b>
<b>Policy Owner:</b> VP, Compliance
<b>Executive Sponsor:</b> Chief Executive Officer
<b>Attachments:</b> None

**I. PURPOSE**

This Code of Conduct and Ethics for Board Members is a component of the written compliance policies for the Central Health Compliance Program. The Code of Conduct and Ethics for Board Members is a set of standards for legal and ethical business conduct that requires all Central Health Board members to comply with applicable federal and state laws governing Central Health's governance and to provide guidance to Board members regarding Board conduct and practices. The Code of Conduct and Ethics for Board Members will be distributed to all Board members upon appointment.

**II. SCOPE**

This Code of Conduct and Ethics for Board Members applies to all Board members in carrying out their duties and authorities pursuant to their constitutional and statutory mandates, as well as those outlined in governing documents and policies.

**III. DEFINITIONS**

None.

**IV. POLICY**

**1) Be Honest**

All Central Health Board members must be honest in the performance of their duties for Central Health.

**2) Follow applicable law, policies and procedures**

Central Health is committed to complying, and Board members are under an ongoing duty to comply with all applicable state and federal laws, regulations, guidelines, and Central Health policies and procedures, where applicable, including this Code of Conduct and Ethics for Board Members. Noncompliance with Central Health policies, procedures federal or state laws, regulations, guidelines, or any implication or suspicion thereof must be brought to the attention of a Board Chairperson, Legal Counsel or the Compliance Officer.

**3) Maintain and protect information**

Certain actions and transactions, including compliance-related transactions, must be

accurately documented on a timely basis according to Central Health policy and procedures and in accordance with applicable state and federal laws and regulations. Falsifying a government record may result in criminal liability. Central Health has provided a Record Management Policy that establishes guidance regarding the creation, distribution, retention, storage, retrieval, and destruction of documents. The Record Management policy outlines retention for (i) all records and documentation required by federal or state law for participation in federal health care programs; and (ii) all records necessary to protect the integrity of Central Health's compliance process and confirm the effectiveness of the program, including training records, reports from the Help Line, modifications to the Compliance Program, and the results of auditing and monitoring efforts.

**4) Protect Central Health assets**

Central Health property, facilities, equipment, supplies, personnel time, and accounts receivable (including monies owed to Central Health) are Central Health assets. Central Health Board members must respect Central Health property and maintain and protect it. Central Health assets and property, including but not limited to computers, supplies, staff time, records, business records and cash, may not be used for personal use or gain. Equipment and supplies removed from Central Health must be accounted for, used for Central Health business and all equipment and unused disposable goods must be returned in good condition.

**5) Respect the rights of others**

All persons at Central Health should be treated with dignity and respect. Central Health does not tolerate harassment or discrimination of any personnel or member of the public in any manner or form, and specifically, on the basis of sex, race, color, national origin, citizenship status, marital status, sexual orientation, veteran status, religion, age, or disability. Suspected harassment or discrimination shall be reported to the Board Chairperson.

**6) Business transactions must be conducted free from offers or solicitation of gifts**

Central Health Board members must conduct all Central Health business with honesty and integrity. Central Health Board members are expected to conduct business in a manner that is free from offers or solicitation of gifts, favors, or other improper inducements. Business transactions with outside vendors, contractors and other third parties must be free from offers or solicitation of gifts and favors or other improper inducements in exchange for influence or assistance in a transaction. Central Health Board members cannot accept gifts, favors, services, entertainment, or other things of value to the extent that the decision making of Central Health or a Board member might be influenced. Board members will abide by Local Government Code, Chapter 171 and Chapter 176. If there is any concern about whether a particular gift should be accepted, Board members should consult with Central Health Legal Counsel. Board members should reference CMP-009 and CMP-009 Duality and Conflict of Interest Policy and Standard Operating Procedures for additional information.

**7) Do not offer, solicit, or accept bribes, kickbacks, or rebates**

Offering, soliciting, or accepting a bribe, kickback, or rebate for any good or service associated with Central Health is inappropriate, illegal, unethical, and strictly prohibited by Central Health. A "bribe" is money or other thing of value, including a favor, given or promised in order to influence the judgement or conduct of another person. A "kickback" is the return of a part of a sum or other thing of value received, often because of a confidential agreement or coercion. A "rebate" is the return of part of a payment. If there are any questions concerning a potential offer, solicitation, or other arrangement, contact Legal Counsel. Board members should reference CMP-009 and CMP-009 Duality and Conflict of Interest Policy and Standard Operating Procedures for additional information.

**8) Lead by example**

It is incumbent upon Central Health Board members to provide leadership that is ethical and lawful to Central Health and to assure that adequate systems, policies and procedures are in place to promote and ensure ethical and legal conduct.

