



# CENTRAL HEALTH

## **Our Vision**

Central Texas is a model healthy community.

## **Our Mission**

By caring for those who need it most, Central Health improves the health of our community.

## **Our Values**

Central Health will achieve excellence through:

*Stewardship* - We maintain public trust through fiscal discipline and open and transparent communication.

*Innovation* - We create solutions to improve healthcare access.

*Right by All* - By being open, anti-racist, equity-minded, and respectful in discourse, we honor those around us and do right by all people.

*Collaboration* - We partner with others to improve the health of our community.

## **PUBLIC HEARING AND BOARD OF MANAGERS MEETING Wednesday, December 13, 2023, 4:00 p.m. Or immediately following the Executive Committee Meeting**

### **Videoconference meeting<sup>1</sup>**

### **A quorum of the Board and the presiding officer will be present at:**

Central Health Administrative Offices  
1111 E. Cesar Chavez St.  
Austin, Texas 78702  
Board Room

Members of the public may attend the meeting at the address above, or observe and participate in the meeting by connecting to the Zoom meeting link listed below (copy and paste into your web browser):

<https://us06web.zoom.us/j/87969319329?pwd=STLdsByX4XVK1TTNEfc5WmlXmHxpU9.1>

Meeting ID: 879 6931 9329

Passcode: 603270

Links to livestream video are available at the URL below (copy and paste into your web browser):

<https://www.youtube.com/@thealthdistrict/streams>

Or to participate by telephone only:

Dial: (346) 248 7799

Meeting ID: 879 6931 9329

Passcode: 603270

The Board may meet via videoconference with a quorum present in person and will allow public participation via videoconference and telephone as allowed under the Open Meetings Act. Although a quorum of the Central Health Board will be physically present at the location posted in the meeting notice, we strongly encourage all members of the public to observe the meeting virtually and participate in public comment, if desired, through the virtual meeting link or telephone number listed on each meeting notice.

Members of the public who attend in person should conduct a self-assessment before coming to the building to ensure they do not have a high temperature or any symptoms of COVID-19. Anyone who is symptomatic and/or has a fever should contact their healthcare provider for further instructions. Symptomatic members of the public can still participate, if desired, through the virtual meeting link or telephone number listed on each meeting notice. Resources related to COVID-19 can be found at the following link:

<https://www.centralhealth.net/covid-info/>.

A member of the public who wishes to make comments virtually during the Public Hearing or Public Communication for the Board of Managers meeting or the Executive Committee meeting must properly register with Central Health **no later than 2:30 p.m. on December 13, 2023**. Registration can be completed in one of three ways:

- Complete the virtual sign-in form at <https://www.centralhealth.net/meeting-sign-up/>;
- Call 512-978-9190. Please leave a voice message with your full name and your request to comment via telephone at the meeting; with the name of the meeting at which you wish to speak; or
- Sign-in at the front desk on the day of the meeting, prior to the start of the meeting.

Individuals who register to speak on the website or by telephone will receive a confirmation email and/or phone call by staff with instructions on how to join the meeting and participate in the public hearing or public communication.

## **PUBLIC HEARING**

1. Receive public comment on the proposed mandatory payment rate to be assessed on institutional healthcare providers during fiscal year 2024 under the local healthcare provider participation program (LPPF) in Travis County and how the revenue derived from those payments is to be spent, as required by Texas Health & Safety Code §298E.101. (*Informational Item*)

## **CONSENT AGENDA**

All matters listed under the CONSENT AGENDA will be considered by the Board of Managers to be routine and will be enacted by one motion. There will be no separate discussion of these items unless members of the Board request specific items be moved from the CONSENT AGENDA to the REGULAR AGENDA for discussion prior to the vote on the motion to adopt the CONSENT AGENDA.

- C1. Approve the minutes of the Central Health Board of Managers November 15, November 27, and November 29, 2023 meetings.
- C2. Receive the Quarterly Investment Report and ratify Central Health Investments for November 2023.
- C3. Adopt the Fiscal Year 2024 Central Health Investment Policy as presented by the Travis County Cash/Investment Management Department as recommended by the Budget and Finance Committee.
- C4. Approve the Fiscal Year (FY) 2024 Broker/Dealer Applicants for conducting investment business with the Travis County Healthcare District (dba Central Health) as recommended by the Travis County Cash/Investment Management Department as recommended by the Budget and Finance Committee.

- C5. Approve the Calendar Year 2024 budget for Sendero Health Plans as recommended by the Budget and Finance Committee.

## **REGULAR AGENDA<sup>2</sup>**

1. Discuss and take appropriate action on the proposed mandatory payment rate to be assessed on institutional healthcare providers during Fiscal Year 2024 under the local healthcare provider participation program (LPPF) in Travis County and how the revenue derived from those payments is to be spent, as required by Texas Health & Safety Code §298E.151. (*Action Item*)
2. Discuss and take appropriate action on a resolution honoring Dr. Charles Bell for his service as Chair of the Central Health Board. (*Action Item*)
3. Discuss and take appropriate action on a resolution honoring Mike Geeslin for his service as President & CEO of Central Health. (*Action Item*)
4. Approve the candidate recommendations made by the ad hoc Board Officer Nominations Committee for the following officer positions and elect the recommended candidates for calendar years 2024-25:
  - a. Chairperson - Ann Kitchen;
  - b. Vice-Chairperson - Cynthia Brinson; and
  - c. Treasurer - Maram Museitif. (*Action Item*)
5. Approve the recommendation made by the ad hoc Board Officer Nominations Committee regarding the Board Secretary position and appoint Manuel Martin as Board Secretary for calendar years 2024-25. (*Action Item*)
6. Discuss and take appropriate action on updates to Procurement Policies. (*Action Item*)
7. Receive training on open government statutes. (*Informational Item*)
8. Discuss Board compliance policies and procedures, including:
  - a. CMP-001P Compliance Program Description Policy;
  - b. CMP-0010P Code of Conduct and Ethics for Board Members Policy;
  - c. CMP-006P Reporting and Non-Retaliation Policy;
  - d. CMP-009P Duality and Conflict of Interest Policy; and
  - e. CMP-009SOP Duality and Conflict of Interest Procedures. (*Informational Item*)
9. Receive and discuss a Central Health Enterprise state of the organization update. (*Informational Item*)
10. Receive updates on strategic objectives pursuant to the Fiscal Year 2024 Budget Resolution, as amended, including updates on work involving the delivery of health care, operations, and partnership initiatives.<sup>3</sup> (*Informational Item*)
11. Receive and discuss an update on the Co-Applicant Agreement involving Central Health and CommUnityCare Health Centers.<sup>3</sup> (*Informational Item*)
12. Discuss updates on matters related to a Performance Review contracted by Travis County, including delegations to the President & CEO to execute necessary agreements between Central Health and Travis County, and Mazars USA.<sup>3</sup> (*Informational Item*)

13. Receive and discuss a briefing regarding *Travis County Healthcare District d/b/a Central Health v. Ascension Texas f/k/a Seton Healthcare Family*, Cause No. D-1-GN-23-000398.<sup>3</sup> (*Informational Item*)
14. Receive and discuss a briefing regarding *Birch, et al. v. Travis County Healthcare District d/b/a Central Health and Mike Geeslin*, Cause No. D-1-GN-17-005824 in the 345<sup>th</sup> District Court of Travis County.<sup>3</sup> (*Informational Item*)
15. Discuss and take appropriate action on a Community Care Collaborative (CCC) Board appointment.<sup>3,4</sup> (*Action Item*)
16. Present an update on the President & CEO position and take appropriate action as necessary on a potential offer of employment for the position.<sup>3,4</sup> (*Action Item*)
17. Confirm the next regular Board meeting date, time, and location. (*Informational Item*)

Notes:

- <sup>1</sup> This meeting may include one or more members of the Board of Managers participating by videoconference. It is the intent of the presiding officer to be physically present and preside over the meeting at Central Health Administrative Offices, 1111 E. Cesar Chavez, Austin, TX 78702, Board Room. This meeting location will be open to the public during the open portions of the meeting, and any member participating by videoconference shall be both visible and audible to the public whenever the member is speaking. **Members of the public are strongly encouraged to participate remotely through the toll-free videoconference link or telephone number provided.**
- <sup>2</sup> The Board of Managers may take items in an order that differs from the posted order and may consider any item posted on the agenda in a closed session if the item involves issues that require consideration in a closed session and the Board announces that the item will be considered during a closed session.
- <sup>3</sup> Possible closed session discussion under Texas Government Code §551.071 (Consultation with Attorney).
- <sup>4</sup> Possible closed session discussion under Texas Government Code §551.074 (Personnel Matters).

A recording of this meeting will be made available to the public through the Central Health website ([www.centralhealth.net](http://www.centralhealth.net)) as soon as possible after the meeting.

Any individual with a disability who plans to attend this meeting and requires auxiliary aids or services should notify Central Health at least two days in advance, so that appropriate arrangements can be made. Notice should be given to the Board Governance Manager by telephone at (512) 978-8049.

Cualquier persona con una discapacidad que planea asistir o ver esta reunión y requiera ayudas o servicios auxiliares debe notificar a Central Health con la mayor anticipación posible de la reunión, pero no menos de dos días de anticipación, para que se puedan hacer los arreglos apropiados. Se debe notificar al Gerente de Gobierno de la Junta por teléfono al (512) 978-8049.

Consecutive interpretation services from Spanish to English are available during Public Communication or when public comment is invited. Please notify the Board Governance Manager by telephone at (512) 978-8049 if services are needed.



Servicios de interpretación consecutiva del español al inglés están disponibles durante la Comunicación Pública o cuando se le invita al público a comentar. Notifique al Gerente de Gobierno de la Junta por teléfono al (512) 978-8049 si necesita servicios.

## **Central Health Board of Managers Shared Commitments Agreed adopted on June 30, 2021**

Whereas, the Board of Managers of Central Health has come together as a governing body to ensure the Vision of Central Health: Central Texas is a model health Community;

Whereas, the Board of Managers of Central Health bring this vision into reality by enacting the mission of caring for those who need it most and thereby improving the health of our community;

Whereas, the Board of Managers of Central Health achieves excellence toward this vision and mission through the stated values of Stewardship, Innovation, Respect, and Collaboration;

Whereas, the Board of Managers of Central Health further known as we in this document understand that systemic racism is the root of health inequities that emerge from a history of racism in Texas including Travis County that contributes to the social determinants of health that play a primary role in producing inequitable health outcomes;

Whereas, as an organization, Central Health is anti-racist and committed to a diverse and inclusive culture that seeks equity and social justice in the pursuit of its mission:

1. We Commit to informing all of our actions as Board Managers with the understanding that we are accountable to recognizing and to interrupting systems of oppression. This includes understanding the power structure in the United States, and Texas, and Travis County, that advantages certain community members and has historically disadvantaged other community members based on the color of their skin, race, ethnicity, language, and/or other characteristics. We further understand that to disrupt this power structure and the health inequities it produces, we must collaborate to collectively respond to the lived realities of all ethnicities, races, and identities disadvantaged within this system and all historically oppressed identities and communities disadvantaged within this system. We Commit to understanding that when disadvantaged communities compete against each other, we all lose in this system, and the only way forward is to work together for the benefit of all oppressed communities collectively.
2. We Commit to a model of Generative Leadership which requires us to understand and practice collaboration and accountability demonstrated by following our agreed upon meeting procedures and ensuring all members have the opportunity for comparable speaking time. We further Commit to intentionality prior to speaking including: considering: what is the goal of what I

- want to share; is this the right time to share it; and is this in keeping with our collective goal for this particular moment within this particular meeting?
3. We Commit to Generative Conflict which includes engaging in disagreements and differences in perspective in a way that deepens relationships and trust by expanding knowledge and understanding of each other, including expecting our ideas to be expanded and enriched by learning and engaging with other Board Manager ideas, choosing curiosity over competition of ideas, and anchoring our conversations in our common purpose.
  4. We Commit to practicing emotional intelligence as leaders which includes being aware of our own emotions and reactions and managing them, as well as being aware of our impact on others and managing this impact for the collective good when we are in our role as Board Managers.
  5. We Commit to being aware of our own privileges and advantages in the sociopolitical and economic structure of the United States, Texas, and Travis County to use these for the benefit of interrupting inequities across historically disadvantaged identities.
  6. We Commit to preventing the commission of microaggressions through the awareness of the history and oppression of diverse identities and communities. To this end, we Commit to strive to learn the historical context informing the lived realities of all historically oppressed identities and communities, and to use this to prevent use of language and commission of actions that can be harmful given these histories.
  7. If we inadvertently commit a microaggression, we strive to immediately become aware on our own of the harm we have caused. If another Board Manager generously helps us become aware of a microaggression we have committed we welcome the support in our learning and growing process as a leader and immediately express appreciation for having made us aware, own the mistake we have made, acknowledge the impact of the harm we have caused, and engage repair through apology and the articulation of what we will do to avoid the repetition of such harm in the future.
  8. If we observe one of our fellow Board Managers commit a microaggression, we Commit to calling them in by letting them know in a respectful and kind manner of the mistake that has been made.
  9. We understand that many of us, as survivors of historically oppressed identities and communities, carry internalized narratives of oppression, and we can inadvertently express these oppressions against others in ways that cause harm and we Commit to the same process identified in 7 and 8 to engage repair and return to generative collaborative processes.
  10. We understand that even without the history of oppression potentiating the weight of harm, expressions of prejudice and rudeness can also cause harm to our shared aims, and we Commit to the same process identified in 7 and 8 to engage repair and return to generative collaborative processes.

11. We Commit to using our Racial and Social Justice Framework (next page) for decision-making as we work together for the collective good of our communities as we eradicate health inequities and create a model healthy community.
12. We understand that we are entrusted with a vital responsibility for our communities and are accountable stewards for the time and resources available to our Board of Managers. We understand that these commitments are entered into to ensure responsible stewardship of this time and resources through generative collaborative processes to reach our vision and mission and we agree that if we do not follow any one of these commitments we welcome our Board Manager colleagues to bring this to our attention through the agreed upon process reflected here and when this occurs, we commit to immediately acknowledging the mistake and engaging in a repair and correction process as indicated in these commitments so that our work to dismantle systemic racism and resulting barriers and achieve health equity can move forward.

Be it adopted that the above agreements will be honored and acted upon by each Board Manager as of 6/30/2021 and henceforth forward as indicated by signature below.

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Board Manager Signature

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Date

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Board Manager Printed Name

# Calling In and Repairing Harm

## Calling In after Harm in Groups with Shared Values and Aims Stance

Hey, this thing you said/did hurt some folks or could hurt some folks.

A) Here's why that can be hurtful or,

B) Please do some research to learn the history of why that's hurtful.

Implied message: I know you are good and are on this journey with us and we are all going to make mistakes as we unlearn things.

## Calling In after Harm in Groups with Shared Values and Aims Sample Language

- I know it wasn't your intention, but what you just said minimizes the horror of \_\_\_\_\_ e.g. the history of racism, enslavement, the holocaust, etc.
- I know it wasn't your intention but what you just said has the impact of implying that \_\_\_\_\_ are not competent or as intelligent as others.
- What you just said suggests that \_\_\_\_\_ people don't belong.
- That phrase has been identified as being disrespectful and painful to \_\_\_\_\_ people and it's important that we not use it.
- Oh, I have also used that term, but I have now learned that when we use it we are leaving out people who \_\_\_\_\_ or we are implying that \_\_\_\_\_ and the word people are learning to use now is \_\_\_\_\_.
- The term used now by people living with that identity is \_\_\_\_\_.

## Repairing Harm after Microaggressions, Mistakes, and expressions of Prejudice

- Own / Name it
- Recognize the Impact
- Apologize (Do not share context or explanations)
- Make any amends that are possible
- State what you are going to do to learn and do better in the future.

Sample Language: Thank you so much for letting me know. You are right, I used this term or said that phrase and realize that it has the impact of minimizing the experience of \_\_\_\_\_ or implying that \_\_\_\_\_. I am deeply sorry and will practice learning the correct language and will research and learn more about this to ensure that I do not make this mistake and cause this harm in the future.

# RACIAL and SOCIAL JUSTICE FRAMEWORK

## Values and Anti-Racism/Anti-Oppression

- Is this consistent with our values?
- Are we taking steps so we cannot predict outcomes by race and other systemically disadvantaged characteristics?

## Intentional and Accountable Storytelling

- What data are we using and has it been disaggregated by race? What is the source of the data? Who is it making visible and invisible? Whose experience is being centralized and whose is being marginalized in the data? Does the way we are using the data reflect the complexity of the issues and reflect the issues accurately?
- What are the stories and narratives we are telling? What is the purpose? Who is interpreting the meaning? Who's it meant for? Who's impacted and how?
- Are we refusing to be ahistorical? Are we fully considering history and the impacts of the historical context?

## Power Analysis

- What are the power dynamics in this situation? What are the intersecting spheres of oppression at work in this situation?
- What are the cultural norms of white supremacy at work in this situation?
- Who would benefit and who would be harmed by this action/decision?
- Does this interrupt/disrupt or collude with/reinforce oppressive systems/power structures?
- If this is attempting a solution, where are we locating the problem?
- Does the solution/strategy we are proposing change the system or the individual?
- Who are we asking to change and why?

## Relationships

- Who is in the room and who isn't and why? Who is sharing and who is not and why?
- Whose perspective is represented/who is left out? And who is doing the representing? Who do we believe, who do we find credible? Why? Why not?
- Whose experience is being centralized and whose experience is being marginalized? Who is gazing and who is being gazed upon?
- Are we boldly leading toward our racial justice aim by building a broad coalition of support?
- Are we operating from a similar/shared understanding of anti-racism work? Do we have a shared anti-racist understanding of where the problem is located and a shared anti-racist theory of change to generate a solution? Have we agreed upon a shared goal?



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## **BOARD MEETING**

**December 13, 2023**

## **PUBLIC HEARING**

Receive public comment on the proposed mandatory payment rate to be assessed on institutional healthcare providers during fiscal year 2024 under the local healthcare provider participation program (LPPF) in Travis County and how the revenue derived from those payments is to be spent, as required by Texas Health & Safety Code §298E.101. (*Informational Item*)



**CENTRAL  
HEALTH**

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# Travis County LPPF: FY 24 Proposed Mandatory Payment Rate

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**December 13, 2023**

Central Health Board of Managers Meeting

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**PRESENTER**

**Katie Coburn**



# Board Action Request

- **Set the FY 24 Travis County Local Provider Participation Fund (LPPF) annual rate to 6.00% to fund Intergovernmental Transfer (IGT) requirements for:**
  - Comprehensive Hospital Increased Reimbursement Program (CHIRP)
  - Uncompensated Care (UC) Pool Payments
  - Graduate Medical Education (GME) Supplemental Payments
  - Hospital Augmented Reimbursement Program (HARP)



# Local Provider Participation Fund (LPPF) Mandatory Payment Rate

- **Assessed as a uniform percentage of net patient revenue of Travis County inpatient hospitals**
- **Set by the Board of Managers**
- **Central Health, as LPPF Administrator, collects funds to be held in fiduciary capacity and completes intergovernmental transfers (IGT)**
- **Use of funds**
  - Limited to legislatively defined purpose of accessing federal supplemental payments with local funds; DSH and DSRIP are prohibited
  - Central Health collaborates with hospital representatives on uses of funds for IGT
  - \$150,000 paid annually to Central Health for administrative expenses
- **Requested rate for FY 24: 6.00% (maximum allowed by law)**



# FY 24 Rate Proposal

BOM Action Date	Rate	Use	Estimated Annual Amount
December 2023		Comprehensive Hospital Increased Reimbursement Program (CHIRP) Program Uncompensated Care Pool Graduate Medical Education (GME) Hospital Augmented Reimbursement Program (HARP)	\$263 million



# Board Action Request

- **Set the FY 24 Travis County Local Provider Participation Fund (LPPF) annual rate to 6.00% to fund Intergovernmental Transfer (IGT) requirements for:**
  - Comprehensive Hospital Increased Reimbursement Program (CHIRP)
  - Uncompensated Care (UC) Pool Payments
  - Graduate Medical Education (GME) Supplemental Payments
  - Hospital Augmented Reimbursement Program (HARP)





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# **BOARD MEETING**

## **December 13, 2023**

# **AGENDA ITEM C1**

Approve the minutes of the Central Health Board of Managers November 15, November 27, and November 29, 2023 meetings.

MINUTES OF MEETING – NOVEMBER 15, 2023  
CENTRAL HEALTH  
BOARD OF MANAGERS

On Wednesday, November 15, 2023, a meeting of the Central Health Board of Managers convened in open session at 6:58 p.m. remotely by toll-free videoconference and in person at the Central Health Administrative Offices. Clerk for the meeting was Briana Yanes.