**9) Fiduciary Duties**

Under Texas law and Central Health policy, Board members owe a fiduciary duty to Central Health. A Board member's fiduciary duty includes the duty to act in the best interests of Central Health, including placing the public interest and Central Health's interests above personal conflicts or conflicting loyalties. A Board member's fiduciary duty includes but is not limited to avoiding conflicts of interest. A Board member's fiduciary duty to Central Health precludes a Board member from appearing before the Central Health Board or lobbying Central Health staff as an advocate for Central Health funding to outside persons, groups, or interests.

**10) Use of Central Health staff and resources**

**Official requests for staff time or resources**

Board members making use of Central Health staff time or resources should specify whether the request is made in an official capacity regarding Board policy or potential Board policy, or individual capacity. Requests from board members not expressed during a board or committee meeting should be directed to Central Health's President and CEO or designee. If the request is made in an official capacity, the Board member should first discuss the request with the Chairperson. Board members shall be respectful of Central Health staff time and duties and avoid unduly burdensome and/ or unreasonably repetitive requests. Requests for staff time or resources shall not be unreasonably withheld.

**Information requests for staff time or resources**

Information requests made by a Board member in a personal capacity should utilize the process establish by Central Health for receiving Texas Public Information Act requests, including the submission of the request in writing. Board members who request information in their personal capacity should not seek special or expedited treatment.

Before making requests for information retained by Central Health, that may be confidential or protected by law, Board members may consult with Legal Counsel.

### **11) Appointments**

A Board member appointed by Central Health to another board or organization, including affiliated entities of Central Health, should remain aware, in consultation with Central Health's President and CEO and Legal Counsel, that the Board member's duty is to represent Central Health. If a conflict of interest arises between Central Health and the entity to which the Board member is appointed, the Board member should recuse himself or herself from any discussion, consideration, or action that presents a conflict of interest. A member of the Board of Managers appointed to another entity shall protect from unauthorized disclosure all confidential information that may be orally presented or come into the physical possession of the Board member, concerning Central Health, the other organization, or entity.

### **12) Public Appearances**

A Board member appearing in a public forum or making a public statement should make it clear whether the Board member is speaking in a personal capacity or as a representative for Central Health. A Board member appearing in a public forum or making public statements should not purport to speak on behalf of Central Health or the Board of Managers unless authorized to do by the Board or Board Chairperson. A Board member may communicate publicly concerning official Board policy positions or an approved Central Health initiative or activity. A Board member appearing at a public forum or making public statements or communications in any capacity should be cognizant of his or her fiduciary duty to Central Health.

### **13) Record Retention**

Board members will comply with Central Health policies on record retention. Board members will be cognizant that communications in their official capacities or concerning Central Health business made using personal email, text messaging or any electronic media may be subject to public disclosure under the Texas Public Information Act. Board members shall retain personal Central Health and Board related electronic correspondence and other communications on personal devices and systems in a manner that complies with Central Health's record retention policy and applicable law. Board members will cooperate fully with Central Health staff and Legal Counsel in responding to information requests that seek official Central Health communications maintained by a Board member in private email or on other private electronic communication systems. Board members should avoid making statements in an official capacity by emails or any electronic media that, if disclosed, could reasonably cause negative public perceptions of Central Health or the Board of Managers.

### **14) Ethics**

Board members will:  
Listen carefully to fellow Board Members;  
Respect the opinion of fellow Board Members;

Respect and support the majority decisions of the Board or Board Committee;  
Bring to the attention of the Board any issue the Board member has reason to believe will adversely affect Central Health or the Board of Managers;  
Not discuss the confidential business or proceeding of Central Health or the Board of Managers outside the boardroom or confidential and privileged setting; and not interfere with the duties of the President and CEO.

#### **15) Compliance**

Violations of this Code of Conduct and Ethics for Board members shall be reviewed by the Chairperson, who may and in consultation and with the approval of the Board officers, may take reasonable action that is intended to correct the violation and encourage compliance. Any such action may include a verbal discussion with the Board member, written documentation of the violation, making or changing committee assignments, or other action that may be deemed appropriate as determined by the Board.

#### **V. RELEVANT STATUTES, REGULATIONS OR GUIDANCE**

United States Sentencing Guidelines, Chapter 171 and 176, Texas Local Government Code.

#### **VI. RELATED POLICIES AND PROCEDURES**

CMP-001 - Compliance Program Description

CMP-006 - Reporting and Non-Retaliation

CMP-008 - Compliance Investigations

CMP-009 - Duality and Conflict of Interest

CMP-009Pa - Duality and Conflict of Interest (Attachment A)

#### **VII. PROCESS**

None.



<b>Policy Title:</b> Reporting and Non-Retaliation
<b>Policy #:</b> CMP-006
<b>Effective Date:</b> 5/28/2010
<b>Revision Dates:</b> 4/6/2017, 1/30/2019
<b>Review Dates:</b> 07/15/2020; <b>07/12/2021</b>
<b>Policy Owner:</b> VP, Compliance
<b>Executive Sponsor:</b> Chief Executive Officer
<b>Attachments:</b> None

**I. PURPOSE**

It is Central Health's policy to require all employees to report relevant perceived wrongdoing and suspected violations of compliance policies and to protect reporting employees from retaliation for all reports of perceived wrongdoing.

**II. SCOPE**

This policy applies to all Central Health employees and operations. This includes Board of Managers, officers, interns, volunteers, and temporary employees.

**III. DEFINITIONS**

**Wrongdoing** – Any behavior categorized as illegal, unethical, or dishonest. Can include non-compliance with organizational policies and procedures.

**Retaliation** – Discriminatory action against an individual based solely on their participation in the compliance reporting process. Examples can include, but are not limited to, transferring an employee to a less desirable position, increased scrutiny, unwarranted negative performance evaluation, physical or verbal abuse or employment dismissal.

**IV. POLICY**

- 1) All Central Health employees, including executives and Board members, have an affirmative duty and responsibility for immediately reporting knowledge of perceived wrongdoing, including actual or potential violations of laws, regulations, policies, or procedures, including the Code of Conduct and Ethics for employees and Board members. Employees may report to a Board member, executive, supervisor, Human Resources (in the event of a personnel policy violation), or the Compliance Officer, or designee, or through the Compliance and Ethics Help Line. *See Standards of Conduct, Policy Number HR 2-008.* Board members may report to the Chairperson, the CEO, or the Compliance Officer or via the Compliance and Ethics Help Line.
- 2) Individuals are encouraged to utilize the Compliance and Ethics Help Line for matters requiring they remain anonymous to protect them from any possible retaliatory act. Employees may proceed up the chain of command or communicate with the Compliance Officer or designee, or Human Resources if a perceived problem or concern is not resolved. **Central Health permits no form of retaliation.**

- 3) Regular business matters that do not require anonymity are encouraged to be directed to an individual's supervisor, a Board member, an executive, Human Resources or the Compliance Officer.
- 4) Knowledge of a violation or potential violation of this non-retaliation policy must be reported directly to the Compliance Officer or through the Compliance Help Line. The Compliance Officer will be responsible for the investigation and follow-up of any reported retaliation against an employee for reporting under this policy and will report the results of an investigation to the Chief Executive Officer (CEO), VP of Human Resources and/or Central Health Board of Managers, as appropriate.
- 5) Officers, supervisors, and employees will be held accountable for failing to comply with, or for the foreseeable failure of their subordinates to adhere to, the applicable standards, laws, and procedures.

## **V. RELEVANT STATUTES, REGULATIONS OR GUIDANCE**

United States Sentencing Guidelines

## **VI. RELATED POLICIES AND PROCEDURES**

HR2-008 - Standards of Conduct

CMP-008 - Compliance Investigations

## **VII. PROCESS**

Known or suspected concerns may be directed to Central Health's third-party managed Compliance and Ethics Help Line in the following ways:

- **Website:** [www.lighthouse-services.com/centralhealth](http://www.lighthouse-services.com/centralhealth)
- **Toll-Free Telephone:** (833) 770-0080 (English)
- **Toll-Free Telephone:** (800) 216-1288 (Spanish)
- **Email:** [reports@lighthouse-services.com](mailto:reports@lighthouse-services.com) (must reference Central Health with the report)
- **Fax:** (215) 689-3885 (must reference Central Health with the report)

Employees will have the ability to remain anonymous if they choose. Please note that the information provided may be the basis for an internal or external investigation and anonymity will be protected to the extent possible by law. However, the employee's identity may become known during the course of the investigation because of the information provided. *Please see CMP-008 Compliance Investigations for additional information.*





<b>Policy Title:</b> Duality and Conflict of Interest
<b>Policy #:</b> CMP-009
<b>Effective Date:</b> 5/28/2010
<b>Revision Dates:</b> 4/6/2017, 1/30/2019
<b>Review Dates:</b> 7/15/2020; <b>07/12/2021</b>
<b>Policy Owner:</b> VP, Compliance
<b>Executive Sponsor:</b> Chief Executive Officer
<b>Attachments:</b> CMP-009P - Duality and Conflict of Interest (SOP)

**I. PURPOSE**

It is Central Health's policy that all decisions and actions by the members of the Central Health Board of Managers, officers, supervisors, and employees be made in a manner that promotes Central Health's best interests and avoids the perception of a conflict of interest. This policy shall be maintained by the following practices of disclosure of affiliation and withdrawal from debate, voting, or other decision-making processes where duality or conflict of interest may exist as provided in Chapters 171 and 176 of the Texas Local Government Code.

**II. SCOPE**

This policy applies to all Central Health employees and operations. This includes Board of Managers, officers, interns, volunteers, and temporary employees, which will collectively be referred to as "individuals".