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**Board members present at Central Health:** Chairperson Bell, Secretary Valadez, Manager Jones, Manager Martin, Manager Motwani, and Manager Zamora

**Board members present via video and audio:** Manager Kitchen

**Absent:** Treasurer Museitif and Vice Chairperson Brinson

Chair Bell announced that because a quorum of the Executive Committee was not able to be present in person for that meeting, the items on the Committee agenda would be taken up by the full Board of Managers in this meeting, which was posted for the same time and location.

**PUBLIC COMMUNICATION**

**Clerk’s Notes:** Public Communication began at 6:59 p.m. Chair Bell announced that no speakers signed up for Public Communication.

**EXECUTIVE COMMITTEE AGENDA**

- 1. Approve the minutes of the Central Health Executive Committee October 25, 2023 meeting.**

**Clerk’s Notes:** Discussion on this item began at 7:00 p.m.

Manager Valadez moved that the Board approve the minutes of the Central Health Executive Committee October 25, 2023 meeting.

Manager Jones seconded the motion.

Chairperson Charles Bell	For
Vice Chairperson Cynthia Brinson	Absent
Treasurer Maram Museitif	Absent
Secretary Cynthia Valadez	For
Manager Shannon Jones	For
Manager Ann Kitchen	For
Manager Manuel Martin	For
Manager Amit Motwani	For
Manager Guadalupe Zamora	For

- 2. Review and take appropriate action on the following:**
  - a. the prioritization and tentative scheduling of items for consideration at future Central Health Board and Committee meetings; and**
  - b. the proposed Calendar Year 2024 meeting dates for the Central Health Board of Managers.**

**Clerk’s Notes:** Discussion on this item began at 7:01 p.m. Ms. Briana Yanes, Board Governance Senior Manager, shared the December tentative agenda items with Managers. Next, she shared the proposed Calendar Year 2024 meeting dates and asked Managers for approval.

Manager Jones moved that the Board recommend that the Board approve the proposed Calendar Year 2024 meeting dates for Central Health Board of Managers as recommended by staff.

Manager Valadez seconded the motion.

Chairperson Charles Bell	For
Vice Chairperson Cynthia Brinson	Absent
Treasurer Maram Museitif	Absent
Secretary Cynthia Valadez	For
Manager Shannon Jones	For
Manager Ann Kitchen	For
Manager Manuel Martin	For
Manager Amit Motwani	For
Manager Guadalupe Zamora	For

**3. Discuss research and survey reports and their alignment with Board responsibilities and level of desired engagement, including but not limited to such reports regarding the monitoring of delivery of care, health outcomes, and other relevant information.**

**Clerk's Notes:** Discussion on this item began at 7:17 p.m. Mr. Mike Geeslin, President & CEO, and Chair Bell began an initial discussion with Managers on the type of reports that Managers would like to see in the future and their alignment with Board responsibilities.

**4. Discuss and ratify the President & CEO's contract extension through December 31, 2023, and delegate authority to the Board Chair to negotiate additional contract extensions as necessary.**

**Clerk's Notes:** Discussion on this item began at 7:27 p.m.

At 7:28 p.m. Chairperson Bell announced that the Board was convening in closed session to discuss agenda item 4 under Texas Government Code §551.074 Personnel Matters and/or Texas Government Code §551.071 Consultation with Attorney.

At 8:19 p.m. the Board returned to open session.

Manager Valadez moved that the Board recommend that the Board ratify the President & CEO's contract extension through December 31, 2023, and delegate authority to the Board Chair to negotiate additional contract extensions as necessary.

Manager Martin seconded the motion.

Chairperson Charles Bell	For
Vice Chairperson Cynthia Brinson	Absent
Treasurer Maram Museitif	Absent
Secretary Cynthia Valadez	For
Manager Shannon Jones	For
Manager Ann Kitchen	Absent
Manager Manuel Martin	For
Manager Amit Motwani	For
Manager Guadalupe Zamora	For

**5. Conduct the Central Health President & CEO Exit Interview.**

**Clerk's Notes:** Discussion on this item began at 7:27 p.m.

At 7:28 p.m. Chairperson Bell announced that the Board was convening in closed session to discuss agenda item 5 under Texas Government Code §551.074 Personnel Matters and/or Texas Government Code §551.071 Consultation with Attorney.

At 8:19 p.m. the Board returned to open session.

### CONSENT AGENDA

- C1. Approve the minutes of the Central Health Board of Managers October 25, 2023 meeting.**
- C2. Receive and ratify Central Health Investments for October 2023.**
- C3. Approve two final candidates to move forward in the next steps of the President & CEO interviewing process as recommended by the ad hoc Succession Committee.**
- C4. Approve that in lieu of selecting an interim President and CEO for Central Health, that the Board follow Article IX, Section 9.2 under its bylaws, which states the Assistant Administrator as described in Section 281.027 of the Texas Health and Safety Code, perform all of the duties of the President and CEO necessary for the operation of the District until the Board concludes the President and CEO search and secures a candidate as recommend by the ad hoc Succession Committee.**
- C5. Approve action on revisions to the Central Health Amended and Restated Bylaws as recommended by the ad hoc Policy & Bylaws Committee.**
- C6. Approve the reappointment of Mr. Amit Motwani and Mr. Jeff Knodel to the Sendero Health Plans Board of Directors as recommended by the ad hoc Appointments Committee.**
- C7. Approve the reappointment of Ms. Patricia Young Brown to the Integral Care Board of Directors as recommended by the ad hoc Appointments Committee.**
- C8. Approve the proposed Calendar Year 2024 meeting dates for the Central Health Board of Managers as recommended by the Executive Committee.**
- C9. Ratify the President & CEO's contract extension through December 31, 2023, and delegate authority to the Board Chair to negotiate additional contract extensions as necessary as recommended by the Executive Committee.**
- C10. Approve action on a proposed FY 2024 Central Health funding plan of certain Sendero Health Plans claims and administrative costs as recommend by the Budget and Finance Committee.**

**Clerk's Notes:** Discussion on this item began at 7:21 p.m.

Manager Motwani moved that the Board approve Consent Agenda Items C1 through C7.

Manager Valadez seconded the motion.

Chairperson Charles Bell	For
Vice Chairperson Cynthia Brinson	Absent
Treasurer Maram Museitif	Absent
Secretary Cynthia Valadez	For
Manager Shannon Jones	For
Manager Ann Kitchen	For
Manager Manuel Martin	For



Manager Amit Motwani  
Manager Guadalupe Zamora

For  
For

### **REGULAR AGENDA**

- C10. Approve action on a proposed FY 2024 Central Health funding plan of certain Sendero Health Plans claims and administrative costs as recommend by the Budget and Finance Committee.**

Manager Martin moved that the Board approve Consent Agenda Item C10.

Manager Valadez seconded the motion.

Chairperson Charles Bell	For
Vice Chairperson Cynthia Brinson	Absent
Treasurer Maram Museitif	Absent
Secretary Cynthia Valadez	For
Manager Shannon Jones	Abstain
Manager Ann Kitchen	For
Manager Manuel Martin	For
Manager Amit Motwani	For
Manager Guadalupe Zamora	For

- 1. Discuss updates on matters related to a Performance Review contracted by Travis County, including delegations to the President & CEO to execute necessary agreements between Central Health and Travis County, and Mazars USA.**

**Clerk's Notes:** Discussion on this item began at 7:27 p.m.

At 7:28 p.m. Chairperson Bell announced that the Board was convening in closed session to discuss agenda item 1 under Texas Government Code §551.071 Consultation with Attorney.

At 8:19 p.m. the Board returned to open session.

- 2. Receive and discuss a briefing regarding *Travis County Healthcare District d/b/a Central Health v. Ascension Texas f/k/a Seton Healthcare Family, Cause No. D-1-GN-23-000398.***

**Clerk's Notes:** Discussion on this item began at 7:27 p.m.

At 7:28 p.m. Chairperson Bell announced that the Board was convening in closed session to discuss agenda item 2 under Texas Government Code §551.071 Consultation with Attorney.

At 8:19 p.m. the Board returned to open session.

- 3. Receive and discuss a briefing regarding *Birch, et al. v. Travis County Healthcare District d/b/a Central Health and Mike Geeslin, Cause No. D-1-GN-17-005824 in the 345<sup>th</sup> District Court of Travis County.***

**Clerk's Notes:** Discussion on this item began at 7:27 p.m.

At 7:28 p.m. Chairperson Bell announced that the Board was convening in closed session to discuss agenda item 3 under Texas Government Code §551.071 Consultation with Attorney.

At 8:19 p.m. the Board returned to open session.

**4. Confirm the next regular Board meeting date, time, and location.**

At 8:21 p.m. Manager Jones moved that the meeting adjourn.

Manager Zamora seconded the motion.

Chairperson Charles Bell	For
Vice Chairperson Cynthia Brinson	Absent
Treasurer Maram Museitif	Absent
Secretary Cynthia Valadez	For
Manager Shannon Jones	For
Manager Ann Kitchen	Absent
Manager Manuel Martin	For
Manager Amit Motwani	For
Manager Guadalupe Zamora	For

The meeting was adjourned at 8:22 p.m.

ATTESTED TO BY:

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Charles Bell, Chairperson  
Central Health Board of Managers

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Cynthia Valadez, Secretary  
Central Health Board of Managers

MINUTES OF MEETING – NOVEMBER 27, 2023  
CENTRAL HEALTH  
BOARD OF MANAGERS

On Monday, November 27, 2023, a meeting of the Central Health Board of Managers convened in open session at 3:01 p.m. remotely by toll-free videoconference and in person at the Central Health Administrative Offices. Clerk for the meeting was Briana Yanes.

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**Board members present at Central Health:** Chairperson Bell, Vice Chairperson Brinson, Treasurer Museitif (departed at 5:01 p.m.), Secretary Valadez, Manager Jones, Manager Kitchen, Manager Martin, Manager Motwani, and Manager Zamora (arrived at 3:47 p.m.)

**PUBLIC COMMUNICATION**

**Clerk’s Notes:** Public Communication began at 3:02 p.m. Chair Bell announced that no speakers signed up for Public Communication.

**REGULAR AGENDA**

**1. Interview a candidate for the President & CEO position.**

**Clerk’s Notes:** Discussion on this item began at 3:02 p.m.

At 3:02 p.m. Chairperson Bell announced that the Board was convening in closed session to discuss agenda item 1 under Texas Government Code §551.074 Personnel Matters and/or Texas Government Code §551.071 Consultation with Attorney.

At 5:13 p.m. the Board returned to open session.

**2. Confirm the next regular Board meeting date, time, and location.**

At 5:13 p.m. Manager Jones moved that the meeting adjourn.

Manager Valadez seconded the motion.

Chairperson Charles Bell	For
Vice Chairperson Cynthia Brinson	For
Treasurer Maram Museitif	Absent
Secretary Cynthia Valadez	For
Manager Shannon Jones	For
Manager Ann Kitchen	Absent
Manager Manuel Martin	Absent
Manager Amit Motwani	For
Manager Guadalupe Zamora	For

The meeting was adjourned at 5:13 p.m.

ATTESTED TO BY:

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Charles Bell, Chairperson  
Central Health Board of Managers

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Cynthia Valadez, Secretary  
Central Health Board of Managers

MINUTES OF MEETING – NOVEMBER 29, 2023  
CENTRAL HEALTH  
BOARD OF MANAGERS

On Wednesday, November 29, 2023, a meeting of the Central Health Board of Managers convened in open session at 3:01 p.m. remotely by toll-free videoconference and in person at the Central Health Administrative Offices. Clerk for the meeting was Briana Yanes.

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**Board members present at Central Health:** Chairperson Bell, Vice Chairperson Brinson, Secretary Valadez, Manager Jones, Manager Kitchen, Manager Martin (arrived at 3:04 p.m.), Manager Motwani, and Manager Zamora

**Board members present via video and audio:** Treasurer Museitif

**PUBLIC COMMUNICATION**

**Clerk's Notes:** Public Communication began at 3:03 p.m. Chair Bell announced that no speakers signed up for Public Communication.

**REGULAR AGENDA**

**1. Interview a candidate for the President & CEO position.**

**Clerk's Notes:** Discussion on this item began at 3:03 p.m.

At 3:03 p.m. Chairperson Bell announced that the Board was convening in closed session to discuss agenda item 1 under Texas Government Code §551.074 Personnel Matters and/or Texas Government Code §551.071 Consultation with Attorney.

At 6:18 p.m. the Board returned to open session.

**2. Discuss and take appropriate action on a potential offer of employment for the President & CEO position.**

**Clerk's Notes:** Discussion on this item began at 3:03 p.m.

At 3:03 p.m. Chairperson Bell announced that the Board was convening in closed session to discuss agenda item 2 under Texas Government Code §551.074 Personnel Matters and/or Texas Government Code §551.071 Consultation with Attorney.

At 6:18 p.m. the Board returned to open session.

Manager Brinson moved that the Board direct staff to tender an offer of employment to the potential candidate for the Central Health President & CEO position, Candidate X or Candidate Y, within the parameters discussed in closed session.

Manager Kitchen seconded.

All of those in favor of Candidate X.

Manager Shannon Jones  
Manager Ann Kitchen

For  
For

Manager Manuel Martin For  
Manager Amit Motwani For

All of those in favor of Candidate Y.

Chairperson Charles Bell For  
Vice Chairperson Cynthia Brinson For  
Treasurer Maram Museitif For  
Secretary Cynthia Valadez For  
Manager Guadalupe Zamora For

**3. Confirm the next regular Board meeting date, time, and location.**

At 6:22 p.m. Manager Jones moved that the meeting adjourn.

Manager Valadez seconded the motion.

Chairperson Charles Bell For  
Vice Chairperson Cynthia Brinson For  
Treasurer Maram Museitif For  
Secretary Cynthia Valadez For  
Manager Shannon Jones For  
Manager Ann Kitchen For  
Manager Manuel Martin For  
Manager Amit Motwani For  
Manager Guadalupe Zamora For

The meeting was adjourned at 6:22 p.m.

ATTESTED TO BY:

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Charles Bell, Chairperson  
Central Health Board of Managers

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Cynthia Valadez, Secretary  
Central Health Board of Managers



# CENTRAL HEALTH

## **Our Vision**

Central Texas is a model healthy community.

## **Our Mission**

By caring for those who need it most, Central Health improves the health of our community.

## **Our Values**

Central Health will achieve excellence through:

*Stewardship* - We maintain public trust through fiscal discipline and open and transparent communication.

*Innovation* - We create solutions to improve healthcare access.

*Right by All* - By being open, anti-racist, equity-minded, and respectful in discourse, we honor those around us and do right by all people.

*Collaboration* - We partner with others to improve the health of our community.

## **BOARD MEETING**

**December 13, 2023**

## **AGENDA ITEM C2**

Receive the Quarterly Investment Report and ratify Central Health Investments for November 2023.

STATE OF TEXAS

COUNTY OF TRAVIS

CENTRAL HEALTH

Whereas, it appears to the Board of Managers of the Central Health, Travis County, Texas that there are sufficient funds on hand over and above those of immediate need for operating demand,

Now, Therefore, the Board of Managers hereby orders

- 1.) that the County Treasurer of Travis County, Texas, acting on behalf of Central Health, execute the investment of these funds in the total amount of \$42,331,916.65 in legally authorized securities as stipulated in the Travis County Healthcare District Investment and Collateral Policy for the periods as indicated in Attachment A, which consists of 19 pages.
- 2.) that the County Treasurer, acting on behalf of Central Health, take and hold in safekeeping all individual security investment instruments, relinquishing same only by order of the Board of Managers or for surrender at maturity.

Date: December 13, 2023

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CHAIR, BOARD OF MANAGERS

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VICE CHAIR, BOARD OF MANAGERS

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MANAGER



CENTRAL HEALTH  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 11/1/2023

TIME: 10:30

The following transaction was executed on behalf of Central Health:

DESCRIPTION:	TexPool	FUND NAME:	CENTRAL HEALTH
PAR VALUE:	57,150.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	5.3742%
PRINCIPAL:	57,150.00	PURCHASED THRU:	TexPool
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	57,150.00	CUSIP #:	N/A
TRADE DATE:	11/1/2023	SETTLEMENT DATE:	11/1/2023

AUTHORIZED BY:

Deborah Laudermilk  
CASH/INVESTMENT MANAGER

CENTRAL HEALTH  
 INVESTMENT DEPARTMENT  
 SECURITY TRANSACTION FORM

DATE: 11/1/2023

TIME: 10:30

The following transaction was executed on behalf of Central Health:

DESCRIPTION:	TexPool	FUND NAME:	CENTRAL HEALTH
PAR VALUE:	37,160.78	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	5.3742%
PRINCIPAL:	37,160.78	PURCHASED THRU:	TexPool
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	37,160.78	CUSIP #:	N/A
TRADE DATE:	11/1/2023	SETTLEMENT DATE:	11/1/2023

AUTHORIZED BY: Deborah Laudermilk  
 CASH/INVESTMENT MANAGER

CENTRAL HEALTH  
 INVESTMENT DEPARTMENT  
 SECURITY TRANSACTION FORM

DATE: 11/2/2023

TIME: 10:30

The following transaction was executed on behalf of Central Health:

DESCRIPTION:	TexPool	FUND NAME:	CENTRAL HEALTH
PAR VALUE:	20,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE:	N/A	BOND EQ. YIELD:	5.3633%
PRINCIPAL:	20,000.00	PURCHASED THRU:	TexPool
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	20,000.00	CUSIP #:	N/A
TRADE DATE:	11/2/2023	SETTLEMENT DATE:	11/2/2023

AUTHORIZED BY: Deborah Laudermilk  
 CASH/INVESTMENT MANAGER

CENTRAL HEALTH  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 11/6/2023

TIME: 10:30

The following transaction was executed on behalf of Central Health:

DESCRIPTION:	Texpool	FUND NAME:	CENTRAL HEALTH
PAR VALUE:	80,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	5.3667%
PRINCIPAL:	80,000.00	PURCHASED THRU:	Texpool
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	80,000.00	CUSIP #:	N/A
TRADE DATE:	11/6/2023	SETTLEMENT DATE:	11/6/2023

AUTHORIZED BY:

Deborah Laudermilk  
CASH/INVESTMENT MANAGER

CENTRAL HEALTH  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 11/6/2023

TIME: 10:30

The following transaction was executed on behalf of Central Health:

DESCRIPTION:	Texpool	FUND NAME:	CENTRAL HEALTH
PAR VALUE:	35,500.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	5.3667%
PRINCIPAL:	35,500.00	PURCHASED THRU:	Texpool
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	35,500.00	CUSIP #:	N/A
TRADE DATE:	11/6/2023	SETTLEMENT DATE:	11/6/2023

AUTHORIZED BY:

*Deborah Laudermilk*

CASH/INVESTMENT MANAGER

CENTRAL HEALTH  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 11/8/2023

TIME: 10:30

The following transaction was executed on behalf of Central Health:

DESCRIPTION:	TexasDaily	FUND NAME:	CENTRAL HEALTH
PAR VALUE:	10,386,687.67	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	5.3500%
PRINCIPAL:	10,386,687.67	PURCHASED THRU:	TexasDaily
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	10,386,687.67	CUSIP #:	N/A
TRADE DATE:	11/8/2023	SETTLEMENT DATE:	11/8/2023

AUTHORIZED BY:

Deborah Laudermilk  
CASH/INVESTMENT MANAGER

CENTRAL HEALTH  
 INVESTMENT DEPARTMENT  
 SECURITY TRANSACTION FORM

DATE: 11/8/2023

TIME: 9:30 AM

The following transaction was executed on behalf of Central Health:

DESCRIPTION:	TexasTERM "MAY 24"	FUND NAME:	CENTRAL HEALTH OPERATING
PAR VALUE:	\$ 10,000,000.00	SAFEKEEPING NO:	P 31317
COUPON RATE: (DISCOUNT)	5.720%	PRICE:	100.0000000
MATURITY DATE:	5/6/2024	US TREASURY CONVENTION YLD	5.7200%
PRINCIPAL:	\$ 10,000,000.00	PURCHASED THROUGH:	TEXASDAILY
ACCRUED INT:	\$ 0.00	BROKER:	N/A
TOTAL DUE:	\$ 10,000,000.00	CUSIP #:	TXTERMCP052423
TRADE DATE:	11/8/2023	SETTLEMENT DATE:	11/9/2023

AUTHORIZED BY:

*Deborah Laudermilke*

CENTRAL HEALTH  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 11/1/2023

TIME: 10:00 AM

The following transaction was executed on behalf of Central Health:

DESCRIPTION:	TX STATE PUB FIN TAX - GO	FUND NAME:	CENTRAL HEALTH OPERATING
PAR VALUE:	\$ 5,000,000.00	SAFEKEEPING NO:	P 31317
COUPON / DISCOUNT RATE	5.332%	PRICE:	100.8510000
MATURITY DATE:	6/1/2025	US TREASURY CONVENTION YLD	5.0860%
PRINCIPAL:	\$ 5,042,550.00	PURCHASED THROUGH:	MULTI-BANK SEC
ACCRUED INT:	\$ 0.00	BROKER:	CAROL MACKOFF
TOTAL DUE:	\$ 5,042,550.00	CUSIP #:	882724V61
TRADE DATE:	11/1/2023	SETTLEMENT DATE:	11/15/2023

AUTHORIZED BY: *Deborah A. Lauder milk*



CENTRAL HEALTH  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 11/15/2023

TIME: 10:30

The following transaction was executed on behalf of Central Health:

DESCRIPTION:	TexasDaily	FUND NAME:	CENTRAL HEALTH
PAR VALUE:	23,368.20	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	5.3700%
PRINCIPAL:	23,368.20	PURCHASED THRU:	TexasDaily
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	23,368.20	CUSIP #:	N/A
TRADE DATE:	11/15/2023	SETTLEMENT DATE:	11/15/2023

AUTHORIZED BY:

  
CASH/INVESTMENT MANAGER

CENTRAL HEALTH  
 INVESTMENT DEPARTMENT  
 SECURITY TRANSACTION FORM

DATE: 11/16/2023

TIME: 10:30

The following transaction was executed on behalf of Central Health:

DESCRIPTION:	TexasDaily	FUND NAME:	CENTRAL HEALTH
PAR VALUE:	67,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	5.3700%
PRINCIPAL:	67,000.00	PURCHASED THRU:	TexasDaily
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	67,000.00	CUSIP #:	N/A
TRADE DATE:	11/16/2023	SETTLEMENT DATE:	11/16/2023

AUTHORIZED BY:

*Deborah Laudermilk*  
 CASH/INVESTMENT MANAGER

CENTRAL HEALTH  
 INVESTMENT DEPARTMENT  
 SECURITY TRANSACTION FORM

DATE: 11/17/2023  
 \_\_\_\_\_

TIME: 10:30  
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The following transaction was executed on behalf of Central Health:

DESCRIPTION:	TexasDaily	FUND NAME:	CENTRAL HEALTH
PAR VALUE:	4,286,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE:	N/A	BOND EQ. YIELD:	5.3800%
PRINCIPAL:	4,286,000.00	PURCHASED THRU:	TexasDaily
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	4,286,000.00	CUSIP #:	N/A
TRADE DATE:	11/17/2023	SETTLEMENT DATE:	11/17/2023

AUTHORIZED BY: Reagan Lemire  
 CASH/INVESTMENT MANAGER


CENTRAL HEALTH  
 INVESTMENT DEPARTMENT  
 SECURITY TRANSACTION FORM

DATE: 11/20/2023  
 \_\_\_\_\_

TIME: 10:30  
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The following transaction was executed on behalf of Central Health:

DESCRIPTION:	Texpool	FUND NAME:	CENTRAL HEALTH
_____	_____	_____	_____
PAR VALUE:	21,000.00	SAFEKEEPING NO:	N/A
_____	_____	_____	_____
CPN/DISC RATE:	N/A	PRICE:	100%
_____	_____	_____	_____
MATURITY DATE	N/A	BOND EQ. YIELD:	5.3700%
_____	_____	_____	_____
PRINCIPAL:	21,000.00	PURCHASED THRU:	Texpool
_____	_____	_____	_____
ACCRUED INT:	N/A	BROKER:	N/A
_____	_____	_____	_____
TOTAL DUE:	21,000.00	CUSIP #:	N/A
=====	=====	_____	_____
TRADE DATE:	11/20/2023	SETTLEMENT DATE:	11/20/2023
_____	_____	_____	_____

AUTHORIZED BY:   
 CASH INVESTMENT MANAGER

CENTRAL HEALTH  
 INVESTMENT DEPARTMENT  
 SECURITY TRANSACTION FORM

DATE: 11/21/2023

TIME: 10:30

The following transaction was executed on behalf of Central Health:

DESCRIPTION:	TexasDaily	FUND NAME:	CENTRAL HEALTH
PAR VALUE:	300,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE:	N/A	BOND EQ. YIELD:	5.3800%
PRINCIPAL:	300,000.00	PURCHASED THRU:	TexasDaily
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	300,000.00	CUSIP #:	N/A
TRADE DATE:	11/21/2023	SETTLEMENT DATE:	11/21/2023

AUTHORIZED BY: Deborah Laudermilk  
 CASH/INVESTMENT MANAGER

CENTRAL HEALTH  
 INVESTMENT DEPARTMENT  
 SECURITY TRANSACTION FORM

DATE: 11/22/2023

TIME: 10:30

The following transaction was executed on behalf of Central Health:

DESCRIPTION:	TexPool	FUND NAME:	CENTRAL HEALTH
PAR VALUE:	251,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	5.3758%
PRINCIPAL:	251,000.00	PURCHASED THRU:	TexPool
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	251,000.00	CUSIP #:	N/A
TRADE DATE:	11/22/2023	SETTLEMENT DATE:	11/22/2023

AUTHORIZED BY: Deborah Laudermilk  
 CASH/INVESTMENT MANAGER

CENTRAL HEALTH  
 INVESTMENT DEPARTMENT  
 SECURITY TRANSACTION FORM

DATE: 11/27/2023

TIME: 10:30

The following transaction was executed on behalf of Central Health:

DESCRIPTION:	TexPool	FUND NAME:	CENTRAL HEALTH
PAR VALUE:	181,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	5.3776%
PRINCIPAL:	181,000.00	PURCHASED THRU:	TexPool
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	181,000.00	CUSIP #:	N/A
TRADE DATE:	11/27/2023	SETTLEMENT DATE:	11/27/2023

AUTHORIZED BY:

  
 CASH/INVESTMENT MANAGER

CENTRAL HEALTH  
 INVESTMENT DEPARTMENT  
 SECURITY TRANSACTION FORM

DATE: 11/27/2023

TIME: 10:30

The following transaction was executed on behalf of Central Health:

DESCRIPTION:	TexPool	FUND NAME:	CENTRAL HEALTH
PAR VALUE:	10,012,500.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	5.3776%
PRINCIPAL:	10,012,500.00	PURCHASED THRU:	TexPool
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	10,012,500.00	CUSIP #:	N/A
TRADE DATE:	11/27/2023	SETTLEMENT DATE:	11/27/2023

AUTHORIZED BY:

  
 CASH/INVESTMENT MANAGER



CENTRAL HEALTH  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 11/28/2023

TIME: 10:30

The following transaction was executed on behalf of Central Health:

DESCRIPTION:	TexPool	FUND NAME:	CENTRAL HEALTH
PAR VALUE:	80,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	5.3813%
PRINCIPAL:	80,000.00	PURCHASED THRU:	TexPool
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	80,000.00	CUSIP #:	N/A
TRADE DATE:	11/28/2023	SETTLEMENT DATE:	11/28/2023

AUTHORIZED BY:

  
CASH/INVESTMENT MANAGER

CENTRAL HEALTH  
 INVESTMENT DEPARTMENT  
 SECURITY TRANSACTION FORM

DATE: 11/28/2023

TIME: 10:30

The following transaction was executed on behalf of Central Health:

DESCRIPTION:	<u>TexPool</u>	FUND NAME:	<u>CENTRAL HEALTH</u>
PAR VALUE:	<u>694,000.00</u>	SAFEKEEPING NO:	<u>N/A</u>
CPN/DISC RATE:	<u>N/A</u>	PRICE:	<u>100%</u>
MATURITY DATE	<u>N/A</u>	BOND EQ. YIELD:	<u>5.3813%</u>
PRINCIPAL:	<u>694,000.00</u>	PURCHASED THRU:	<u>TexPool</u>
ACCRUED INT:	<u>N/A</u>	BROKER:	<u>N/A</u>
TOTAL DUE:	<u>694,000.00</u>	CUSIP #:	<u>N/A</u>
TRADE DATE:	<u>11/28/2023</u>	SETTLEMENT DATE:	<u>11/28/2023</u>

AUTHORIZED BY:

  
 CASH/INVESTMENT MANAGER

CENTRAL HEALTH  
INVESTMENT DEPARTMENT  
SECURITY TRANSACTION FORM

DATE: 11/30/2023

TIME: 10:30

The following transaction was executed on behalf of Central Health:

DESCRIPTION:	Texpool	FUND NAME:	CENTRAL HEALTH
PAR VALUE:	757,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	5.3800%
PRINCIPAL:	757,000.00	PURCHASED THRU:	Texpool
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	757,000.00	CUSIP #:	N/A
TRADE DATE:	11/30/2023	SETTLEMENT DATE:	11/30/2023

AUTHORIZED BY:

Deborah Laudermilk  
CASH/INVESTMENT MANAGER

CENTRAL HEALTH MONTHLY INVESTMENT REPORT  
 PORTFOLIO STATISTICS

DATE: November 30, 2023

By Fund Type		
Operating	\$ 535,407,707.69	79.43%
LPPF	5,157,946.42	0.77%
Bond Proceeds	133,533,727.81	19.81%
Other	-	0.00%
Total Portfolio	<u>\$ 674,099,381.92</u>	<u>100.00%</u>

By Security Type		
<b>Operating-</b>		
TexasDAILY	\$ 124,552,787.91	23.26%
TexPool	\$ 31,037,200.90	5.80%
TexSTAR	\$ 2,014,795.72	0.38%
TexasTERM CP	20,000,000.00	3.74%
Non-Int Bearing Bank Account	908,284.01	0.17%
Certificates of Deposit	-	0.00%
Treasury Securities	-	0.00%
Government Agencies	307,499,437.50	57.43%
Commercial Paper	-	0.00%
Municipal Bonds	49,395,201.65	9.23%
Total	<u>\$ 535,407,707.69</u>	<u>100.00%</u>

**LPPF-**

TexPool	5,157,946.42	100.00%
Total	<u>\$ 5,157,946.42</u>	<u>100.00%</u>

**Bond Proceeds-**

TexPool	\$ 133,533,727.81	100.00%
Total	<u>\$ 133,533,727.81</u>	<u>100.00%</u>

Compared to Policy Limits		Actual %	Guidelines
TexasDAILY	124,552,787.91	18.50%	30.00%
TexPool	169,728,875.13	25.21%	50.00%
TexSTAR	2,014,795.72	0.30%	30.00%
TexasTERM CP	20,000,000.00	2.97%	30.00%
Total LGIPS	<u>\$ 316,296,458.76</u>	<u>46.98%</u>	<u>70.00%</u>
Certificates of Deposit	-	0.00%	50.00%
Treasury Securities	-	0.00%	100.00%
Government Agencies	307,499,437.50	45.68%	75.00%
Commercial Paper	-	0.00%	20.00%
Municipal Bonds	49,395,201.65	7.34%	20.00%
	<u>\$ 673,191,097.91</u>	<u>100.00%</u>	

Commercial Paper by Entity as a Percentage of Portfolio

\$	-	0.00%	5.00%
\$	-	0.00%	20.00%

Municipal Bonds by Entity as a Percentage of Portfolio

City of Hampton VA - GO	\$ 1,157,199.00	0.22%	5.00%
City of Lafayette LA Utility - Rev	\$ 5,870,448.00	1.10%	5.00%
City of Yuma AZ - REV	\$ 1,500,000.00	0.28%	5.00%
Clear Creek TX ISD 2/15/2025	\$ 4,856,355.00	0.91%	5.00%
Mayes County OK ISD	\$ 5,027,400.00	0.94%	5.00%
DFW Airport - REV	\$ 3,616,168.05	0.68%	5.00%
NYC Tran Fin Tax - REV	\$ 5,000,000.00	0.93%	5.00%
Pen State Univ REV	\$ 1,253,057.20	0.23%	5.00%
State of Mississippi CP	\$ 3,000,000.00	0.56%	5.00%
Shakopee MN ISD - GO	\$ 1,000,000.00	0.19%	5.00%
Texas Tech Univ	\$ 525,840.00	0.10%	5.00%
Tulsa OK ISD	\$ 6,000,000.00	1.12%	5.00%
Univ Cincinnati OH Tax - Rev	\$ 1,000,000.00	0.19%	5.00%
State of Hawaii - GO	\$ 2,812,170.00	0.53%	5.00%
TX PUB FIN Auth - GO	\$ 5,042,550.00	0.94%	5.00%
Texas A&M Univ - Rev	\$ 1,734,014.40	0.32%	5.00%
	<u>\$ 49,395,201.65</u>	<u>9.23%</u>	<u>25.00%</u>

<u>Investment Revenue &amp; Accrued Interest</u>	<u>November-23</u>	<u>Fiscal YTD</u>
Interest/Dividends-		
TexasDAILY	\$ 585,198.46	\$ 1,223,971.06
TexPool	868,251.28	\$ 1,743,283.45
TexSTAR	9,092.76	\$ 21,626.79
TexasTERM CP	386,687.67	\$ 764,506.85
Certificates of Deposit		\$ -
Treasury Securities		\$ -
Government Agencies	480,000.00	\$ 549,250.00
Commercial Paper		\$ -
Municipal Bonds	117,678.98	\$ 134,508.98
	<u>\$ 2,446,909.15</u>	<u>\$ 4,437,147.13</u>
Discounts, Premiums, & Accrued Interest		
TexasTERM CP	\$ 81,188.28	\$ 174,985.84
-less previous accruals	(375,356.16)	\$ (726,783.56)
Certificates of Deposit	0.00	\$ -
-less previous accruals	0.00	\$ -
Treasury Securities	0.00	\$ -
-less previous accruals	0.00	\$ -
Government Agencies	457,107.18	\$ 1,013,459.02
-less previous accruals	(403,191.59)	\$ (460,853.64)
Commercial Paper	0.00	\$ -
-less previous accruals	0.00	\$ -
Municipal Bonds	82,696.48	\$ 176,590.21
-less previous accruals	(113,301.82)	\$ (142,821.24)
	<u>\$ (270,857.63)</u>	<u>\$ 34,576.63</u>
Total Investment Revenue & Accrued Interest	<u>\$ 2,176,051.52</u>	<u>\$ 4,471,723.76</u>

STATE OF TEXAS

COUNTY OF TRAVIS

CENTRAL HEALTH - LPPF

Whereas, it appears to the Board of Managers of the Central Health, Travis County, Texas that there are sufficient funds on hand over and above those of immediate need for LPPF demand,

Now, Therefore, the Board of Managers hereby orders

- 1.) that the County Treasurer of Travis County, Texas, acting on behalf of Central Health LPPF, execute the investment of these funds in the total amount of \$420,000.00 in legally authorized securities as stipulated in the Travis County Healthcare District Investment and Collateral Policy for the periods as indicated in Attachment A, which consists of 1 page(s).
- 2.) that the County Treasurer, acting on behalf of Central Health LPPF, take and hold in safekeeping all individual security investment instruments, relinquishing same only by order of the Board of Managers or for surrender at maturity.

Date: December 13, 2023

\_\_\_\_\_  
CHAIR, BOARD OF MANAGERS

\_\_\_\_\_  
VICE CHAIR, BOARD OF MANAGERS

\_\_\_\_\_  
MANAGER

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MANAGER

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MANAGER

CENTRAL HEALTH  
 INVESTMENT DEPARTMENT  
 SECURITY TRANSACTION FORM

DATE: 11/1/2023

TIME: 10:30

The following transaction was executed on behalf of Central Health:

DESCRIPTION:	Texpool	FUND NAME:	CENTRAL HEALTH LPPF
PAR VALUE:	420,000.00	SAFEKEEPING NO:	N/A
CPN/DISC RATE:	N/A	PRICE:	100%
MATURITY DATE	N/A	BOND EQ. YIELD:	5.3742%
PRINCIPAL:	420,000.00	PURCHASED THRU:	Texpool
ACCRUED INT:	N/A	BROKER:	N/A
TOTAL DUE:	420,000.00	CUSIP #:	N/A
TRADE DATE:	11/1/2023	SETTLEMENT DATE:	11/1/2023

AUTHORIZED BY: Deborah Laudermilk  
 CASH/INVESTMENT MANAGER

CENTRAL HEALTH - LPPF INVESTMENT REPORT  
 PORTFOLIO STATISTICS  
 DATE: October 31, 2023

By Fund Type		
LPPF	\$ 5,157,946.42	100.00%
<b>Total Portfolio</b>	<b>\$ 5,157,946.42</b>	<b>100.00%</b>

By Security Type		
<b>LPPF-</b>		
TexasDAILY	\$ -	0.00%
TexPool	\$ 5,157,946.42	100.00%
TexSTAR	\$ -	0.00%
TexasTERM CP	\$ -	0.00%
Non-Int Bearing Bank Account	\$ -	0.00%
Certificates of Deposit	\$ -	0.00%
Treasury Securities	\$ -	0.00%
Government Agencies	\$ -	0.00%
Commercial Paper	\$ -	0.00%
Municipal Bonds	\$ -	0.00%
<b>Total</b>	<b>\$ 5,157,946.42</b>	<b>100.00%</b>

LPPF Investment Revenue & Accrued Interest	October-23	Fiscal YTD
Interest/Dividends-		
TexasDAILY	0.00	0.00
TexPool	190,213.32	376,895.19
TexSTAR	0.00	0.00
TexasTERM CP	0.00	0.00
Certificates of Deposit	0.00	0.00
Treasury Securities	0.00	0.00
Government Agencies	0.00	0.00
Commercial Paper	0.00	0.00
Municipal Bonds	0.00	0.00
<b>LPPF Total Investment Revenue &amp; Accrued Interest</b>	<b>\$ 190,213.32</b>	<b>\$ 376,895.19</b>



**INVESTMENT MANAGEMENT DEPARTMENT**  
**TRAVIS COUNTY, TEXAS**



Travis County Administration Building  
700 Lavaca, Suite 1560  
P.O. Box 1748  
Austin, Texas 78767

Phone: (512) 854-9779  
Fax: (512) 854-4210  
Email: Deborah.laudermilk@traviscountytx.gov

**DATE: November 27, 2023**

**TO: Charles E. Bell, M.D., M.S., Chairperson**  
**Cynthia Brinson, M.D., Vice-Chairperson**  
**Maram Museitif, M.P.H., C.P.H., Treasurer**  
**Cynthia Valadez, Sr., Secretary**  
**Ann Kitchen, Manager**  
**Manuel Martin, M.D., Manager**  
**Shannon Jones III, M.P.A., Manager**  
**Amit Motwani, Manager**  
**Guadalupe Zamora, M.D., Manager**

**FROM: Deborah A. Laudermilk, Travis County Chief Investment Officer**  
**Reagan Grimes, Travis County Investment Manager**

**RE: Central Health FY 2023 Fourth Quarter Investment Report**

**INVESTMENT EARNINGS**

**Total portfolio earnings for this quarter: \$ 6,174,103**

**Total portfolio earnings for this fiscal year: \$ 19,657,633**

These earnings are determined on an accrual basis. Investment balances are in the Portfolio Statistics section of this report.

**CASH AVAILABILITY**

**Operating Account for the Quarter Ended September 30, 2023:**

The cash availability in the bank operating account is monitored daily. Typically, cash balances are invested as soon as they are known and available. The cash availability (includes the controlled disbursement) for the quarter ended September 30, 2023:

	<b>2023 Average Daily Collected Balance</b>	<b>2022 Average Daily Collected Balance</b>
<b>July</b>	<b>\$ 1,334,961</b>	<b>\$ 1,352,739</b>
<b>August</b>	<b>\$ 1,107,258</b>	<b>\$ 1,360,900</b>
<b>September</b>	<b>\$ 1,202,590</b>	<b>\$ 1,355,852</b>

Several years ago, we opened a dedicated non-interest bearing account at Chase that we have managed with the goal of earning additional ECR (earnings credit) to offset annual bank charges. The current balance is \$908,284.01 and is reflected in the totals listed above.

## **MARKET REVIEW**

These are very interesting times with economic data exhibiting some improvement, investors reacting as if the rate increases are over and the FOMC continuing to warn that we are nowhere close to the inflation goal of 2%. Over the past several weeks, numerous Committee members have publicly reiterated that even though inflation has come off the peak levels, the improvement is insufficient and want rates to remain restrictive. The markets have reacted as if there will not be any more increases and in fact have indicated that we will see a drop in rates as early as March. The November minutes of the FOMC meeting only reinforce what most members have publicly stated. To this point, the Fed's actions have resulted in only **mild** improvements, and they will continue to keep rates high or higher longer until there are some serious improvements towards the data targets. It's always interesting as to how the markets interpret the financial data and make market projections that sometimes seem to be more optimistic than the current monthly data implies. Repeatedly, the Committee has stated that there needs to be more than a month or two of economic data moving in the right direction for consideration that our economy is heading down the path to meet their goals. The next meeting of the FOMC is December 12<sup>th</sup> and at the moment it is most likely that there will be no action taken by the committee.