**III. DEFINITIONS**

**Affiliation(s)** – any commitment, compensation arrangement, financial investment or relationship, obligation, involvement or other interest, either direct or indirect, that (i) may influence, or could be perceived to influence, a person’s decision or action; (ii) could reasonably impair the person’s judgement in the performance of his/her official duties; or (iii) might require or induce the person to disclose confidential, privileged, or proprietary information acquired through performance of his/her official duties.

**Cash equivalent** – includes currency, coins, money orders, checks, credits, gift cards, vouchers or coupons.

**Business entity** – a sole proprietorship, partnership, firm, corporation, holding company, joint-stock company, receivership, trust, or any other entity recognized by law.

**Conflict of interest** – when a person in a position to decide, vote or take action on a matter and has a substantial interest in the business entity or real property in which an action will be taken.

**Duality of interest** – any activity or interaction that has the potential to compromise an employee’s objectivity, that conflicts with Central Health’s interests, or that interferes with their ability to perform their work duties for Central Health.

**Family member** – for purpose of this policy, this term is defined as spouse, domestic partner, or any immediate relative (e.g. parent, child and siblings).

**Financial interest** – includes employment, ownership, or investment interest, equity, stock, intellectual property interest (patents or trademarks), royalties, compensation or reimbursement arrangements and any other payments for service.

**Gift** – any item, product or service offered to benefit an individual. The term includes but is not limited to food and drink, promotional materials, cash or cash equivalent, discounts, entertainment, and financial interest.

**Intergovernmental transfer (IGT)** – transfer of public funds by Central Health, for the benefit of a permissible affiliated participating provider, for the purposes of any state or federal supplemental payment program including, but not limited to, the State of Texas 1115 Medicaid Waiver.

**Reasonably likely** – is met when the person or body responsible for determining whether a duality of interest exists finds that there is sufficient evidence that conclude that a particular outcome is more like to occur than not.

**Substantial interest** – exists if 1) owns ten percent or more voting stock or shares of a business entity, 2) owns either ten or \$15,000 or more of the fair market value of a business entity, 3) has direct or indirect compensation arrangement which accounts to more than ten percent of the person's gross income, 4) holds an equitable or legal ownership in real property with a fair market value of \$2,500 or more; or 5) has a family member who has a substantial interest in a business entity as outlined.

#### IV. POLICY

Central Health encourages opportunities for individuals to engage in professional activities within the community and health care industry. Such activities can help staff develop their skills and expertise and enhance the organization's mission. At the same time, involvement in these activities may create a conflict or duality of interest. It is Central Health's policy that these activities must not impair an individual's ability to perform their role with Central Health. This policy is designed to assist individuals in identifying situations that present potential conflicts or duality of interest and to provide a procedure for appropriately disclosing.

Accordingly, it is the policy of Central Health that:

- All individuals shall seek approval from their supervisor or governing authority prior to entering into any employment, transaction, or other arrangement that may be a conflict or duality of interest;
- All individuals, including Board members, shall not use their position with Central Health for personal gain for themselves or family members;
- Contracts and agreements on behalf of Central Health will involve reasonable precautions to avoid any personal benefit;
- All individuals, including Board members, shall complete a Conflict of Interest Disclosure form at hire or oath of office, or at the time a new situation occurs which alters the previous disclosure submission, and annually thereafter;
- All individuals, including Board members, shall update their disclosure statement with any new potential conflicts or duality of interest as soon as they arise;
- All individuals, including Board members, shall review and follow the attached guidelines for identifying and disclosing conflicts of interest; and

- Engaging in an undisclosed conflict of interest may be cause for disciplinary action up to and including termination of employment. *Employees may reference HR 2-017 for additional discipline information.* Board member disciplinary actions will be determined by the Board or Chief Executive Officer (CEO).

## **V. RELEVANT STATUTES, REGULATIONS OR GUIDANCE**

United States Sentencing Guidelines, Chapter 171 and Chapter 176, Texas Local Government Code.

## **VI. RELATED POLICIES AND PROCEDURES**

HR2-015 - Participation in Professional Associations, Community Affairs, and Civic Organizations

HR2-017 - Discipline

HR2-033 - Nepotism

CMP-002 - Code of Conduct and Ethics for Employees

CMP-009P - Duality and Conflict of Interest (SOP)

CMP-009Pa - Duality and Conflict of Interest (Attachment A)

## **VII. PROCESS**

The following categories of items and services are examples of potential situations in which a conflict or duality of interest must be disclosed. Note this is not an exhaustive list as there may be other relationships, items or services that may be perceived as a conflict or duality of interest that require disclosure.

- **Gifts**
  - Individuals may retain gifts that will not influence decision-making and have nominal value of less than \$50 per gift and \$300 per year in the aggregate.
  - Additional circumstances in which individuals may offer or accept gifts, in which value may not be known, include the following:
    - Achievement awards;
    - Donations if solely for use and operation by Central Health. Donations should come through the Finance department for clearance;
    - Provided in connection with attendance at continuing education programs or other professional society meetings open to the public (i.e. raffle prizes);
    - The offering or accepting of these gifts is still dependent on there being no perception of an influence on the individuals' decision-making.
  - Individuals are prohibited from accepting gifts from clients and/or patients unless the gift is modest and nominal token of appreciation and the gift is not cash or cash equivalent.
  - If there is, a concern about whether a particular gift should be accepted individuals must consult the Compliance Officer.

- **Personal Financial Interests**
  - Individuals may have personal substantial interest, ownership, or investment with entities, which do or seek to do business with Central Health. However, these interests must be disclosed to ensure effective controls are implemented.
  - Examples of controls include, but are not limited to; individuals may be required to abstain from negotiation, oversight, and decision-making processes related to the business entity if the individuals personal financial interest causes or has the appearance of affecting their ability to act in the best interest of Central Health.
  - *See CMP-009P Conflict of Interest and Duality Procedure for additional information* regarding the process for disclosing such affiliations, and the procedure for implementing controls to mitigate any influence on decision-making.
- **Outside Employment**
  - Individuals may engage in outside work with prior approval of their supervisor so long as the outside work does not conflict with Central Health's interests, mission or strategic plan or does not interfere with the employee's work performance.
  - Individuals, such as officers or Board members, may be required to abstain from debate, voting, and decision-making processes if their employment causes or has the appearance of affecting their ability to act in the best interest of Central Health.
- **Charitable Organizations and Events**
  - Individuals may participate in professional associations, charitable and/or civic organizations so long as participation does not conflict with Central Health's interests, mission or strategic plan or does not interfere with the employee's work performance. *See also HR2-015 Participation in Professional Associations, Community Affairs & Civic Organizations for additional information.*
- **Family, Friends, and Personal Workplace Relationships**
  - Central Health will not permit an employment arrangement which would result in a supervisor/subordinate relationship between family members, or if it creates an appearance of control of interest. *HR2-033 Nepotism provides additional information* regarding controls, which may be required to mitigate any perceived or actual conflict of interest regarding familiar and personal workplace relationships.
- **Revolving Door**
  - For two years post appointment as a Board Member or post-employment with Central Health, an individual may not accept an offer of employment from any entity in which they participated on behalf of Central Health in a successful procurement or contract negotiation involving that entity.
  - For two years post appointment as a Board Member or post-employment as a Director or above with Central Health, an individual may not submit responses to any Central Health proposal for services or goods, including professional services.
  - For two years post appointment as a Board Member or post-employment as a Director or above with Central Health, an individual may not approach a current

Board Member or employee on behalf of any person and/or organization with the intent to influence Central Health action.

Additional procedures related to the identification, disclosure and implementation of controls to mitigate individuals' affiliations from influencing decision-making can be reviewed in *CMP-009P Conflict of Interest and Duality Procedure*.

Nothing in this policy should be interpreted to imply that Central Health Board or staff members are expected to disclose or otherwise reveal any political, religious, ethnic, or fraternal Affiliations.



<b>Standard Operating Procedure Title:</b> Duality and Conflict of Interest Procedure (SOP)
<b>SOP #:</b> CMP-009P
<b>Effective Date:</b> 1/31/2019
<b>Revision Dates:</b>
<b>Review Dates:</b> 07/15/2020; <b>07/12/2021</b>
<b>SOP Owner:</b> VP, Compliance
<b>Executive Sponsor:</b> Chief Executive Officer
<b>Attachments:</b> Employee Conflict of Interest Disclosure (A); Statement of Interest Form (B); Personal Financial Statement (Submitted Separately); Form CIS (Submitted Separately).

**I. PURPOSE**

The purpose of this standard operating procedure (SOP) is to assist employees, including Board of Managers, in understanding and complying with Central Health’s duality and conflict of interest policy. This procedure includes instructions for disclosing potential duality or conflict of interests and actions to be taken by individuals to mitigate perception or known duality or conflicts from influencing their ability to perform their role or function on behalf of Central Health.

**II. SCOPE**

This SOP applies to all Central Health employees and operations. This includes Board of Managers, officers, interns, volunteers and temporary employees, which will collectively be referred to as “individuals”.

**III. DEFINITIONS**

See policy CMP-009 for related definitions.

**IV. RELEVANT STATUTES, REGULATIONS OR GUIDANCE**

United States Sentencing Guidelines, Chapter 171 and Chapter 176, Texas Local Government Code.