October CPI, headline, was unchanged but core rose 0.2%, slightly less than the expected rise of 0.3%. Year-on-year core CPI was still up 4.1%, double the Fed's target. Some will interpret this, less than expected increase as progress, but this slowdown must be consistent over several months. Granted, this report was an improvement from the September numbers reported. The Personal Consumption Expenditure for October was reported recently, a number that the Fed prefers to use for measuring inflation, and it was down to 3%. PCE was slightly less than expected and lower than the September inflation number. May was the last time that PCE increased and four of the five months since then, core inflation rounded down to 0.2% or less. Manufacturing and housing softened since last year. Home sales and starts bottomed late last year and manufacturing bottomed in June. Auto sales, particularly used cars, has weakened but overall auto sales were also impacted by the UAW strike in October.

The latest data to show improvement was jobless claims, which fell 24K, a five-week low while the four-week average was steady. Contrary data, continuing claims of unemployment benefits rose to the highest level in almost 2 years. Durable goods orders

dropped 5.4% in October compared to an expected decline of 3.2%. Transportation orders were the biggest contributor to the decline. Capital goods order dropped dramatically as well. Of course, some of these numbers were distorted by the UAW strike, but most likely rising costs, high financing rates, slowing demand and ample inventory will only exacerbate the weakness. PMI for November was unchanged, but there were a number of factors that could have influenced those results. New orders were slightly better, but supplier deliveries, employment and inventories were dragging down the results. Again, some of this could be due to the strike, but only the future data will be able to confirm if this is a new trend or just a hiccup.

Somewhat surprising, retail sales were strong in September, but it is most likely this was a reflection of consumers drawing down their savings, a ramp up of credit card spending and some doing “emergency withdrawals from their 401(k). In October sales dropped 0.1%, far less than expected, particularly considering the resumption of student loan payments. Pricing pressures are still expected to erode household disposable income over the next few months, not good timing with the holidays upon us. At this point in the holiday season, elevated prices and rising borrowing costs are not deterring consumer spending. This attitude/reaction may also be a part of the mentality of “oh, I’ll worry about that tomorrow.”

GDP was revised higher from a 4.9% gain to a 5.2% increase annualized, making it the fastest quarterly pace since Q4 of 2021. These results reinforce that the consumer is resilient with gains in housing, investments and government spending. As stated earlier, higher interest rates and borrowing costs will weigh on the consumer as we enter the new year and most likely lead to slower growth.

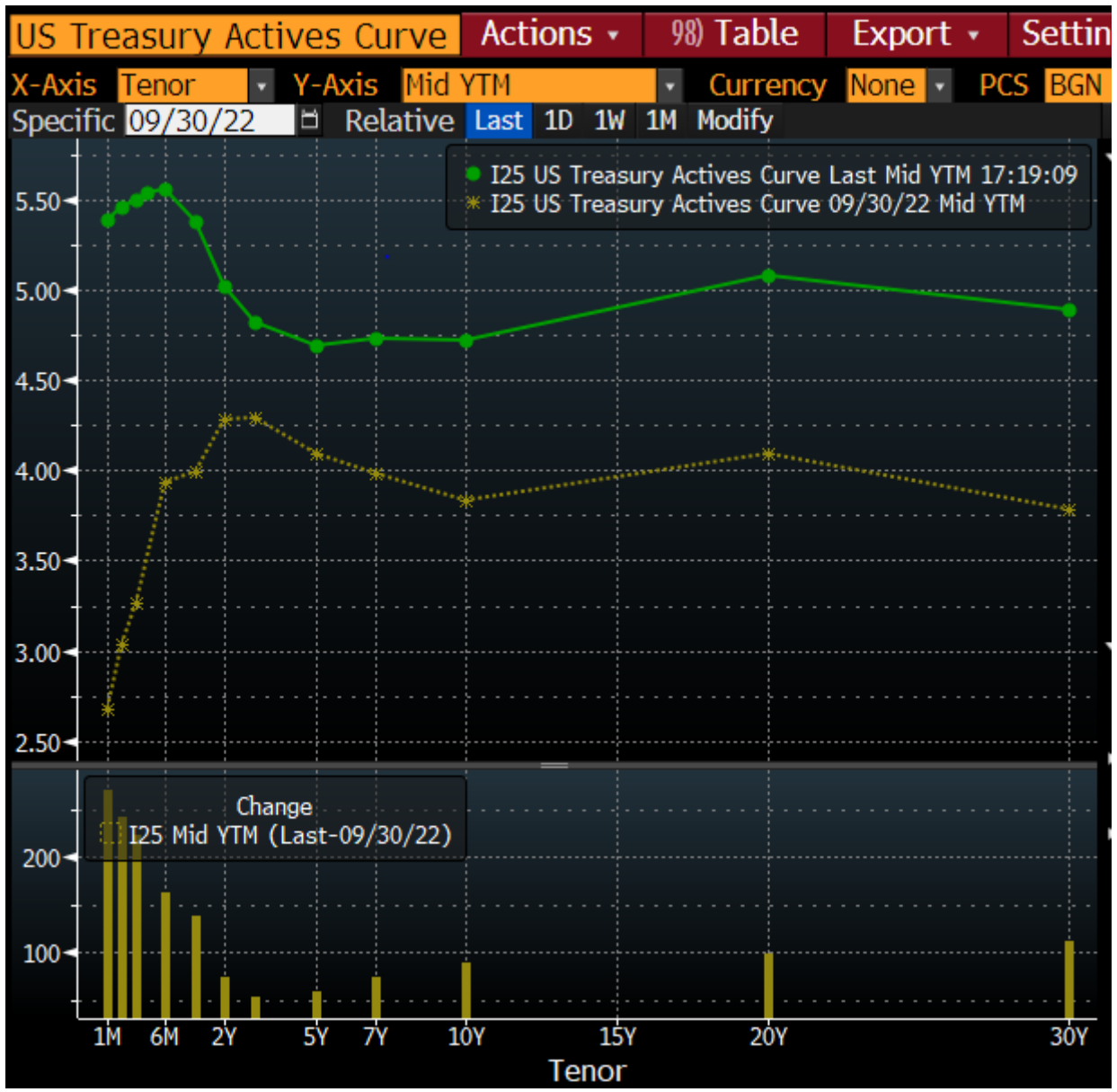
It has been well over a year that the Eurozone and the UK have had any significant growth somewhat due to the war being fought in their backyard. PMI is struggling to recover, and inflation has slowed but not to the same extent as the US. Core inflation in the Eurozone is about 3.6%, but inflation in the UK is 5.7%. Financial markets indicate that the Bank of England and the European Central Bank have done enough to keep inflation falling, but their economies have stalled. There is the belief that even with this slowing in the UK, there still could possibly be rate hikes in the US if there isn’t continued progress towards the Fed’s inflation target. As mentioned in previous quarterly reports, we must take into consideration the economies across the world because they do impact the US. In China, there has been no significant inflation since the pandemic lockdowns. But on the other hand, China’s PPI (Producer Price Index) has fallen every month for well over a year. In addition, China has been struggling since it’s housing bubble burst and the exorbitant local government debt that the country is trying to work their way through. They are also impacted by the movement in the US to become independent from the Chinese semiconductor and technology market.

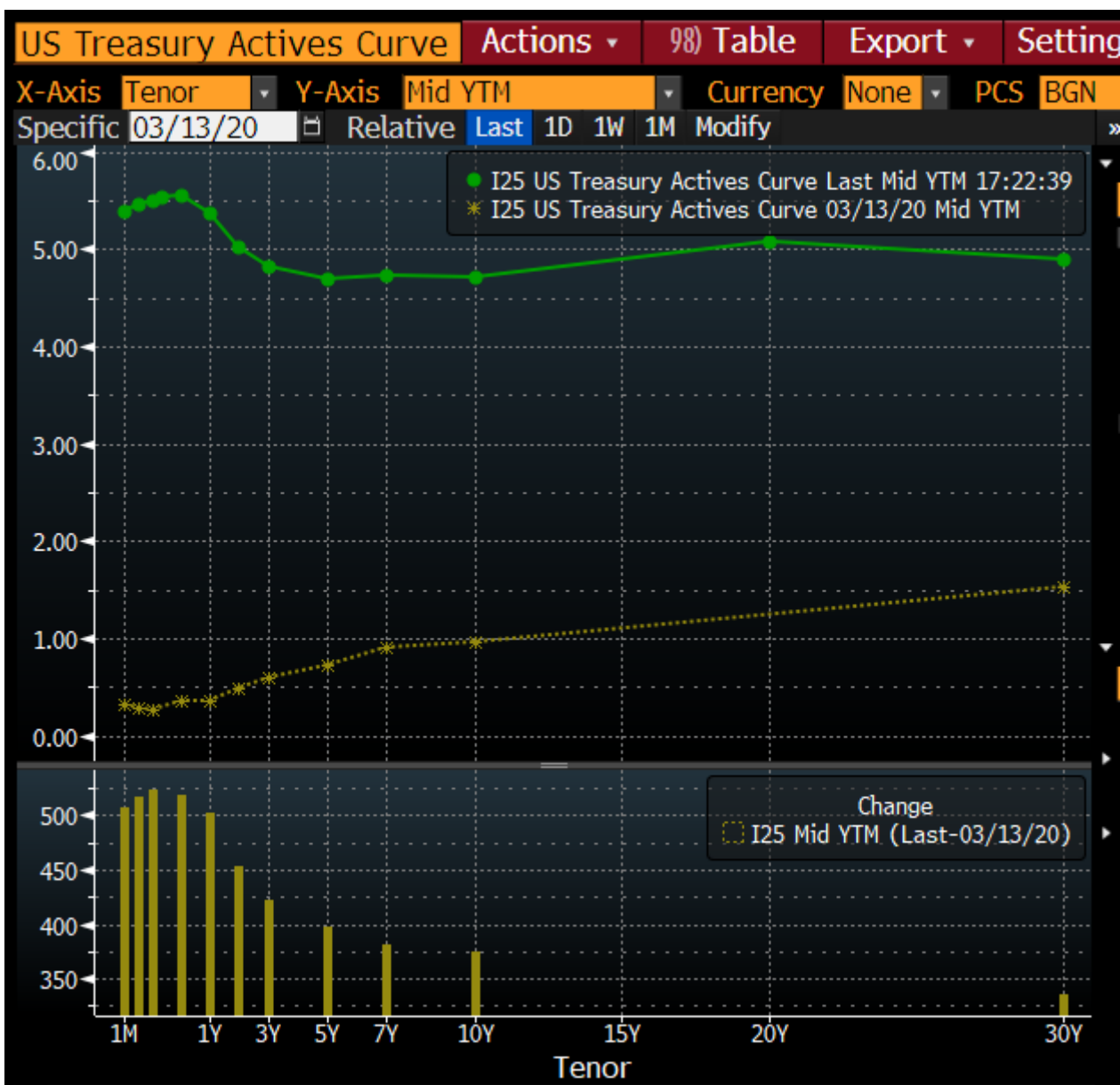
The monthly average of the Fed funds effective rate since the beginning of the fiscal year has traded between 3.08% and 5.33%. For the quarter the effective rate rose from 5.08% to 5.33%. The yield of the 2-year treasury has been trading between 4.87% to 5.10% throughout most of the quarter and for the year, the range has been from 4.22% to 5.10% where the rate has held steady since the end of FY 23. Until there is a more definitive indication of the FOMC’s actions to reach their inflation goal of 2%, rates of treasuries will most likely continue to trade around the 4.75% to 5% level. We will

continue to make the best decisions for the County investments as cash flow and the current market allows.

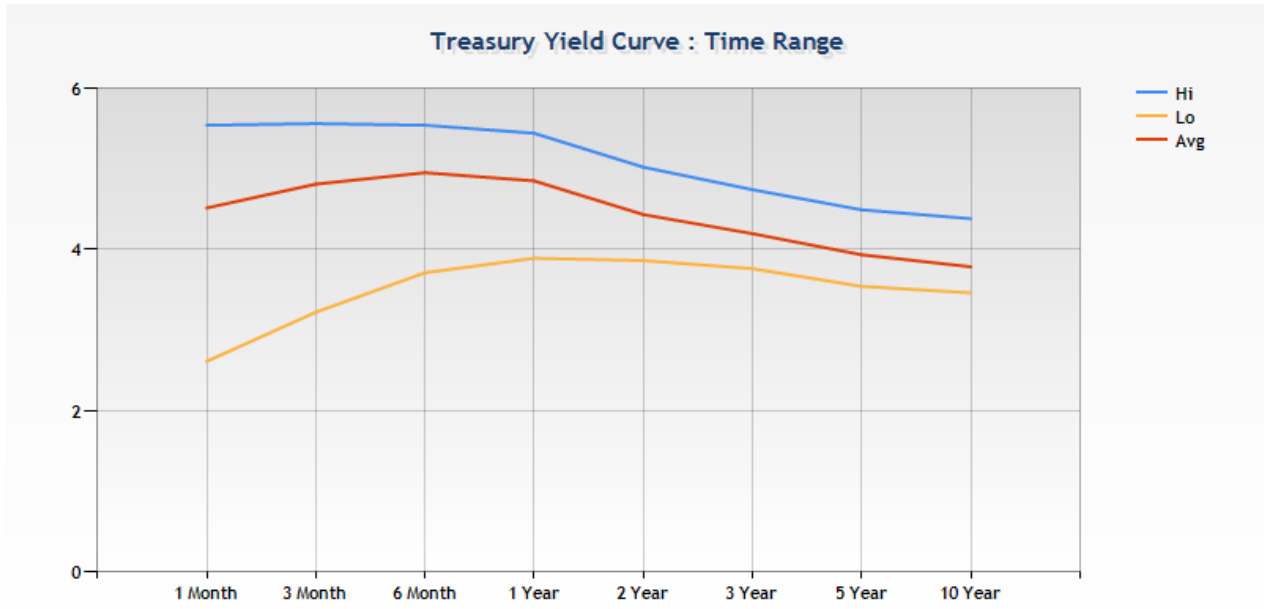
Below are the Bloomberg charts of the changes in the yield curve over the fourth quarter, the changes since the beginning of the calendar year and the changes in the yield curve over FY 2023. The last chart shows the dramatic change in rates since the beginning of the 2022. This chart has been included to provide you with a visual of the difficult investment environment over the last two and one-half years.







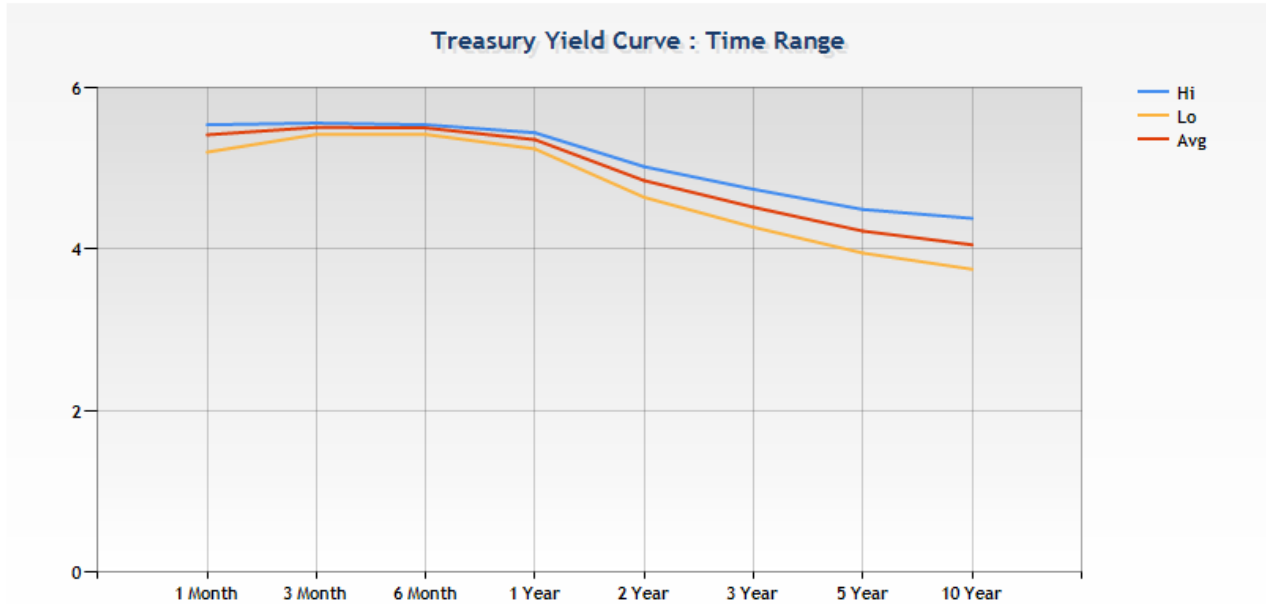
## September 2022 – September 2023



Data	1 Month	3 Month	6 Month	1 Year	2 Year	3 Year	5 Year	10 Year
Hi	5.54	5.56	5.54	5.44	5.02	4.74	4.49	4.38
Lo	2.61	3.22	3.71	3.89	3.86	3.76	3.54	3.46
Avg	4.51	4.81	4.95	4.85	4.43	4.19	3.93	3.78

Date	1 Month	3 Month	6 Month	1 Year	2 Year	3 Year	5 Year	10 Year
Sep 2022	2.61	3.22	3.71	3.89	3.86	3.88	3.7	3.52
Oct 2022	3.32	3.87	4.31	4.43	4.38	4.38	4.18	3.98
Nov 2022	3.87	4.32	4.61	4.73	4.5	4.34	4.06	3.89
Dec 2022	3.9	4.36	4.71	4.68	4.29	4.05	3.76	3.62
Jan 2023	4.52	4.69	4.8	4.69	4.21	3.91	3.64	3.53
Feb 2023	4.64	4.79	4.97	4.93	4.53	4.23	3.94	3.75
Mar 2023	4.49	4.86	4.99	4.68	4.3	4.09	3.82	3.66
Apr 2023	4.17	5.07	4.99	4.68	4.02	3.76	3.54	3.46
May 2023	5.49	5.31	5.27	4.91	4.13	3.82	3.59	3.57
Jun 2023	5.2	5.42	5.42	5.24	4.64	4.27	3.95	3.75
Jul 2023	5.39	5.49	5.53	5.37	4.83	4.47	4.14	3.9
Aug 2023	5.54	5.56	5.54	5.37	4.9	4.59	4.31	4.18
Sep 2023	5.53	5.56	5.51	5.44	5.02	4.74	4.49	4.38

**June 2023 – September 2023**



Data	1 Month	3 Month	6 Month	1 Year	2 Year	3 Year	5 Year	10 Year
Hi	5.54	5.56	5.54	5.44	5.02	4.74	4.49	4.38
Lo	5.2	5.42	5.42	5.24	4.64	4.27	3.95	3.75
Avg	5.42	5.51	5.5	5.36	4.85	4.52	4.22	4.05

Date	1 Month	3 Month	6 Month	1 Year	2 Year	3 Year	5 Year	10 Year
Jun 2023	5.2	5.42	5.42	5.24	4.64	4.27	3.95	3.75
Jul 2023	5.39	5.49	5.53	5.37	4.83	4.47	4.14	3.9
Aug 2023	5.54	5.56	5.54	5.37	4.9	4.59	4.31	4.18
Sep 2023	5.53	5.56	5.51	5.44	5.02	4.74	4.49	4.38

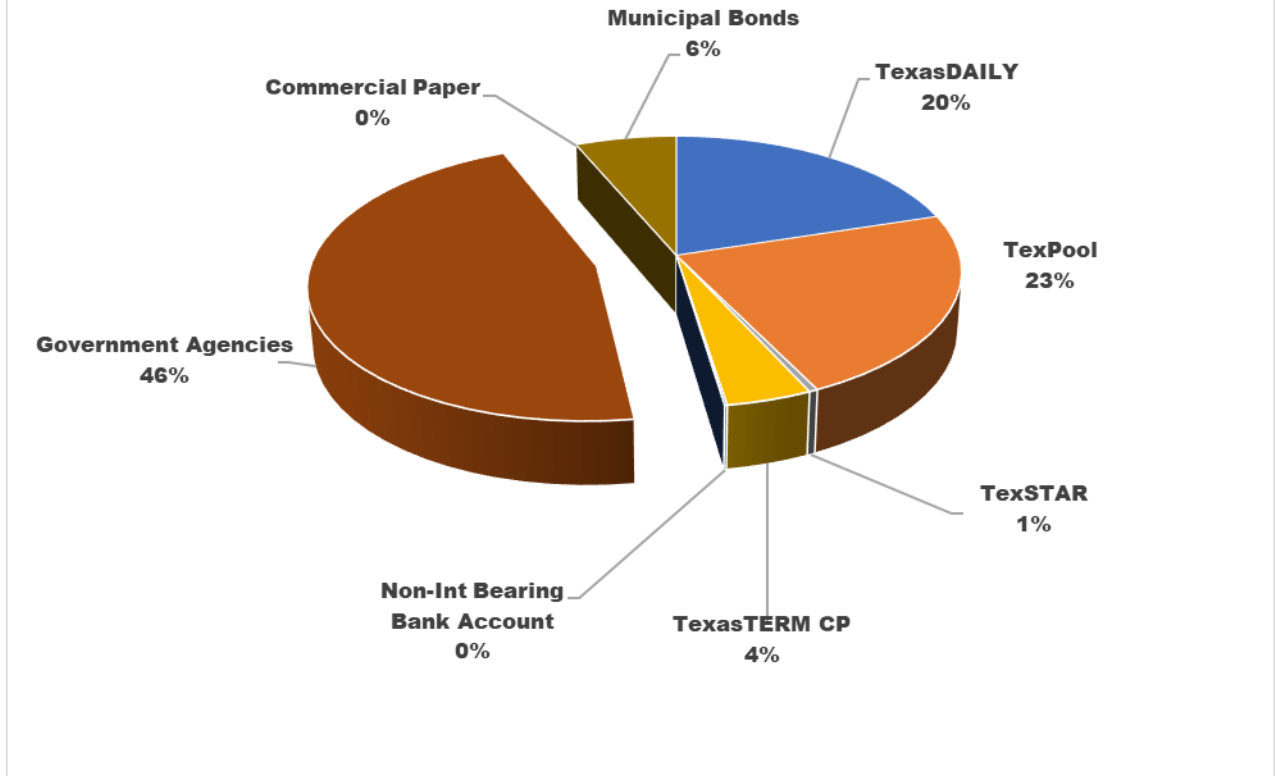
**INVESTMENT STRATEGY - NEXT QUARTER**

**Operating Portfolio**

Up to this point in the quarter, there has been two pieces of TexasTERM and one agency that matured and there have been no calls due to the higher rate environment. One piece of TexasTERM was purchase and one municipal. The goal is to have investments that meet liquidity needs and when possible, allowing the portfolio to earn a better yield than the LGIPs. Our first priority in investing is always the security of the principal of Central Health investments and the ability to meet cash flow needs. We will continue to analyze investment opportunities to look for higher yielding potential purchases to improve the yield and performance of the Central Health portfolio and to contribute to investment income.