**V. RELATED POLICIES AND PROCEDURES**

- CMP-009 - Duality and Conflict of Interest
- CMP-009Pa - Duality and Conflict of Interest (Attachment A)
- CMP-009Pb - Duality and Conflict of Interest (Attachment B)

**VI. PROCEDURE**

It is the duty of all individuals to disclose at hire or initial contracting situations, which may be perceived as a conflict or duality of interest. Procedures for how employees and Board members may report any conflict or duality of interest to the Compliance Officer, or designee are as follows:

### **Central Health Staff Disclosure Statements:**

- **New Hire Attestation:**

Upon hire all new employees will be required to complete an *Employee Conflict of Interest Disclosure form (see Attachment A)*. Form to be completed and submitted within 90 days of the start of their employment.

- **Annual Attestation:**

Annually, employees with financial responsibility, including decision-making regarding the procurement of vendor services, and Director-and-above level employees will acknowledge receipt and understanding of *CMP-009 Duality and Conflict of Interest Policy*. Additionally, employees will submit an annual Employee Conflict of Interest Disclosure form.

- **Situational Attestation:**

Employees are responsible for reporting to the Compliance Officer when there has been a change in their situation, which affects their last submitted *Employee Conflict of Interest Disclosure Form*. If circumstances have changed and a situation that could be considered a conflict of interest as arisen the employee is responsible for submitting an updated *Employee Disclosure Form* to the Compliance Office.

- **Chief Executive Officer Personal Financial Statement:**

The Central Health Chief Executive Officer (CEO) must complete and submit a *Personal Financial Disclosure Statement* that complies with the requirements of Texas Government Code Sections 572.022 and 572.023. The statement must be submitted to the Travis County Intergovernmental Relations Department by electronic mail or personal delivery no later than:

- 5:00 p.m. on the forty-fifth day after the date on which he or she assumes the duties of the position of CEO.

In the event that the Central Health CEO experiences a substantial change in his or her financial circumstances the CEO shall notify the Chairperson of the Central Health Board of Managers. The Chairperson of the Central Health Board of Managers, in consultation with Legal Counsel, shall determine whether the change is of such a nature or amount that it should be reported to the Travis County Commissioners Court. If the Chairperson determines that the change should be reported to the Travis County Commissioners Court, the Chairperson will first notify the affected individual of the Chairperson's determination. The Chairperson will then report the change to the clerk of the Travis County Commissioners Court.

## Central Health Board of Manager Disclosure Statements:

- **Statement of Interest Form:**

Members of the Central Health Board of Managers must complete and submit a written *Statement of Interest Form* (see Attachment B) describing the nature and extent of any of his or her Affiliations as follows:

- Within twenty business days of taking the oath of office; and
- The annual Board Meeting each year.

These disclosure statements must be submitted to the Board Secretary by 5:00 p.m. on the twentieth business day after taking the oath of office and the annual Board Meeting each year.

Board Members are also required to submit amended Statement of Interest Forms whenever their Affiliations change. Amended statements shall be submitted within seven business days of the date on which a Board becomes aware of facts that require the amending of the statement.

- **Conflict of Interest:**

Within twenty business days of taking the oath of office, and annually thereafter, the Central Health Board of Managers will complete the Local Government Officer *Conflicts Disclosure Statement (Form CIS)* as published by the Texas Ethics Commission. For a copy of the current CIS form, individuals can contact the Board Liaison.

- **Personal Financial:**

Additionally, members of the Central Health Board of Managers must complete and submit a *Personal Financial Disclosure Statement* that complies with the requirements of Texas Government Code Sections 572.022 and 572.023. The statement must be submitted to the Travis County Intergovernmental Relations Department by electronic mail or personal delivery no later than:

- For Board Members – 5:00 p.m. on the later of
  - the sixtieth day after the deadline to apply for appointment to the Central Health Board of Managers by the Travis County Commissioners Court; or
  - February 12<sup>th</sup>.

In the event that a Board member appointed by the Travis County Commissioners Court experiences a substantial change in his or her financial circumstances the Board member shall notify the Chairperson of the Central Health Board of Managers. The Chairperson of the Central Health Board of Managers, in consultation with Legal Counsel, shall determine whether the change is of such a nature or amount that it should be reported to the Travis County Commissioners Court. If the Chairperson determines that the change should be reported to the Travis County Commissioners Court, the Chairperson will first notify the affected individual of the



Chairperson's determination. The Chairperson will then report the change to the clerk of the Travis County Commissioners Court.

At every Board or Committee meeting at which action is expected to be taken, the Secretary of the Board or the Committee Chair, as appropriate, will read a statement designed to remind Board members of their duty to disclose any Conflict of Interest or Duality of Interest. Each Board member must disclose said Conflict of Interest or Duality of Interest before discussion on any matter involving the interest begins and such disclosure will be reflected in the minutes of the Board or committee meeting. Where a Conflict of Interest exists, the nature and extent of the Board member's interest must also be memorialized in the affidavit required pursuant to Chapter 171 of the Texas Local Government Code.