## Portfolio Diversity September 30, 2023



### PORTFOLIO DIVERSITY

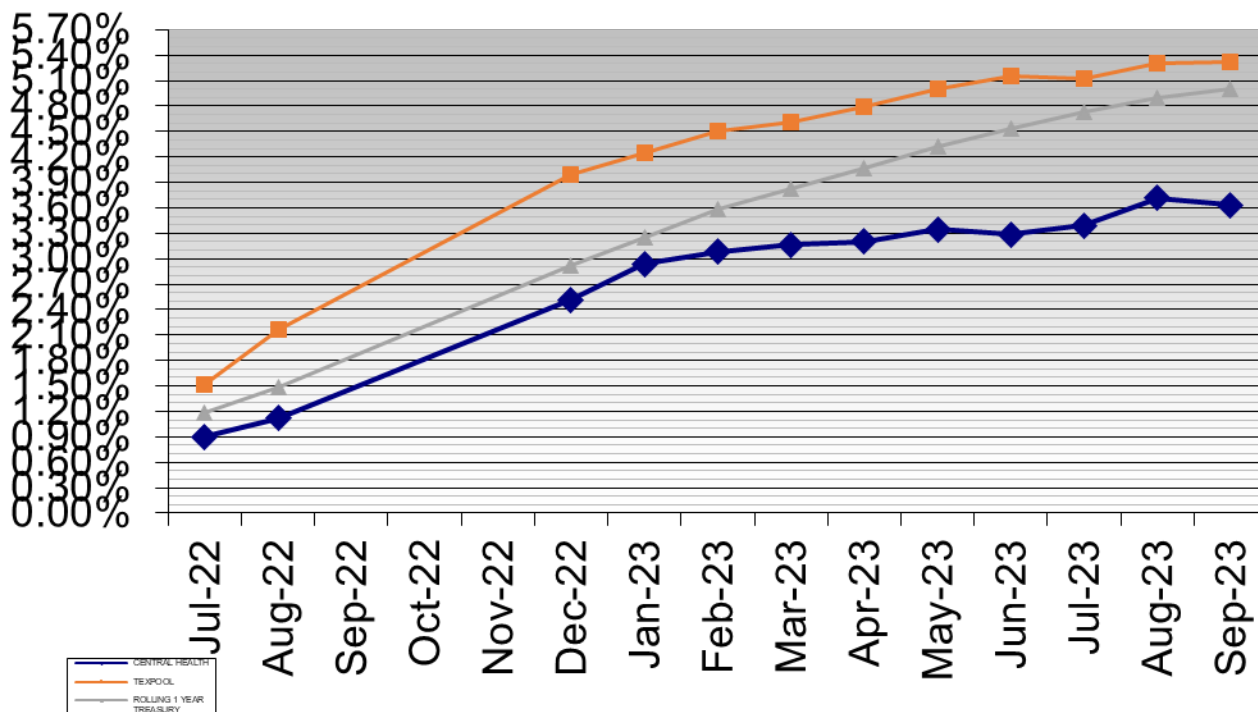
Safety of principal is the first priority of any public investing portfolio. An important way to maintain safety is to diversify by purchasing a variety of security types. Total investment in the overnight pools is at 43%. During the quarter, six securities matured.

	<u>Actual Percent</u>	<u>Policy Limit</u>
Government Agencies	46.04%	75%
TexasDAILY	20.15%	30%
TexPool	22.58%	50%
TexSTAR	0.45%	30%
Texas TERM CP	4.35%	30%
Treasury Securities	0.00%	100%
Non-Int Bearing Bank Acct	0.16%	--
Certificates of Deposits	0.00%	50%
Municipal Bonds	6.43%	20%
Commerical Paper	0.00%	20%
	100.00%	

Portfolio percentage limits are tested at least monthly and reported to the Board of Managers. All investments during this quarter were within the Policy Limits. As always,

we will continue to monitor the needs of Central Health and choose appropriate short and long-term investments.

## Portfolio Performance September 30, 2023



### PERFORMANCE ANALYSIS

	<u>July</u>	<u>Aug</u>	<u>Sept</u>
Healthcare District	3.39%	3.72%	3.63%
Rolling 1 Year Treasury	4.73%	4.89%	5.00%
TexPool	5.13%	5.31%	5.32%

The Federal Funds rate is currently in the range between 5.25% and 5.50%. At the latest FOMC meeting, the Federal Reserve held rates steady with the current rate as of the end of the fiscal year at 5.25% - 5.50%. At the meeting, Chairman Powell indicated that this was not the end of rate increases. Until inflation is under control, the committee will continue to make moves dependent on the economic data that is reported.

The weighted average maturity (WAM) of the Central Health portfolio decreased from 369 days at the end of the third quarter on June 30, 2023, to 301 days at the end of the fourth quarter on September 30, 2023.

## COLLATERAL ADEQUACY

Collateral coverage was more than the 105% of bank balances required by policy on every day during the fourth quarter except for one. On August 29<sup>th</sup>, collateral coverage fell to 84.51%. Coverage was corrected as soon as it was discovered the next morning.

Based on policy requirements, the highest collateral coverage during the fourth quarter was 157.54% on July 17, 2023, and the lowest was 84.51% on August 29, 2023.

This report was prepared jointly by Deborah Laudermilk, Travis County Chief Investment Officer and Reagan Grimes, Travis County Investment Manager. The investment portfolio of Central Health complies with the investment parameters in the Public Funds Investment Act of Texas.

*Deborah Laudermilk*

Deborah A. Laudermilk  
Chief Investment Officer

*Reagan Grimes*

Reagan Grimes  
Investment Manager

CENTRAL HEALTH QUARTERLY INVESTMENT REPORT  
 PORTFOLIO STATISTICS  
 DATE: September 30, 2023

By Security Type			WAM in Days	Sept Yield to Maturity
<b>Operating-</b>				
TexasDAILY	\$ 138,962,018.30	25.08%	1	5.35%
TexPool	19,209,130.62	3.47%	1	5.33%
TexSTAR	3,070,168.93	0.55%	1	5.30%
Texas TERM CP	30,000,000.00	5.42%	134	5.38%
Non-Int Bearing Bank Account	908,284.01	0.16%		
Certificates of Deposit	-	0.00%		
Treasury Securities	-	0.00%		
Government Agencies	317,488,037.50	57.31%	554	2.08%
Commercial Paper	-	0.00%		
Municipal Bonds	44,352,651.65	8.01%	621	2.13%
<b>Total</b>	<b>\$ 553,990,291.01</b>	<b>100.00%</b>	<b>301</b>	<b>3.63%</b>
<b>LPPF</b>				
TexPool	\$ 3,425,475.78	100.00%	1	5.31%
<b>Total</b>	<b>\$ 3,425,475.78</b>	<b>100.00%</b>	<b>1</b>	<b>5.31%</b>
<b>Bond Proceeds</b>				
TexPool	\$ 133,115,569.05	100.00%	1	5.32%
<b>Total</b>	<b>\$ 133,115,569.05</b>	<b>100.00%</b>	<b>1</b>	<b>5.32%</b>
<b>Total</b>	<b>\$ 690,531,335.84</b>	<b>100.00%</b>		

Compared to Policy Limits		Actual %	Guidelines
TexasDAILY	\$ 138,962,018.30	20.15%	30.00%
TexPool	155,750,175.45	22.58%	50.00%
TexSTAR	3,070,168.93	0.45%	30.00%
Texas TERM CP	30,000,000.00	4.35%	30.00%
<b>Total LGIPS</b>	<b>\$ 327,782,362.68</b>	<b>47.53%</b>	<b>70.00%</b>
Certificates of Deposit	0.00	0.00%	50.00%
Treasury Securities	0.00	0.00%	100.00%
Government Agencies	317,488,037.50	46.04%	75.00%
Commercial Paper	0.00	0.00%	20.00%
Municipal Bonds	44,352,651.65	6.43%	20.00%
<b>Total</b>	<b>\$ 689,623,051.83</b>	<b>100.00%</b>	

Municipal Bonds by Entity as a Percentage of Portfolio

City of Hampton VA - GO	\$ 1,157,199.00	0.17%	5.00%
City of Lafayette LA Utility - Rev	\$ 5,870,448.00	0.85%	5.00%
City of Yuma AZ - REV	\$ 1,500,000.00	0.22%	5.00%
Clear Creek TX ISD 2/15/2025	\$ 4,856,355.00	0.70%	5.00%
DFW Airport - REV	\$ 3,616,168.00	0.52%	5.00%
Mayes CNTY OK TAX - GO	\$ 5,027,400.00	0.73%	5.00%
NYC Tran Fin Tax - REV	\$ 5,000,000.00	0.73%	5.00%
Penn Sate Univ - REV	\$ 1,253,057.20	0.18%	5.00%
Shakopee, MN ISD - GO	\$ 1,000,000.00	0.15%	5.00%
State of Hawaii - GO	\$ 2,812,170.00	0.41%	5.00%
State of Mississippi CP	\$ 3,000,000.00	0.44%	5.00%
Texas A&M Univ - REV	\$ 1,734,014.40	0.25%	5.00%
Texas Tech Univ	\$ 525,840.00	0.08%	5.00%
Tulsa OK - GO	\$ 6,000,000.00	0.87%	
Univ Cincinnati OH Tax - Rev	\$ 1,000,000.00	0.15%	5.00%
<b>Total</b>	<b>\$ 44,352,651.65</b>	<b>6.43%</b>	<b>20.00%</b>

Investment Revenue & Accrued Interest	4th Quarter 2023	FY 2023
TexasDAILY	\$ 1,932,483.99	\$ 5,528,904.83
TexPool	\$ 1,702,575.04	\$ 6,595,702.79
TexSTAR	\$ 315,961.80	\$ 1,148,529.08
Texas TERM CP	\$ 290,309.59	\$ 290,309.59
Certificates of Deposit	\$ -	\$ -
Treasury Securities	\$ -	\$ -
Government Agencies	\$ 2,114,252.50	\$ 3,531,080.00
Commercial Paper	\$ -	\$ -
Municipal Bonds	\$ 211,575.40	\$ 842,854.64
	<u>\$ 6,567,158.32</u>	<u>\$ 17,937,380.93</u>
Discount Accretion & Accrued Interest		
TexasTERM CP	325,303.73	\$ 993,155.79
-less previous accruals	(286,142.47)	\$ (286,142.47)
Certificates of Deposit	-	\$ -
-less previous accruals	-	\$ -
Treasury Securities	-	\$ -
-less previous accruals	-	\$ -
Government Agencies	1,301,923.27	\$ 3,935,186.36
-less previous accruals	(1,758,394.80)	\$ (2,914,298.32)
Commercial Paper	0.00	\$ -
-less previous accruals	-	\$ -
Municipal Bonds	258,824.99	\$ 961,894.07
-less previous accruals	(234,569.87)	\$ (969,543.08)
	<u>\$ (393,055.15)</u>	<u>\$ 1,720,252.35</u>
Total Investment Revenue & Accrued Interest	<u>\$ 6,174,103.17</u>	<u>\$ 19,657,633.28</u>

Portfolio Yield and WAM	Yield	Weighted Average Maturity
Jul-23	3.39%	346 days
Aug-23	3.72%	294 days
Sep-23	3.63%	301 days

**SUMMARY OF MARKET VALUES FOR THE QUARTER ENDED 09/30/23**

(Excludes funds in TexPool, TexasDAILY, and TexSTAR because the difference between book value and market value of Central Health shares is immaterial)

**Operating Portfolio**

	<u>Cost Value</u>	<u>Market Value</u>	<u>Unrealized Gain/(Loss)</u>	<u>Accrued Interest</u>
6/30/2023	\$ 413,411,503.95	\$ 397,502,198.11	\$ (15,909,305.84)	\$ 2,810,318.02
Changes	\$ (21,570,814.80)	\$ (19,710,520.52)	\$ 1,860,294.28	\$ (362,146.92)
9/30/2023	<u>\$ 391,840,689.15</u>	<u>\$ 377,791,677.59</u>	<u>\$ (14,049,011.56)</u>	<u>\$ 2,448,171.10</u>

The primary source of market values was JP Morgan Chase safekeeping.  
This pricing was uploaded into our Tracker Investment Software.

**SUMMARY OF MARKET VALUES FOR THE YEAR ENDED 09/30/2023**

(Excludes funds in TexPool and TexasDAILY, and TexSTAR because the difference between book value and market value of Central Health shares is immaterial)

**Operating Portfolio**

	<u>Cost Value</u>	<u>Market Value</u>	<u>Unrealized Gain/(Loss)</u>	<u>Accrued Interest</u>
9/30/2022	\$ 301,854,878.00	\$ 282,051,240.75	\$ (19,803,637.25)	\$ 615,292.96
Changes	\$ 89,985,811.15	\$ 95,740,436.84	\$ 5,754,625.69	\$ 1,832,878.14
9/30/2023	<u>\$ 391,840,689.15</u>	<u>\$ 377,791,677.59</u>	<u>\$ (14,049,011.56)</u>	<u>\$ 2,448,171.10</u>

The primary source of market values was JP Morgan Chase safekeeping.  
This pricing was uploaded into our Tracker Investment Software.

**Central Health**  
**Q4 - Qrtly Report - Matured, Sold and Called Investments**  
**07/01/2023 to 09/30/2023**

Portfolio Name	Description	CUSIP/Ticker	Face Amount/Shares	Principal	Settlement Date	Maturity Date	Coupon Rate
<b>Matured</b>							
Healthcare Operating	San Diego Calif Pub Facs Water REV 1.327 8/1/2023-	79730CJG0	1,040,000.00	1,040,000.00	8/1/2023	8/1/2023	1.327
Healthcare Operating	Texas Term 5.07 8/3/2023	TXTERMCP80323	10,000,000.00	10,000,000.00	8/3/2023	8/3/2023	5.070
Healthcare Operating	FHLMC 0.27 8/23/2023-21	3134GXCY8	7,500,000.00	7,500,000.00	8/23/2023	8/23/2023	0.270
<b>Sub Total / Average</b>	<b>Matured</b>		<b>18,540,000.00</b>	<b>18,540,000.00</b>			<b>2.222</b>



**Central Health**  
**Q4 - FY 2023 - Purchases**  
**07/01/2023 to 09/30/2023**

Description	CUSIP/Ticker	Broker/ Dealer	Coupon Rate	Face Amount/Shares	Principal	Interest/ Dividends	Settlement Date	Maturity Date	YTM @ Cost
<b>Healthcare Operating</b>									
TX TERM 5.89 9/9/2024	TXTERMCP90924	TexasTERM	5.890	10,000,000.00	10,000,000.00	0.00	9/15/2023	9/9/2024	5.890

Central Health  
 Q4 - 2023 Summary  
 6/30/2023 to 9/30/2023

Description	CUSIP/Ticker	Beginning Face Amount/Shares	Coupon Rate	Beginning Cost Value	Maturity Date	Ending YTM @ Cost	Ending Cost Value	Total Buys	Total Sells	Ending MV	Ending Market Accrued Interest	Unrealized Gain/Loss-CV
<b>Healthcare Operating</b>												
Port Auth of NY & NJ - REV 1.086 7/1/2023	73358W4V3	10,000,000.00	1.086	9,893,650.00	7/1/2023		0.00	0.00	10,000,000.00	0.00	0.00	0.00
Upper Occoquan VA - REV 0.53 7/1/2023	916277PP5	3,110,000.00	0.530	3,110,000.00	7/1/2023		0.00	0.00	3,110,000.00	0.00	0.00	0.00
San Diego Calif Pub Facs Water REV 1.327 8/1/2023-	79730CJG0	1,040,000.00	1.327	1,067,164.80	8/1/2023		0.00	0.00	1,040,000.00	0.00	0.00	0.00
Texas Term 5.07 8/3/2023	TXTERMCP80323	10,000,000.00	5.070	10,000,000.00	8/3/2023		0.00	0.00	10,000,000.00	0.00	0.00	0.00
FHLMC 0.27 8/23/2023-21	3134GXCY8	7,500,000.00	0.270	7,500,000.00	8/23/2023		0.00	0.00	7,500,000.00	0.00	0.00	0.00
Texas TERM 5.07 10/19/2023	TXTERMCP101923	10,000,000.00	5.070	10,000,000.00	10/19/2023	5.070	10,000,000.00	0.00	0.00	10,000,000.00	351,427.40	0.00
Texas TERM 5.17 11/8/2023	TXTERMCP110823	10,000,000.00	5.170	10,000,000.00	11/8/2023	5.170	10,000,000.00	0.00	0.00	10,000,000.00	331,446.58	0.00
FNMA 0.25 11/27/2023	3135G06H1	10,000,000.00	0.250	9,988,600.00	11/27/2023	0.288	9,988,600.00	0.00	0.00	9,922,350.00	8,541.67	-66,250.00
Texas Tech University 2.939 2/15/2024	882806FP2	500,000.00	2.939	525,840.00	2/15/2024	1.524	525,840.00	0.00	0.00	495,215.00	1,836.88	-30,625.00
FHLMC 0.375 4/8/2024-21	3134GWYD2	10,000,000.00	0.375	10,012,500.00	4/8/2024	0.335	10,012,500.00	0.00	0.00	9,737,870.00	17,916.67	-274,630.00
FHLB 0.47 5/30/2024-21	3130ALPA6	12,000,000.00	0.470	12,000,000.00	5/30/2024	0.470	12,000,000.00	0.00	0.00	11,609,352.00	0.00	-390,648.00
Univ. Cincinnati OH - Tax -REV 0.478 6/1/2024	9141193R1	1,000,000.00	0.478	1,000,000.00	6/1/2024	0.478	1,000,000.00	0.00	0.00	965,830.00	1,580.06	-34,170.00
FHLB 0.375 6/10/2024-22	3130AMMS8	10,000,000.00	0.375	10,000,000.00	6/10/2024	0.375	10,000,000.00	0.00	0.00	9,655,560.00	11,458.33	-344,440.00
City of Yuma AZ - Rev 0.704 7/15/2024	98851WAD5	1,500,000.00	0.704	1,500,000.00	7/15/2024	0.704	1,500,000.00	0.00	0.00	1,444,125.00	2,200.00	-55,875.00
FNMA 0.41 8/12/2024-22	3136GH22	10,000,000.00	0.410	9,995,000.00	8/12/2024	0.423	9,995,000.00	0.00	0.00	9,574,020.00	5,466.67	-420,980.00
FHLB 0.25 8/16/2024-22	3130AKY34	10,000,000.00	0.250	10,000,000.00	8/16/2024	0.250	10,000,000.00	0.00	0.00	9,553,940.00	3,055.56	-446,060.00
FHLB 1.2 8/16/2024-22	3130AQQW6	10,000,000.00	1.200	10,000,000.00	8/16/2024	1.200	10,000,000.00	0.00	0.00	9,633,620.00	14,666.67	-366,380.00
FHLB 0.3 8/26/2024-21	3130ALB45	10,000,000.00	0.300	10,000,000.00	8/26/2024	0.300	10,000,000.00	0.00	0.00	9,544,200.00	2,833.33	-455,800.00
City of Hampton VA - GO 0.7 9/1/2024	4095588J5	1,150,000.00	0.700	1,157,199.00	9/1/2024	0.531	1,157,199.00	0.00	0.00	1,100,998.50	648.47	-56,200.50
Penn State Univ Rev 1.68 9/1/2024-20	709235N68	1,240,000.00	1.680	1,253,057.20	9/1/2024	1.269	1,253,057.20	0.00	0.00	1,198,224.40	1,678.13	-54,832.80
FHLB 0.375 9/3/2024-21	3130ALH49	10,000,000.00	0.375	10,000,000.00	9/3/2024	0.375	10,000,000.00	0.00	0.00	9,541,150.00	2,812.50	-458,850.00
TX TERM 5.89 9/9/2024	TXTERMCP90924	0.00	5.890	0.00	9/9/2024	5.890	10,000,000.00	10,000,000.00	0.00	10,000,000.00	24,139.34	0.00
FHLMC 0.44 9/24/2024-21	3134GWSR8	10,000,000.00	0.440	10,000,000.00	9/24/2024	0.440	10,000,000.00	0.00	0.00	9,520,400.00	733.33	-479,600.00
FFCB 0.43 10/15/2024-21	3133EMCY6	10,000,000.00	0.430	10,000,000.00	10/15/2024	0.430	10,000,000.00	0.00	0.00	9,488,860.00	19,708.33	-511,140.00
DFW Airport - REV 2.039 11/1/2024	2350364K3	3,645,000.00	2.039	3,616,168.05	11/1/2024	2.353	3,616,168.05	0.00	0.00	3,508,749.90	30,760.86	-107,418.15
FNMA 0.42 11/18/2024-22	3135GA3E9	10,000,000.00	0.420	10,000,000.00	11/18/2024	0.420	10,000,000.00	0.00	0.00	9,455,360.00	15,400.00	-544,640.00
FHLB 1.5 11/25/2024-22	3130AQR55	7,660,000.00	1.500	7,660,000.00	11/25/2024	1.500	7,660,000.00	0.00	0.00	7,326,744.04	11,170.83	-333,255.96
FHLB 0.58 12/13/2024-21	3130ALTM6	5,000,000.00	0.580	5,000,000.00	12/13/2024	0.580	5,000,000.00	0.00	0.00	4,722,070.00	13,452.78	-277,930.00
FHLB 0.58 12/13/2024-21	3130ALU69	5,000,000.00	0.580	5,000,000.00	12/13/2024	0.580	5,000,000.00	0.00	0.00	4,722,070.00	13,452.78	-277,930.00
FAMC 4.54 1/6/2025	31422XU26	10,000,000.00	4.540	10,000,000.00	1/6/2025	4.540	10,000,000.00	0.00	0.00	9,886,700.00	105,933.33	-113,300.00
FNMA 4.75 1/30/2025-24	3130AJMW1	10,000,000.00	4.750	10,000,000.00	1/30/2025	4.750	10,000,000.00	0.00	0.00	9,909,540.00	79,166.67	-90,460.00
NYC Tran Fin Tax REV 0.66 2/1/2025	64971XWU8	5,000,000.00	0.660	5,000,000.00	2/1/2025	0.660	5,000,000.00	0.00	0.00	4,687,600.00	5,408.33	-312,400.00
Shakopee, MN ISD GO 0.609 2/1/2025	819190WT2	1,000,000.00	0.609	1,000,000.00	2/1/2025	0.609	1,000,000.00	0.00	0.00	935,070.00	998.08	-64,930.00
FAMC 1.49 2/7/2025-23	31422XTU6	8,700,000.00	1.490	8,700,000.00	2/7/2025	1.490	8,700,000.00	0.00	0.00	8,254,690.50	19,084.42	-445,309.50
Clear Creek TX ISD 3.65 2/15/2025	1845403U4	4,500,000.00	3.650	4,856,355.00	2/15/2025	1.070	4,856,355.00	0.00	0.00	4,393,395.00	20,531.25	-462,960.00
FHLB 0.375 2/25/2025-21	3130AL5E0	10,000,000.00	0.375	10,000,000.00	2/25/2025	0.375	10,000,000.00	0.00	0.00	9,325,060.00	3,645.83	-674,940.00
FHLB Step 2/28/2025-23	3130AQVJ9	4,150,000.00	2.300	4,134,437.50	2/28/2025	2.047	4,134,437.50	0.00	0.00	3,979,879.05	8,484.44	-154,558.45
FHLB 1.74 3/7/2025-23	3130AQXK4	10,000,000.00	1.740	10,000,000.00	3/7/2025	1.740	10,000,000.00	0.00	0.00	9,507,580.00	11,116.67	-492,420.00
FFCB 0.7 3/17/2025-22	3133EMTQ5	10,000,000.00	0.700	10,000,000.00	3/17/2025	0.700	10,000,000.00	0.00	0.00	9,343,960.00	2,527.78	-656,040.00
FFCB 0.71 5/6/2025-22	3133EMYN6	10,000,000.00	0.710	10,000,000.00	5/6/2025	0.710	10,000,000.00	0.00	0.00	9,291,250.00	28,400.00	-708,750.00
Texas A&M Univ - REV 2.686 5/15/2025	88213AKC8	1,740,000.00	2.686	1,734,014.40	5/15/2025	2.803	1,734,014.40	0.00	0.00	1,667,442.00	17,526.15	-66,572.40
Mayes Cnty OK ISD4.95 6/1/2025	578060ER2	5,000,000.00	4.950	5,027,400.00	6/1/2025	4.635	5,027,400.00	0.00	0.00	4,935,100.00	105,187.50	-92,300.00
Tulsa OK ISD - GO - 25 4.5 6/1/2025	899616HL3	1,000,000.00	4.500	1,000,000.00	6/1/2025	4.500	1,000,000.00	0.00	0.00	983,700.00	20,000.00	-16,300.00
FHLB 2 8/28/2025-23	3130AR2R1	10,000,000.00	2.000	10,000,000.00	8/28/2025	2.000	10,000,000.00	0.00	0.00	9,435,940.00	17,777.78	-564,060.00
State of Mississippi - GO 1.122 10/1/2025	605581QK6	3,000,000.00	1.122	3,000,000.00	10/1/2025	1.122	3,000,000.00	0.00	0.00	2,767,560.00	16,736.50	-232,440.00
City of Lafayette LA Utility - Rev 2 11/1/2025	506498A95	5,715,000.00	2.000	5,870,448.00	11/1/2025	1.292	5,870,448.00	0.00	0.00	5,303,977.20	47,307.50	-566,470.80
FHLB 1.6 11/4/2025-22	3130AQP64	10,000,000.00	1.600	10,000,000.00	11/4/2025	1.600	10,000,000.00	0.00	0.00	9,290,840.00	64,888.89	-709,160.00
FHLB 1.6 11/28/2025-23	3130AQU76	10,000,000.00	1.600	10,000,000.00	11/28/2025	1.600	10,000,000.00	0.00	0.00	9,301,100.00	54,222.22	-698,900.00
FHLB 5 1/26/2026-24	3130AUGB4	10,000,000.00	5.000	10,000,000.00	1/26/2026	5.000	10,000,000.00	0.00	0.00	9,909,050.00	88,888.89	-90,950.00
FHLMC 5.15 1/27/2026-23	3134GYEK4	10,000,000.00	5.150	9,997,500.00	1/27/2026	5.159	9,997,500.00	0.00	0.00	9,927,570.00	90,125.00	-69,930.00
FHLMC 5.4 2/23/2026-24	3134GYKA9	10,000,000.00	5.400	10,000,000.00	2/23/2026	5.400	10,000,000.00	0.00	0.00	9,951,740.00	55,500.00	-48,260.00
FFCB 5.59 3/13/2026-24	3133EPCN3	10,000,000.00	5.590	10,000,000.00	3/13/2026	5.590	10,000,000.00	0.00	0.00	9,968,320.00	26,397.22	-31,680.00
FHLB 5.02 5/22/2026-24	3130AW5V8	10,000,000.00	5.020	10,000,000.00	5/22/2026	5.020	10,000,000.00	0.00	0.00	9,903,730.00	178,488.89	-96,270.00
Tulsa OK ISD - GO - 26 4.35 6/1/2026	899616HM1	5,000,000.00	4.350	5,000,000.00	6/1/2026	4.350	5,000,000.00	0.00	0.00	4,878,300.00	96,666.67	-121,700.00
FHLB 5.3 6/10/2026-24	3130AV3R1	15,000,000.00	5.300	15,000,000.00	6/10/2026	5.300	15,000,000.00	0.00	0.00	14,901,825.00	242,916.67	-98,175.00
State of Hawaii - GO 0.893 8/1/2026	41972YR1	3,000,000.00	0.893	2,812,170.00	8/1/2026	2.410	2,812,170.00	0.00	0.00	2,664,960.00	4,390.58	-147,210.00
FHLB 4.56 6/2/2027-25	3130AW6R6	10,000,000.00	4.560	10,000,000.00	6/2/2027	4.560	10,000,000.00	0.00	0.00	9,765,090.00	149,466.67	-234,910.00
<b>Healthcare Operating</b>		<b>413,150,000.00</b>		<b>413,411,503.95</b>		<b>2.336</b>	<b>391,840,689.15</b>	<b>10,000,000.00</b>	<b>31,650,000.00</b>	<b>377,791,677.59</b>	<b>2,448,171.10</b>	<b>-14,049,011.56</b>

**Central Health**  
**FY 2023 Report - Matured, Sold and Called Investments**  
**10/01/2022 to 09/30/2023**

Portfolio Name	Description	CUSIP/Ticker	Face Amount/Shares	Principal	Settlement Date	Maturity Date	Coupon Rate
<b>Matured</b>							
Healthcare Operating	WA DC INC TAX - Rev 0.451 12/1/2022	25477GTJ4	4,925,000.00	4,925,000.00	12/1/2022	12/1/2022	0.451
Healthcare Operating	Alpine Utah School District GO 1 3/15/2023	021087YD7	3,840,000.00	3,840,000.00	3/15/2023	3/15/2023	1.000
Healthcare Operating	Commonwealth of VA- GO 1 6/1/2023	9281094A2	5,000,000.00	5,000,000.00	6/1/2023	6/1/2023	1.000
Healthcare Operating	Multnomah CNTY OR - GO 0.35 6/15/2023	625506QL7	5,400,000.00	5,400,000.00	6/15/2023	6/15/2023	0.350
Healthcare Operating	Upper Occoquan VA - REV 0.53 7/1/2023	916277PP5	3,110,000.00	3,110,000.00	7/1/2023	7/1/2023	0.530
Healthcare Operating	Port Auth of NY & NJ - REV 1.086 7/1/2023	73358W4V3	5,000,000.00	5,000,000.00	7/1/2023	7/1/2023	1.086
Healthcare Operating	Port Auth of NY & NJ - REV 1.086 7/1/2023	73358W4V3	5,000,000.00	5,000,000.00	7/1/2023	7/1/2023	1.086
Healthcare Operating	San Diego Calif Pub Facs Water REV 1.327 8/1/2023-	79730CJG0	1,040,000.00	1,040,000.00	8/1/2023	8/1/2023	1.327
Healthcare Operating	Texas Term 5.07 8/3/2023	TXTERMCP80323	10,000,000.00	10,000,000.00	8/3/2023	8/3/2023	5.070
Healthcare Operating	FHLMC 0.27 8/23/2023-21	3134GXCY8	7,500,000.00	7,500,000.00	8/23/2023	8/23/2023	0.270
<b>Sub Total / Average</b>	<b>Matured</b>		<b>50,815,000.00</b>	<b>50,815,000.00</b>			<b>1.217</b>

**Central Health  
FY 2023 - Purchases  
10/01/2022 to 09/30/2023**

Description	CUSIP/Ticker	Broker/ Dealer	Coupon Rate	Face Amount/Shares	Principal	Interest/ Dividends	Settlement Date	Maturity Date	YTM @ Cost
<b>Healthcare Operating</b>									
Texas Term 5.07 8/3/2023	TXTERMCP80323	TexasTERM	5.070	10,000,000.00	10,000,000.00	0.00	1/6/2023	8/3/2023	5.070
FAMC 4.54 1/6/2025	31422XU26	Stifel	4.540	10,000,000.00	10,000,000.00	0.00	1/6/2023	1/6/2025	4.540
TexasTERM 5.07 10/19/2023	TXTERMCP101923	TexasTERM	5.070	10,000,000.00	10,000,000.00	0.00	1/20/2023	10/19/2023	5.070
FHLB 5 1/26/2026-24	3130AUGB4	Bancroft	5.000	10,000,000.00	10,000,000.00	0.00	1/26/2023	1/26/2026	5.000
FHLMC 5.15 1/27/2026-23	3134GYEK4	Daiwa	5.150	10,000,000.00	9,997,500.00	0.00	1/27/2023	1/27/2026	5.159
FNMA 4.75 1/30/2025-24	3130AUMW1	Stifel	4.750	10,000,000.00	10,000,000.00	0.00	1/30/2023	1/30/2025	4.750
Texas TERM 5.17 11/8/2023	TXTERMCP110823	TexasTERM	5.170	10,000,000.00	10,000,000.00	0.00	2/8/2023	11/8/2023	5.170
FHLMC 5.4 2/23/2026-24	3134GYKA9	Daiwa	5.400	10,000,000.00	10,000,000.00	0.00	2/23/2023	2/23/2026	5.400
FHLB 5.3 6/10/2026-24	3130AV3R1	RBC	5.300	15,000,000.00	15,000,000.00	0.00	3/10/2023	6/10/2026	5.300
FFCB 5.59 3/13/2026-24	3133EPCN3	RBC	5.590	10,000,000.00	10,000,000.00	0.00	3/13/2023	3/13/2026	5.590
FHLB 5.02 5/22/2026-24	3130AW5V8	Wells Fargo	5.020	10,000,000.00	10,000,000.00	0.00	5/22/2023	5/22/2026	5.020
FHLB 4.56 6/2/2027-25	3130AW6R6	RBC	4.560	10,000,000.00	10,000,000.00	0.00	6/2/2023	6/2/2027	4.560
Tulsa OK ISD - GO - 25 4.5 6/1/2025	899616HL3	Stifel	4.500	1,000,000.00	1,000,000.00	875.00	6/8/2023	6/1/2025	4.500
Tulsa OK ISD - GO - 26 4.35 6/1/2026	899616HM1	Stifel	4.350	5,000,000.00	5,000,000.00	4,229.17	6/8/2023	6/1/2026	4.350
Mayes Cnty OK ISD4.95 6/1/2025	578060ER2	MultiBank	4.950	5,000,000.00	5,027,400.00	13,750.00	6/21/2023	6/1/2025	4.635
TX TERM 5.89 9/9/2024	TXTERMCP90924	TexasTERM	5.890	10,000,000.00	10,000,000.00	0.00	9/15/2023	9/9/2024	5.890
<b>Total Purchases - FY 2023</b>			<b>5.019</b>	<b>146,000,000.00</b>	<b>146,024,900.00</b>	<b>18,854.17</b>			<b>5.000</b>