**Determining Whether a Conflict of Interest or Duality of Interest Exists for staff and Board of Managers:**

- **Before a Board and Committee Meeting or Participation in Transaction:**

- **Conflict of Interest:**

Board members or staff will seek a written opinion from Legal Counsel with the Travis County Attorney's Office on the existence of a Conflict of Interest. Legal Counsel will consider the information contained in the Board or staff member's *Conflict of Interest Disclosure Statement*, as well as, all other material facts provided to him/her by the Board or staff member for the purpose of forming an opinion on whether the Board or staff member has a Conflict of Interest. The Board or staff member will be required to acknowledge Legal Counsel's opinion and follow Legal Counsel's recommended course of action. Examples of recommended courses of action include, but are not limited to the following:

- Abstention or removal from any decision-making duties that involve the source of the Conflict of Interest
- Application of additional oversight to the transaction
- Insertion of contractual terms that prohibit the vendor from making any royalty payments or offering any other compensation or thing of value to staff or Board members subsequent to the execution of a contract

Legal Counsel's opinion is protected by the attorney-client privilege and will remain confidential, unless a violation of this policy is alleged or litigation involving Board action is initiated, in which case, Legal Counsel may share the written opinion with the Chairperson of the Board, the Executive Committee, Legal Counsel, and/or the Compliance Officer, as appropriate. Legal Counsel will report to the Board or Committee at each meeting whether an opinion has been sought by an individual Board Member and, if so, whether any Board member has been determined to have a Conflict of Interest.

To ensure that Legal Counsel has adequate time to produce a written opinion, a Board or staff member must request such opinion at least three business days in advance of the Board/Committee meeting or transaction date. Failure to request an opinion at least three business days in advance of the Board/Committee meeting or transaction date will prevent Legal Counsel from forming an opinion on the subject. Legal Counsel will not, under any circumstances, opine upon the existence of a Conflict of Interest during or immediately prior to a Board or Committee meeting or proposed transaction.

- **Duality of Interest:**

Except in situations involving a vote on intergovernmental transfers, Board and staff members will seek an opinion from the Compliance Officer on the existence of a Duality of Interest. The Compliance Officer will judge whether the Board or staff member's Affiliation is Reasonably Likely to influence the decisions or actions of the Board or staff member in the performance of his/her role. The decision of the Compliance Officer will be binding upon (and is non-appealable by) staff members; however, Board members may appeal the Compliance Officer's decision to the Executive Committee. The Executive Committee will review the Compliance Officer's decision and may uphold or overturn it. To ensure that Compliance Officer has adequate time to produce an opinion, a Board or staff member must request such opinion at least three business days in advance of the Board/Committee meeting or transaction date. Failure to request an opinion at least three business days in advance of the Board/Committee meeting or transaction date will result in the Compliance Officer recommending that the Board or staff member abstain from participating in the discussion or transaction at issue. The Board or staff member will be required to acknowledge the Compliance Officer's determination and follow the Compliance Officer's recommended course of action.

- **Before a Vote on Intergovernmental Transfers:**

The Board of Managers or the Budget & Finance Committee will determine, by majority vote, whether an individual Board member's Affiliation presents a Duality of Interest that would require his or her recusal. Before the Board or Budget & Finance Committee makes such determination, the interested Board member will have the opportunity to make a brief presentation on their Affiliation. The presentation must identify the nature of the Affiliation and include all material facts surrounding the Board member's Affiliation.

After allowing the interested Board member an opportunity to present the facts surrounding the Affiliation, the interested Board member must leave the Board or Budget & Finance Committee meeting and the remainder of the Board or Budget & Finance Committee must discuss the implications of the Affiliation and determine whether a Conflict of Interest or Duality of Interest exists. The Board or Budget &

Finance Committee will, upon receiving a recommendation from the Compliance Officer, determine in the first instance whether an Affiliation exists that represents a Duality of Interest. If the Board or Budget & Finance Committee determines a potential Duality of Interest exists, then they shall deliberate whether the relationship or involvement of the Board member with the hospital designated to receive an IGT that it will reduce the likelihood that the Board member can act impartially and in the best interests of Central Health. The Board or Budget & Finance Committee may consider the fact that a Board member's Affiliation could subject Central Health to public disapproval, the appearance of impropriety, reputational harm, or litigation in making such decision.

The decision of the Board or Budget & Finance Committee must be reflected in the minutes of the Board or Budget & Finance Committee meeting.



# CENTRAL HEALTH

## **Our Vision**

Central Texas is a model healthy community.

## **Our Mission**

By caring for those who need it most, Central Health improves the health of our community.

## **Our Values**

Central Health will achieve excellence through:

*Stewardship* - We maintain public trust through fiscal discipline and open and transparent communication.

*Innovation* - We create solutions to improve healthcare access.

*Respect* - We honor our relationship with those we serve and those with whom we work.

*Collaboration* - We partner with others to improve the health of our community.