**Central Health**  
**FY 2023 Summary**  
**9/30/2022 to 9/30/2023**

Description	CUSIP/Ticker	Beginning Face Amount/Shares	Coupon Rate	Beginning Cost Value	Maturity Date	Ending YTM @ Cost	Ending Cost Value	Total Buys	Total Sells	Ending MV	Ending Market Accrued Interest	Unrealized Gain/Loss-CV
<b>Healthcare Operating</b>												
City of Dallas Waterworks Rev 1.868 10/1/2022	23542JBH7	5,000,000.00	1.868	5,154,300.00	10/1/2022		0.00	0.00	5,000,000.00	0.00	0.00	0.00
WA DC INC TAX - Rev 0.451 12/1/2022	25477GTJ4	4,925,000.00	0.451	4,944,355.25	12/1/2022		0.00	0.00	4,925,000.00	0.00	0.00	0.00
Alpine Utah School District GO 1 3/15/2023	021087YD7	3,840,000.00	1.000	3,864,844.80	3/15/2023		0.00	0.00	3,840,000.00	0.00	0.00	0.00
Commonwealth of VA- GO 1 6/1/2023	9281094A2	5,000,000.00	1.000	5,089,600.00	6/1/2023		0.00	0.00	5,000,000.00	0.00	0.00	0.00
Multnomah CNTY OR - GO 0.35 6/15/2023	625506QL7	5,400,000.00	0.350	5,415,174.00	6/15/2023		0.00	0.00	5,400,000.00	0.00	0.00	0.00
Port Auth of NY & NJ - REV 1.086 7/1/2023	73358W4V3	10,000,000.00	1.086	9,893,650.00	7/1/2023		0.00	0.00	10,000,000.00	0.00	0.00	0.00
Upper Occoquan VA - REV 0.53 7/1/2023	916277PP5	3,110,000.00	0.530	3,110,000.00	7/1/2023		0.00	0.00	3,110,000.00	0.00	0.00	0.00
San Diego Calif Pub Facs Water REV 1.327 8/1/2023-	79730CJG0	1,040,000.00	1.327	1,067,164.80	8/1/2023		0.00	0.00	1,040,000.00	0.00	0.00	0.00
Texas Term 5.07 8/3/2023	TXTERMCP80323	0.00	5.070	0.00	8/3/2023		0.00	10,000,000.00	10,000,000.00	0.00	0.00	0.00
FHLMC 0.27 8/23/2023-21	3134GXCY8	7,500,000.00	0.270	7,500,000.00	8/23/2023		0.00	0.00	7,500,000.00	0.00	0.00	0.00
TexasTERM 5.07 10/19/2023	TXTERMCP101923	0.00	5.070	0.00	10/19/2023	5.070	10,000,000.00	10,000,000.00	0.00	10,000,000.00	351,427.40	0.00
Texas TERM 5.17 11/8/2023	TXTERMCP110823	0.00	5.170	0.00	11/8/2023	5.170	10,000,000.00	10,000,000.00	0.00	10,000,000.00	331,446.58	0.00
FNMA 0.25 11/27/2023	3135G06H1	10,000,000.00	0.250	9,988,600.00	11/27/2023	0.288	9,988,600.00	0.00	0.00	9,922,350.00	8,541.67	-66,250.00
Texas Tech University 2.939 2/15/2024	882806FP2	500,000.00	2.939	525,840.00	2/15/2024	1.524	525,840.00	0.00	0.00	495,215.00	1,836.88	-30,625.00
FHLMC 0.375 4/8/2024-21	3134GWYD2	10,000,000.00	0.375	10,012,500.00	4/8/2024	0.335	10,012,500.00	0.00	0.00	9,737,870.00	17,916.67	-274,630.00
FHLB 0.47 5/30/2024-21	3130ALPA6	12,000,000.00	0.470	12,000,000.00	5/30/2024	0.470	12,000,000.00	0.00	0.00	11,609,352.00	0.00	-390,648.00
Univ. Cincinnati OH - Tax -REV 0.478 6/1/2024	9141193R1	1,000,000.00	0.478	1,000,000.00	6/1/2024	0.478	1,000,000.00	0.00	0.00	965,830.00	1,580.06	-34,170.00
FHLB 0.375 6/10/2024-22	3130AMMS8	10,000,000.00	0.375	10,000,000.00	6/10/2024	0.375	10,000,000.00	0.00	0.00	9,655,560.00	11,458.33	-344,440.00
City of Yuma AZ - Rev 0.704 7/15/2024	98851WAD5	1,500,000.00	0.704	1,500,000.00	7/15/2024	0.704	1,500,000.00	0.00	0.00	1,444,125.00	2,200.00	-55,875.00
FNMA 0.41 8/12/2024-22	3136GAH22	10,000,000.00	0.410	9,995,000.00	8/12/2024	0.423	9,995,000.00	0.00	0.00	9,574,020.00	5,466.67	-420,980.00
FHLB 0.25 8/16/2024-22	3130AKY34	10,000,000.00	0.250	10,000,000.00	8/16/2024	0.250	10,000,000.00	0.00	0.00	9,553,940.00	3,055.56	-446,060.00
FHLB 1.2 8/16/2024-22	3130AQQW6	10,000,000.00	1.200	10,000,000.00	8/16/2024	1.200	10,000,000.00	0.00	0.00	9,633,620.00	14,666.67	-366,380.00
FHLB 0.3 8/26/2024-21	3130ALB45	10,000,000.00	0.300	10,000,000.00	8/26/2024	0.300	10,000,000.00	0.00	0.00	9,544,200.00	2,833.33	-455,800.00
City of Hampton VA - GO 0.7 9/1/2024	4095588J5	1,150,000.00	0.700	1,157,199.00	9/1/2024	0.531	1,157,199.00	0.00	0.00	1,100,998.50	648.47	-56,200.50
Penn State Univ Rev 1.68 9/1/2024-20	709235N68	1,240,000.00	1.680	1,253,057.20	9/1/2024	1.269	1,253,057.20	0.00	0.00	1,198,224.40	1,678.13	-54,832.80
FHLB 0.375 9/3/2024-21	3130ALH49	10,000,000.00	0.375	10,000,000.00	9/3/2024	0.375	10,000,000.00	0.00	0.00	9,541,150.00	2,812.50	-458,850.00
TX TERM 5.89 9/9/2024	TXTERMCP90924	0.00	5.890	0.00	9/9/2024	5.890	10,000,000.00	10,000,000.00	0.00	10,000,000.00	24,139.34	0.00
FHLMC 0.44 9/24/2024-21	3134GWSR8	10,000,000.00	0.440	10,000,000.00	9/24/2024	0.440	10,000,000.00	0.00	0.00	9,520,400.00	733.33	-479,600.00
FFCB 0.43 10/15/2024-21	3133EMCY6	10,000,000.00	0.430	10,000,000.00	10/15/2024	0.430	10,000,000.00	0.00	0.00	9,488,860.00	19,708.33	-511,140.00
DFW Airport - REV 2.039 11/1/2024	2350364K3	3,645,000.00	2.039	3,616,168.05	11/1/2024	2.353	3,616,168.05	0.00	0.00	3,508,749.90	30,760.86	-107,418.15
FNMA 0.42 11/18/2024-22	3135GA3E9	10,000,000.00	0.420	10,000,000.00	11/18/2024	0.420	10,000,000.00	0.00	0.00	9,455,360.00	15,400.00	-544,640.00
FHLB 1.5 11/25/2024-22	3130AQRS5	7,660,000.00	1.500	7,660,000.00	11/25/2024	1.500	7,660,000.00	0.00	0.00	7,326,744.04	11,170.83	-333,255.96
FHLB 0.58 12/13/2024-21	3130ALTM6	5,000,000.00	0.580	5,000,000.00	12/13/2024	0.580	5,000,000.00	0.00	0.00	4,722,070.00	13,452.78	-277,930.00
FHLB 0.58 12/13/2024-21	3130ALU69	5,000,000.00	0.580	5,000,000.00	12/13/2024	0.580	5,000,000.00	0.00	0.00	4,722,070.00	13,452.78	-277,930.00
FAMC 4.54 1/6/2025	31422XU26	0.00	4.540	0.00	1/6/2025	4.540	10,000,000.00	10,000,000.00	0.00	9,886,700.00	105,933.33	-113,300.00
FNMA 4.75 1/30/2025-24	3130AUMW1	0.00	4.750	0.00	1/30/2025	4.750	10,000,000.00	10,000,000.00	0.00	9,909,540.00	79,166.67	-90,460.00
NYC Tran Fin Tax REV 0.66 2/1/2025	64971XWU8	5,000,000.00	0.660	5,000,000.00	2/1/2025	0.660	5,000,000.00	0.00	0.00	4,687,600.00	5,408.33	-312,400.00
Shakopee, MN ISD GO 0.609 2/1/2025	819190WT2	1,000,000.00	0.609	1,000,000.00	2/1/2025	0.609	1,000,000.00	0.00	0.00	935,070.00	998.08	-64,930.00
FAMC 1.49 2/7/2025-23	31422XTU6	8,700,000.00	1.490	8,700,000.00	2/7/2025	1.490	8,700,000.00	0.00	0.00	8,254,690.50	19,084.42	-445,309.50
Clear Creek TX ISD 3.65 2/15/2025	1845403U4	4,500,000.00	3.650	4,856,355.00	2/15/2025	1.070	4,856,355.00	0.00	0.00	4,393,395.00	20,531.25	-462,960.00
FHLB 0.375 2/25/2025-21	3130AL5E0	10,000,000.00	0.375	10,000,000.00	2/25/2025	0.375	10,000,000.00	0.00	0.00	9,325,060.00	3,645.83	-674,940.00
FHLB Step 2/28/2025-23	3130AQVJ9	4,150,000.00	1.150	4,134,437.50	2/28/2025	2.047	4,134,437.50	0.00	0.00	3,979,879.05	8,484.44	-154,558.45
FHLB 1.74 3/7/2025-23	3130AQXK4	10,000,000.00	1.740	10,000,000.00	3/7/2025	1.740	10,000,000.00	0.00	0.00	9,507,580.00	11,116.67	-492,420.00
FFCB 0.7 3/17/2025-22	3133EMTQ5	10,000,000.00	0.700	10,000,000.00	3/17/2025	0.700	10,000,000.00	0.00	0.00	9,343,960.00	2,527.78	-656,040.00
FFCB 0.71 5/6/2025-22	3133EMYN6	10,000,000.00	0.710	10,000,000.00	5/6/2025	0.710	10,000,000.00	0.00	0.00	9,291,250.00	28,400.00	-708,750.00
Texas A&M Univ - REV 2.686 5/15/2025	88213AKC8	1,740,000.00	2.686	1,734,014.40	5/15/2025	2.803	1,734,014.40	0.00	0.00	1,667,442.00	17,526.15	-66,572.40
Mayes Cnty OK ISD4.95 6/1/2025	578060ER2	0.00	4.950	0.00	6/1/2025	4.635	5,027,400.00	5,041,150.00	0.00	4,935,100.00	105,187.50	-92,300.00
Tulsa OK ISD - GO - 25 4.5 6/1/2025	899616HL3	0.00	4.500	0.00	6/1/2025	4.500	1,000,000.00	1,000,875.00	0.00	983,700.00	20,000.00	-16,300.00
FHLB 2 8/28/2025-23	3130AR2R1	10,000,000.00	2.000	10,000,000.00	8/28/2025	2.000	10,000,000.00	0.00	0.00	9,435,940.00	17,777.78	-564,060.00
State of Mississippi - GO 1.122 10/1/2025	605581QK6	3,000,000.00	1.122	3,000,000.00	10/1/2025	1.122	3,000,000.00	0.00	0.00	2,767,560.00	16,736.50	-232,440.00
City of Lafayette LA Utility - Rev 2 11/1/2025	506498A95	5,715,000.00	2.000	5,870,448.00	11/1/2025	1.292	5,870,448.00	0.00	0.00	5,303,977.20	47,307.50	-566,470.80
FHLB 1.6 11/4/2025-22	3130AQP64	10,000,000.00	1.600	10,000,000.00	11/4/2025	1.600	10,000,000.00	0.00	0.00	9,290,840.00	64,888.89	-709,160.00

**FY 2023 Summary (continued)**  
**9/30/2022 to 9/30/2023**

Description	CUSIP/Ticker	Beginning Face Amount/Shares	Coupon Rate	Beginning Cost Value	Maturity Date	Ending YTM @ Cost	Ending Cost Value	Total Buys	Total Sells	Ending MV	Ending Market Accrued Interest	Unrealized Gain/Loss-CV
FHLB 1.6 11/28/2025-23	3130AQU76	10,000,000.00	1.600	10,000,000.00	11/28/2025	1.600	10,000,000.00	0.00	0.00	9,301,100.00	54,222.22	-698,900.00
FHLB 5 1/26/2026-24	3130AUGB4	0.00	5.000	0.00	1/26/2026	5.000	10,000,000.00	10,000,000.00	0.00	9,909,050.00	88,888.89	-90,950.00
FHLMC 5.15 1/27/2026-23	3134GYEK4	0.00	5.150	0.00	1/27/2026	5.159	9,997,500.00	9,997,500.00	0.00	9,927,570.00	90,125.00	-69,930.00
FHLMC 5.4 2/23/2026-24	3134GYKA9	0.00	5.400	0.00	2/23/2026	5.400	10,000,000.00	10,000,000.00	0.00	9,951,740.00	55,500.00	-48,260.00
FFCB 5.59 3/13/2026-24	3133EPCN3	0.00	5.590	0.00	3/13/2026	5.590	10,000,000.00	10,000,000.00	0.00	9,968,320.00	26,397.22	-31,680.00
FHLB 5.02 5/22/2026-24	3130AW5V8	0.00	5.020	0.00	5/22/2026	5.020	10,000,000.00	10,000,000.00	0.00	9,903,730.00	178,488.89	-96,270.00
Tulsa OK ISD - GO - 26 4.35 6/1/2026	899616HM1	0.00	4.350	0.00	6/1/2026	4.350	5,000,000.00	5,004,229.17	0.00	4,878,300.00	96,666.67	-121,700.00
FHLB 5.3 6/10/2026-24	3130AV3R1	0.00	5.300	0.00	6/10/2026	5.300	15,000,000.00	15,000,000.00	0.00	14,901,825.00	242,916.67	-98,175.00
State of Hawaii - GO 0.893 8/1/2026	419792YR1	3,000,000.00	0.893	2,812,170.00	8/1/2026	2.410	2,812,170.00	0.00	0.00	2,664,960.00	4,390.58	-147,210.00
FHLB 4.56 6/2/2027-25	3130AW6R6	0.00	4.560	0.00	6/2/2027	4.560	10,000,000.00	10,000,000.00	0.00	9,765,090.00	149,466.67	-234,910.00
<b>Healthcare Operating</b>		<b>301,315,000.00</b>	<b>2.059</b>	<b>301,854,878.00</b>		<b>2.336</b>	<b>391,840,689.15</b>	<b>146,043,754.17</b>	<b>55,815,000.00</b>	<b>377,791,677.59</b>	<b>2,448,171.10</b>	<b>-14,049,011.56</b>



# CENTRAL HEALTH

## **Our Vision**

Central Texas is a model healthy community.

## **Our Mission**

By caring for those who need it most, Central Health improves the health of our community.

## **Our Values**

Central Health will achieve excellence through:

*Stewardship* - We maintain public trust through fiscal discipline and open and transparent communication.

*Innovation* - We create solutions to improve healthcare access.

*Right by All* - By being open, anti-racist, equity-minded, and respectful in discourse, we honor those around us and do right by all people.

*Collaboration* - We partner with others to improve the health of our community.

# **BOARD MEETING**

## **December 13, 2023**

# **AGENDA ITEM C3**

Adopt the Fiscal Year 2024 Central Health Investment Policy as presented by the Travis County Cash/Investment Management Department as recommended by the Budget and Finance Committee.



# CENTRAL HEALTH

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## **BOARD MEETING**

**December 13, 2023**

## **AGENDA ITEM C4**

Approve the Fiscal Year (FY) 2024 Broker/Dealer Applicants for conducting investment business with the Travis County Healthcare District (dba Central Health) as recommended by the Travis County Cash/Investment Management Department as recommended by the Budget and Finance Committee.





# CENTRAL HEALTH

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*Collaboration* - We partner with others to improve the health of our community.

## **BOARD MEETING**

**December 13, 2023**

## **AGENDA ITEM C5**

Approve the Calendar Year 2024 budget for Sendero Health Plans as recommended by the Budget and Finance Committee.



**AGENDA ITEM SUBMISSION FORM**

This form is to provide a general overview of the agenda item in advance of posting for the Board meeting. Proposed motion language is a recommendation only and not final until the meeting and may be changed by the Board Manager making the motion. All information in this form is subject to the Public Information Act.

Agenda Item Meeting Date December 13, 2023

Who will present the agenda item? (Name, Title) Eli Barrenche/Sharon Alvis

General Item Description Approve 2024 Sendero Budget

Is this an informational or action item? Action

Fiscal Impact \_\_\_\_\_

Recommended Motion (if needed – action item) Move to approve the Sendero Budget for Sendero FY 2024.

Key takeaways about agenda item, and/or feedback sought from the Board of Managers:

- 1) Previewed by Central Health Board of Managers in November meeting.
- 2) Approved by Sendero Board.
- 3) Provides budgetary approval to Sendero for the Sendero FY 2024 which begins January 1, 2024.

What backup will be provided, or will this be a verbal update? (Backup is due one week before the meeting.) Backup to be provided at Budget/Finance Committee meeting

Estimated time needed for presentation & questions? 30 minutes

Is closed session recommended? (Consult with attorneys.) Yes

Form Prepared By/Date Submitted: Jeff Knodel 12-8-2023



# CENTRAL HEALTH

## **Our Vision**

Central Texas is a model healthy community.

## **Our Mission**

By caring for those who need it most, Central Health improves the health of our community.

## **Our Values**

Central Health will achieve excellence through:

*Stewardship* - We maintain public trust through fiscal discipline and open and transparent communication.

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## **BOARD MEETING**

**December 13, 2023**

## **REGULAR AGENDA ITEM 1**

Discuss and take appropriate action on the proposed mandatory payment rate to be assessed on institutional healthcare providers during Fiscal Year 2024 under the local healthcare provider participation program (LPPF) in Travis County and how the revenue derived from those payments is to be spent, as required by Texas Health & Safety Code §298E.151. (*Action Item*)



**AGENDA ITEM SUBMISSION FORM**

This form is to provide a general overview of the agenda item in advance of posting for the Board meeting. Proposed motion language is a recommendation only and not final until the meeting and may be changed by the Board Manager making the motion. All information in this form is subject to the Public Information Act.

Agenda Item Meeting Date December 13, 2023

Who will present the agenda item? (Name, Title) Katie Coburn

General Item Description Set the LPPF mandatory payment rate for FY 24

Is this an informational or action item? Action

Fiscal Impact None

Recommended Motion (if needed – action item) Approve the FY 24 LPPF mandatory payment rate at 6.00% of net patient revenue, as recommended by staff

Key takeaways about agenda item, and/or feedback sought from the Board of Managers:

- 1) Staff recommend the board adopt a 6.00% LPPF payment rate for FY 24
- 2) 6.00% is the maximum amount allowable by law.  
Estimated revenue for the LPPF account is \$263 million and covers IGTs during FY 24 for Uncompensated Care pool payments, the Comprehensive Hospital Rate Increase Program (CHIRP), and retroactive and current payments for the Hospital Augmented Reimbursement Program (HARP) and the Graduate Medical Education (GME) program.
- 3)
- 4)
- 5)

What backup will be provided, or will this be a verbal update? (Backup is due one week before the meeting.) Memo, powerpoint slides

Estimated time needed for presentation & questions? Ten minutes

Is closed session recommended? (Consult with attorneys.) no



CENTRAL HEALTH

Form Prepared By/Date  
Submitted:

Katie Coburn, December 7, 2023

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## MEMORANDUM

**To:** Central Health Board of Managers  
**From:** Katie Coburn  
**CC:** Mike Geeslin, President & CEO  
**Date:** December 13, 2023  
**Re:** Setting the Local Provider Participation Fund (LPPF) mandatory payment rate for FY 2024 – ACTION ITEM

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### Summary

Central Health staff request the board take action to set the FY 2024 Local Provider Participation Fund (LPPF) mandatory payment rate at 6.00% of net patient revenue of Travis County inpatient hospitals.

### Background

Under Chapter 298E of the Texas Health & Safety Code, the Central Health Board is authorized to set the mandatory payment rate for hospitals that provide inpatient services in Travis County. Funds generated by the payment must be used to provide intergovernmental transfer (IGT) payments on behalf of nonpublic hospitals to draw down Federal matching funds available in certain Medicaid supplemental payment programs. The Travis LPPF program is not authorized to fund Delivery System Reform Incentive Payments (DSRIP) and Disproportionate Share (DSH) Program payments per its enabling legislation. The LPPF program is a critical financing tool to support hospitals that serve people with low income who have Medicaid or are uninsured.

By state law and federal regulation, the mandatory fee must be uniform and broad based. This is accomplished by assessing the same (uniform) percentage of the net patient revenue of all inpatient hospitals (broad-based) within Central Health's jurisdiction.

The proposed payment rate of 6.00% will generate approximately \$263 million annually. It is intended to provide the local funding portion for the Comprehensive Hospital Increased Reimbursement Program (CHIRP), the Uncompensated Care (UC) Pool, Graduate Medical Education (GME) for private hospitals and the Hospital Augmented Reimbursement Program (HARP).

Statute requires the Board hold a public hearing for the initial rate setting for a fiscal year. The hearing will be held December 13, 2023. Notice of the hearing was published in a local newspaper and emailed directly to LPPF hospitals and other stakeholders five days before the

date of the hearing, as required by law.

Central Health staff have evaluated the rate and recommend the board adopt the proposed amended rate of 6.00%.

**Action Requested**

Central Health staff request the board take action to set the FY 2023 Local Provider Participation Fund (LPPF) mandatory payment rate at 6.00% of net patient revenue of Travis County inpatient hospitals.



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## **BOARD MEETING**

**December 13, 2023**

## **REGULAR AGENDA ITEM 2**

Discuss and take appropriate action on a resolution honoring Dr. Charles Bell for his service as Chair of the Central Health Board. (*Action Item*)





# CENTRAL HEALTH

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## **BOARD MEETING**

**December 13, 2023**

## **REGULAR AGENDA ITEM 3**

Discuss and take appropriate action on a resolution honoring Mike Geeslin for his service as President & CEO of Central Health. (*Action Item*)



# CENTRAL HEALTH

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## **BOARD MEETING**

**December 13, 2023**

## **REGULAR AGENDA ITEM 4**

Approve the candidate recommendations made by the ad hoc Board Officer Nominations Committee for the following officer positions and elect the recommended candidates for calendar years 2024-25:

- a. Chairperson - Ann Kitchen;
- b. Vice-Chairperson - Cynthia Brinson; and
- c. Treasurer - Maram Museitif. (*Action Item*)



AGENDA ITEM SUBMISSION FORM

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Agenda Item Meeting Date December 13, 2023

Who will present the agenda item? (Name, Title) Chair Bell

- 1. Approve the candidate recommendations made by the ad hoc Board Officer Nominations Committee for the following officer positions and elect the recommended candidates for calendar years 2024-25:
a. Chairperson - Ann Kitchen;
b. Vice-Chairperson - Cynthia Brinson; and
c. Treasurer - Maram Museitif.
2. Approve the recommendation made by the ad hoc Board Officer Nominations Committee regarding the Board Secretary position and appoint Manuel Martin as Board Secretary for calendar years 2024-25.

General Item Description

Is this an informational or action item? Action Item

Fiscal Impact N/A

Recommended Motion (if needed – action item) Approve recommendations made by the ad hoc Board Officer Nominations Committee.

Key takeaways about agenda item, and/or feedback sought from the Board of Managers:

- 1) The Chair appointed a Nominations Ad Hoc Committee for the purposes of accepting nominations and/or making recommendations on officer candidates.
2) The Nominations Ad Hoc Committee recommended one candidate for each position. Managers may nominate others or self-nominate.
3) Elected officers include Chair, Vice-Chair, and Treasurer. The Board as a whole appoints the Secretary.

What backup will be provided, or will this be a verbal update? (Backup is due one week before the meeting.) Verbal update

Estimated time needed for presentation & questions? 30 minutes

Is closed session recommended? (Consult with attorneys.) N/A



CENTRAL HEALTH

Form Prepared By/Date  
Submitted:

Briana Yanes, 11/27/23

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# CENTRAL HEALTH

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## **BOARD MEETING**

**December 13, 2023**

## **REGULAR AGENDA ITEM 5**

Approve the recommendation made by the ad hoc Board Officer Nominations Committee regarding the Board Secretary position and appoint Manuel Martin as Board Secretary for calendar years 2024-25. (*Action Item*)



# CENTRAL HEALTH

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## **BOARD MEETING**

**December 13, 2023**

## **REGULAR AGENDA ITEM 6**

Discuss and take appropriate action on updates to Procurement Policies. (*Action Item*)



AGENDA ITEM SUBMISSION FORM

This form is to provide a general overview of the agenda item in advance of posting for the Board meeting. Proposed motion language is a recommendation only and not final until the meeting and may be changed by the Board Manager making the motion. All information in this form is subject to the Public Information Act.

Agenda Item Meeting Date December 13, 2023

Who will present the agenda item? (Name, Title) Jeff Knodel, CFO  
Jonathan Morgan, COO

General Item Description Discuss and take appropriate action on updates to Procurement Policies.