## **BOARD MEETING**

**February 23, 2022**

## **REGULAR AGENDA ITEM 5**

Receive and discuss an update regarding Sendero Health Plans, Inc., including an update on enrollment for 2022.<sup>3,4</sup> (*Informational Item*)



**SENDERO  
HEALTH PLANS**

**CENTRAL HEALTH  
BOARD OF MANAGERS**

FEBRUARY 23<sup>rd</sup>, 2022

**OPEN SESSION**

SENDERO  
HEALTH PLANS





# Sendero Update

- Sendero is pleased that 2022 enrollment came in at 8,800, significantly above projections as there were many options for the community
- CHAP Membership increased by 18% to 769
- Over two-thirds of 2022 membership are renewals
- Sendero is pleased to be participating in Central Health's Equity-Focused, Service Delivery Strategic Planning



## MEMORANDUM

**To:** Mike Geeslin, President & CEO  
**From:** Kit Abney Spelce Sr. Director of Eligibility Services  
**CC:** Jeff Knodel, Chief Financial Officer  
Lisa Owens, Deputy Chief Financial Officer  
Jon Morgan, Chief Operating Officer  
**Date:** February 18, 2022  
**Re:** Update on Central Health Premium Assistance for those enrolled in Sendero

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### **Overview:**

ACA Open Enrollment for 2022 started on November 1, 2021 and closed on January 15, 2022. Below is a summary of the number enrolled in Central Health's two premium assistance programs; (1) Central Health Assistance Program Expansion, (CHAP High Risk) and (2) Central Health Assistance Program, (CHAP).

### **Synopsis:**

#### **1. 2022 CHAP Expansion (High Risk) Enrollment:**

- Existing 2021 members re-enrolled: 519
- New members enrolled: 257
- **Total members enrolled in 2022: 776**
- Average risk score of new members: 16.4

CHAP Expansion (High Risk): Individuals in the CHAP Expansion program are MAP and MAP BASIC members identified for enrollment based on their risk score health status. Central Health identifies these members using the CMS Risk Adjustment tool which scores an individual's health risk based on certain diagnosis criteria. Individuals are enrolled in a Sendero Off-Exchange Platinum Plan, which has an average monthly premium of \$1,157 for 2022.

#### **2. 2022 CHAP Enrollment (Non-high-risk MAP and Musicians):**

- Membership consists of Musicians, Lawful Permanent Residents (LPR), and persons approved for Disability but in their two-year Medicare waiting period.
- Total enrollment in 2022 consists of 1,304 members, a decline from approximately 1,481 members in 2021
- The average monthly premium increased by \$72 due to lower federal subsidies in 2022. Although the overall budget increased by approximately \$600,000 in 2022, overall membership decreased due to the lower federal subsidy amounts

CHAP: CHAP members consist of non-high-risk MAP Members (LPR and awaiting Medicare enrollment) and musicians enrolled in the Health Alliance for Austin Musicians (HAAM) and/or the SIMS Foundation. To receive Central Health premium assistance, an individual must be a Travis County resident living at or below 200% of the Federal Poverty Level and be eligible to receive premium tax credits to help pay their monthly premium.

CHAP members are enrolled in a Sendero Silver Plan offered on the Health Insurance Marketplace. The premium tax credit amount is paid directly to Sendero and Central Health pays the balance owed by the member. The amount of the premium tax credits is based on an individual's income and the cost of the second lowest priced Silver plan offered. In 2022, there were 10 insurance companies offering a total of 61 different Silver plans to Travis County residents. Bright Health Care a new carrier in the Central Texas market has the lowest and second lowest cost plans on the Marketplace, which was the primary contributor to the monthly premium increase.

**Note:** The numbers above represent all members that effectuated on or after January 1, 2022 and include those that have been disenrolled since they effectuated due to being enrolled in other health insurance, death, or no longer living in Travis County.

**Action Requested:** None

**Fiscal Impact: None (Funding Approved for FY2022 Central Health Budget)**

**CHAP Expansion (High Risk MAP and MAP BASIC):** The Board approved \$10,240,575 million in premium assistance and we anticipate using all funds allocated.

**CHAP (Non-high-risk MAP and Musicians):** The Board approved \$2,959,354 in premium assistance for non-high-risk MAP members and musicians enrolled in HAAM/SIMS





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## **BOARD MEETING**

**February 23, 2022**

## **REGULAR AGENDA ITEM 6**

Receive and discuss updates on the 1115 Medicaid Waiver, Delivery System Reform Incentive Payment (DSRIP) program and associated projects, the Community Care Collaborative, and other healthcare delivery partners, programs, projects, and arrangements, including agreements with Ascension Texas.<sup>3</sup> (*Informational Item*)



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## **BOARD MEETING**

**February 23, 2022**

## **REGULAR AGENDA ITEM 7**

Confirm the next regular Board meeting date, time, and location. (*Informational Item*)