Is this an informational or action item? Action item

Fiscal Impact N/A

Recommended Motion (if needed – action item) Approve the revisions to the General Procurement Policy (PUR1-001) and the Delegation of Purchasing Activity Policy (PUR1-005) as presented by staff.

Key takeaways about agenda item, and/or feedback sought from the Board of Managers:

- As Central Health grows and expands operating direct patient care, adjustments to purchasing policies and delegations of authority are recommended to mitigate risks to patient care and related operations.
- 1) Proposed edits to the General Procurement Policy (PUR1-001) include:
- Adding a definition of the CEO that further clarifies that the included duties and authority outlined within the policy also apply to individuals serving in an interim or acting CEO capacity.
  - Expands exemptions from competitive bidding process to include goods that will negatively impact patient care.
- 2) • Adds “Related Organizations” as an option to directly access contracts.
- Proposed edits to the Delegation of Purchasing Duties to Purchasing Authority and Certain Officers (PUR1-005):
- adding a definition of the CEO that further clarifies that the included duties and authority outlined within the policy also apply to individuals serving in an interim or acting CEO capacity.
  - increasing the CEO’s authority to award contracts for goods or services not contemplated in the budget to \$500,000 from \$100,000.
  - creating a reporting requirement to the Budget & Finance Committee for contracts awarded greater than \$250,000 that were not contemplated in the budget.
  - increases the delegation of authority for executing contracts and making purchases to certain officers: COO - \$200,000 from \$100,000; CFO and CIO -\$100,000 from \$50,000
  - creating a two-officer approval requirement for contracts and purchases made under delegated authority to those officers.
- 3) \_\_\_\_\_



What backup will be provided, or will this be a verbal update? (Backup is due one week before the meeting.)

Draft updated policies

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Estimated time needed for presentation & questions?

30 minutes

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Is closed session recommended? (Consult with attorneys.)

No

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# PURCHASING POLICY UPDATES

December 13, 2023



CENTRAL HEALTH

## RECOMMENDED UPDATES TO PURCHASING POLICIES



CENTRAL HEALTH

As Central Health continues expanding direct patient care, staff are recommending adjustments to purchasing policies and delegations of authority.

Recommended changes are intended to provide mechanisms for certain Central Health officers to mitigate risks related to interruptions in patient care and related operations due to unforeseen circumstances.

Recommended changes include:

- aligning with enterprise partners for procurement of systems, vendors and software
- increasing delegation authority to the CEO and certain officers for contracts and purchases not contemplated in the annual budget
- Creating a reporting requirement for such purchases and contracts above a certain amount

# PROPOSED AMENDMENTS TO PUR1-001



CENTRAL HEALTH

Policy	Requested Modification
PUR1-001 General Procurement Policy	<p>Defines Related Organizations to Central Health as Component Units</p> <p>Defines CEO to include acting or interim</p> <p>Updates definitions of:</p> <ul style="list-style-type: none"><li>- partnerships from affiliates to Component Units</li><li>- updates HUB definition with other related Procurement Policies</li></ul> <p>Adds "goods" as purchases exempt from competitive bidding process that will negatively impact patient care</p>

**PROPOSED AMENDMENTS  
TO PUR1-005  
DELEGATION OF  
PURCHASING DUTIES**



CENTRAL HEALTH

Policy	Requested Modification
<p>PUR1-005 Delegation of Purchasing Duties</p>	<p>Adds definition of CEO, including acting or interim</p> <p>Increases CEO authorization of administrative authority from \$100,000 to \$500,000</p> <p>Increases contract execution authority of COO from \$100,000 to \$200,000</p> <p>Increases contract execution authority of CFO and CIO from \$50,000 to \$100,000</p> <p>Requires two delegated officers to approve contract execution</p> <p>Requires reporting to Budget &amp; Finance committee of contracts executed greater than \$250,000 authorized by the CEO</p>

## PUR1-001 General Procurement Policy

<b>Policy Title:</b> General Procurement Policy
<b>Policy #:</b> PUR1-001
<b>Effective Date:</b> <del>November 9, 2022,</del>
<b>Revision Dates:</b> May 28, 2009, February 28, 2008, January 25, 2007
<b>Board Last Approval Date:</b> <u>March 25, 2020,</u>
<b>Policy Owner:</b> <del>Lisa Owens,</del> Deputy CFO
<b>Executive Sponsor:</b> <del>Jeff Knodel,</del> CFO
<b>Attachments:</b> PUR1-001 General Purchasing Policy Standard Operating Procedures

### I. PURPOSE

As a public institution, Central Health is held to a high degree of public scrutiny and accountability for its business practices. This policy establishes a standard approach to Procurement that ensures Central Health funds are used responsibly and in a manner consistent with the organization's mission, applicable laws, and ethical practices.

### II. SCOPE

This policy applies to all Central Health employees (including employees assigned to enterprise affiliates) when Central Health funds will be used to purchase goods and services. Detailed procedures for implementing this policy and applicable forms are found in the standard operating procedures (SOP #PUR1-001P) for purchasing and are available from the Procurement Authority.

### III. DEFINITIONS

Related Organizations: Organizations which are closely related to Central Health through some level of control, and which are presented as component units in Central Health's audited financial statements under Governmental Accounting standards Board (GASB) Statement No. 61.

Chief Executive Officer (CEO): The ~~individual designated~~ CEO appointed by the Central Health Board of Managers and ~~including any individual(s) performing in an acting CEO or interim CEO role, whether appointed by statute, designated by the Central Health Bylaws, or delegated by the CEO.~~

Competitive Bidding: A solicitation method that allows available vendors to compete with each other to provide goods or services to Central Health. The responses to a competitive bid are sealed until opened by the organization at the established date and time and as defined by the solicitation method.

Historically Underutilized Business (HUB): A business formed for the purpose of making a profit, with its principal place of business in Texas, and that is either organized as: (A) a corporation in which at least 51 percent or more of all classes of the shares, stocks, or other equitable securities are owned by one or more economically disadvantaged persons who actively

participate in the corporation's control, operation, and management; (B) a sole proprietorship that is completely owned, operated, and controlled by an economically disadvantaged person; (C) a partnership in which 51% or more of the assets and interest in the partnership are owned by one or more economically disadvantaged persons who have a proportionate interest and who actively participate in the partnership's control, operation, and management;(D) a joint venture in which each entity in the venture is a historically underutilized business; or (E) a supplier contract between a historically underutilized business and a prime business is directly involved in the manufacture or distribution of the goods or otherwise warehouses and ships the goods.

Procurement: The full continuum of the purchasing cycle that may begin with informal or formal solicitation of goods and services and result in an authorization for payment or execution of a purchase order or contract with Central Health.

Professional Services: Those services explicitly identified in the Professional Services Procurement Act, including those services within the scope of the practice of accounting, architecture, interior design, landscape architecture, land surveying, medicine, optometry, professional engineering, real estate appraising, ~~or~~ professional nursing, or forensic science.

Procurement Authority: The individuals designated by the President & CEO with the responsibility for purchasing and overseeing the Central Health Procurement processes.

Request for Proposal ("RFP"): A solicitation method that allows the organization to request a proposal or solution to a specific need from vendors, when factors other than price are to be considered and which allows for negotiations after a proposal has been received and before award of any contract.

Request for Qualifications ("RFQ"): A solicitation method that allows the organization to request details about the qualifications of professionals whose services must be obtained in compliance with the Professional Services Procurement Act.

#### IV. POLICY

It is the policy of Central Health that its Procurement processes maximize the value of public funds and serve the best interests of Central Health, its ~~affiliated organization~~Related Organization(s), and their constituents or customers while providing an open and fair process for responsible and qualified vendors.

The following Procurement principles are essential to achieving an open and fair process:

- Integrity and commitment to the highest ethical standards of the purchasing profession when dealing with internal and external customers.
- Free access to Procurement opportunities and information.

- Equal opportunity in contract awards to all businesses, including HUBs. The Central Health Board of Managers seeks to ensure that a good faith effort is made to assist certified HUB vendors and contractors in its solicitation of proposals for contracts and subcontracts and as defined in the Procurement procedures, that narrowly tailored race- and gender-based goals be established for specific contract solicitations that provide the most opportunities within identified eligible services or purchased goods categories.
- Cooperative purchasing through established local, state, and national purchasing cooperatives or interlocal cooperation contracts with other governmental entities and Related Organization(s) provide organizational efficiency if, in the opinion of the Procurement Authority, these cooperative purchasing organizations or contracts provide the best value to the organization.

The following guiding principles will help maximize value to the organization:

- Quality, innovation, and superior service and delivery from vendors.
- Effective partnerships among Central Health and its ~~affiliated organization~~ Related Organization(s) and alignment with population served, organizational values, and mission. This alignment is the foundation for planning, implementing, and managing the most beneficial contracts to Central Health.
- Agility in developing and providing successful Procurement solutions to meet Central Health's business needs.

**Prohibited Procurement Processes:**

Procurement processes designed to avoid formal solicitation, such as component purchases (purchasing in separate pieces rather than purchasing as a whole) and sequential purchases (purchases made over a period of time that would normally have been made as one purchase) are explicitly prohibited.

**Procurement Thresholds and Methods**

The dollar amount and type of desired purchase will influence the procurement method chosen; however, formal solicitation methods (e.g., Competitive Bidding, RFPs, or RFQs) may be utilized regardless of purchase amount. In general, purchases in the amounts listed below will be accomplished as follows:

- **Less than \$10,000:** Procurement may be completed without informal or formal solicitation with requisite approval and payment methods, for example, through a purchase order or use of a purchasing card.
- **Between \$10,000 and \$49,999:** Procurement may be completed through informal solicitation, which requires Central Health staff to request at least three quotes from vendors. Attempts will be made to obtain a quote from HUB vendors whenever practical. The Procurement Authority will issue a purchase order or contract as authorized by policy.

- **\$50,000 or More:** Except for construction and Professional Services that specify a different process, Procurement may be accomplished through a formal solicitation method, such as Competitive Bidding, an RFP, or RFQ. A reverse auction is also permitted for certain types of purchases.

Central Health will also extensively use cooperative purchasing through established local, state, and national purchasing cooperatives and "piggyback" on existing contracts with other governmental entities [and Related Organizations](#) when these methods provide savings in staff time and costs and are determined by the Procurement Authority to provide the best value to the organization.

### **Exemptions from Competitive Bidding Process**

The President & CEO may grant an exemption from the Competitive Bidding processes set forth above in the following situations:

- Purchases in an amount less than \$50,000 when the item to be purchased is:
  - available from only one responsible supplier in the geographic area in which the organization operates; or
  - a film, manuscript, or book; electric power, gas, water, or other utility service; captive replacement parts or components for proprietary products, including equipment.
- Purchases in an amount greater than \$50,000 for captive replacement parts or major components of highly specialized equipment or when competition is precluded because of the existence of patents, copyrights, secret processes, or monopolies that do not permit an equivalent product or service to be supplied.
- Purchases in any amount if the purchases are to be made from a vendor through an interlocal cooperation contract or a purchasing cooperative formed under Texas Government Code Chapter 791.
- Purchases of personal or Professional Services.
- Purchases of goods or services that are critical to Central Health's operations that, if not secured immediately (i.e. subjected to Competitive Bidding or other solicitation method), will have severe impact, impair or completely inhibit such operations, or otherwise will negatively impact patient care.
- Emergency Purchases, which are defined as:
  - an item or service that must be purchased in a case of public disaster (i.e., a disaster that has been declared by the Governor);
  - an item or service that must be purchased to prevent a hazard to the immediate public health, safety, or welfare of the residents of Travis County;
  - an item or service that must be purchased because of unforeseen damage to Central Health property (including leased facilities);
  - an item or service that must be purchased to avoid substantial undue



additional cost that cannot be recovered by Central Health; or

- a situation that suddenly and unexpectedly causes Central Health to need the services of a consultant, but only if there is insufficient time to comply with this policy (e.g., the issuance of a court order or new legislation).

## **VI. RELEVANT LAWS**

- Government Code § 497.024 (Purchase of items from the Institutional Division of the Texas Department of Corrections)
- Government Code Chapter 791 (Interlocal Cooperation Act)
- Government Code §2155.204 (Comptroller's Local Government Purchasing Program)
- Government Code Chapter 2157 (Catalog Purchase Procedures through the Texas Building and Procurement Commission)
- Government Code Chapter 2251 (Prompt Payment Act)
- Government Code Chapter 2252 (Contracts with Government Entities - Reciprocal penalties for out of state governments that impose penalties on Texas bidders)
- Government Code Chapter 2253 (Public Work Performance and Payment Bonds)
- Government Code Chapter 2254 (Professional Services Procurement Act)
- Government Code Chapter 2258 (Prevailing Wage Rates)
- Government Code Chapter 2269 (Contracting and Delivery Procedures for Construction Projects)
- Health & Safety Code §12.056 (Participation in Department of State Health Services Purchasing Contracts or Group Purchasing Organization)
- Health & Safety Code § 281.049 (Purchasing/Accounting Methods and Procedures)
- Health & Safety Code § 361.426 (Recycled Product Preferences)
- Human Resources Code Chapter 122 (Purchasing from People with Disabilities)
- Local Government Code Chapter 171 (Conflict of Interest Reporting)
- Local Government Code Chapter 176 (Disclosure of Relationships with Local Government Officers)
- Local Government Code Chapter 271 (Purchasing and Contracting Authority of Municipalities, Counties and Certain Other Local Governments)
- Local Government Code Chapter 273 (Acquisition of Property for Public Purposes by Municipalities, Counties, and Certain Other Local Governments)
- 28 Tex. Admin. Code § 110.110 (Workers' Compensation-required language for Construction Contracts)

## **VII. RELATED ORDERS AND POLICIES**

- Financial Order of the Travis County Commissioners Court
- Human Resources Policy (HR2-008): Standards of Conduct
- Compliance Policy (CMP-002): Code of Conducts and Ethics for Employees
- Compliance Policy (CMP-009): Duality of Conflict of Interest Policy and Standard Operating Procedure (CMP-009P)

## **VIII. PROCEDURES**

- PUR-001P General Purchasing Standard Operating Procedures



<b>Policy Title:</b> Delegation of Purchasing Duties to Purchasing Authority and Certain Officers
<b>Policy #:</b> PUR1-005
<b>Effective Date:</b> WORKING DRAFT <del>TBD</del>
<b>Revision Dates:</b> N/A
<b>Board Last Approval Date:</b> <del>N/A</del> <u>March 25, 2020</u>
<b>Policy Owner:</b> Deputy Chief Financial Officer
<b>Executive Sponsor:</b> Chief Financial Officer
<b>Attachments:</b> <a href="#">Attachments: PUR-005P Delegation of Purchasing Duties to Purchasing Authority and Certain Officers Standard Operating Procedure</a>

**I. PURPOSE**

This policy operates to delegate certain procurement-related duties to [persons other than the President & CEO](#) ~~and allows the President & CEO to delegate these duties to other Central Health officers and employees~~ in order to ensure that Central Health operates efficiently.

**II. SCOPE**

This policy applies to all employees who are specifically identified by title in the definitions and policy statement.

**III. DEFINITIONS**

Chief Executive Officer (CEO): The CEO appointed by the Central Health Board of Managers and any individual(s) performing in an acting or interim CEO role whether appointed by statute, designated by the Central Health Bylaws, or delegated by the CEO.

Chief Information Officer (CIO): The individual designated by the President & CEO with the responsibility for overseeing the Central Health Joint Technology department.

Chief Financial Officer (CFO): The individual designated by the President & CEO with the responsibility for overseeing Central Health’s financial operations.

Chief Operating Officer (COO): The individual designated by the President & CEO with the responsibility for overseeing healthcare delivery services and operations.

Deputy Administrator: The individual designated by the Board of Managers to perform any of the President & CEO’s powers or duties in the event that the President & CEO is incapacitated, absent for a prolonged period of time, or unable to perform the President & CEO’s duties.

Procurement Authority: The individual(s) designated by the President & CEO in PUR1-001 with the responsibility for purchasing and overseeing Central Health’s procurement process.

Prolonged Period of Time: An amount of time exceeding five (5) business days.

#### IV. POLICY

The Central Health Board of Managers delegates the following procurement authority ~~to its President & CEO~~ to its President & CEO:

- Approval to issue exemption orders for the purposes described in the General Procurement Policy (PUR1-001).
- Authorization to execute contracts regardless of dollar value, including contract renewals and amendments, provided that:
  - the Board of Managers has allocated funds for the contracted goods or service during the annual budget adoption process or the goods or service is necessary to implement an initiative that was approved by the Board of Managers at an Open Meeting and
  - funding is currently available;
- Authorization to execute contract amendments that are necessary due to a change in law or to correct a scrivener's error that does not affect the contract's substantive terms and conditions (e.g. term or length, cost, scope of service); and
- Authorization to award contracts and/or contract amendments for goods or services that were not contemplated in the budget that was adopted by the Board of Managers if the dollar value of the contract is less than \$~~100~~500,000;

Notwithstanding the authority granted herein, the President and CEO, in his or her discretion, may choose to take any procurement action to the Central Health Board of Managers for approval. Contracts and/or contract amendments exceeding \$250,000 and not contemplated in the budget will be reported to the Board of Managers at the next available Budget & Finance Committee meeting.

The Central Health Board of Managers authorizes the President & CEO to delegate the following procurement duties and rights to the Central Health Procurement Authority:

- Identification and application of the appropriate procurement method;
- Approval and administration of exemption orders;
- Ability to reject bids, proposals, or qualifications that do not meet Central Health's needs;
- Ability to reissue solicitations as needed; and
- Execution of contracts, including renewals and amendments, having a dollar value of less than or equal to \$50,000 provided funds are available for the good or service in the annual budget.

The Central Health Board of Managers further authorizes the President & CEO to delegate limited contract execution function to the Central Health officers named below, provided that funds are available in the annual budget for the contracted good or service and at least two of the three following officers approve the expenditure:÷

- Chief Operating Officer – Execution of health care-related services

contracts and purchases related to health care delivery ~~health care services contract~~, including renewals and ~~amendments~~, having a dollar value of less than or equal to ~~\$200,000~~ \$100,000

- Chief Information Officer – Execution of technology services, software, hardware, and equipment contracts, including renewals and amendments, ~~to~~ support technology operations up to ~~\$50100,000~~ \$50100,000
- Chief Financial Officer – Execution of audit, investment, and construction services contracts, including renewals and amendments, up to ~~\$50100,000~~ \$50100,000

#### **V. RELEVANT STATUTES, REGULATIONS OR GUIDANCE**

Texas Health & Safety Code § 281.027

#### **VI. RELATED POLICIES AND PROCEDURES**

N/A

#### **VII. PROCESS**

This policy will be reviewed annually in the budget process.



# CENTRAL HEALTH

## **Our Vision**

Central Texas is a model healthy community.

## **Our Mission**

By caring for those who need it most, Central Health improves the health of our community.

## **Our Values**

Central Health will achieve excellence through:

*Stewardship* - We maintain public trust through fiscal discipline and open and transparent communication.

*Innovation* - We create solutions to improve healthcare access.

*Right by All* - By being open, anti-racist, equity-minded, and respectful in discourse, we honor those around us and do right by all people.

*Collaboration* - We partner with others to improve the health of our community.

## **BOARD MEETING**

**December 13, 2023**

## **REGULAR AGENDA ITEM 7**

Receive training on open government statutes. (*Informational Item*)



**AGENDA ITEM SUBMISSION FORM**

This form is to provide a general overview of the agenda item in advance of posting for the Board meeting. Proposed motion language is a recommendation only and not final until the meeting and may be changed by the Board Manager making the motion. All information in this form is subject to the Public Information Act.

Agenda Item Meeting Date December 13, 2023

Who will present the agenda item? (Name, Title) David Duncan and Haseeb Abdullah

General Item Description Open Government Training

Is this an informational or action item? Informational Item

Fiscal Impact N/A

Recommended Motion (if needed – action item) N/A

Key takeaways about agenda item, and/or feedback sought from the Board of Managers:

- 1) Travis County Attorney’s will present a Texas Open Government refresher.
- 2) \_\_\_\_\_

What backup will be provided, or will this be a verbal update? (Backup is due one week before the meeting.) PowerPoint

Estimated time needed for presentation & questions? 10 minutes

Is closed session recommended? (Consult with attorneys.) No

Form Prepared By/Date Submitted: Briana Yanes/December 8, 2023



CENTRAL HEALTH

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CommUnityCare | Sendero

# Texas Open Government Refresher

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**December 13, 2023**

Central Health Board of Managers Meeting

**PRESENTERS**

**Haseeb Abdullah and David Duncan**, Assistant Travis County Attorneys –  
Health and Social Services Division



# Purpose and Boundaries of Presentation

- **Present to Central Health Managers a basic overview of the Texas Open Meetings and Public Information Acts.**
- **Emphasize those portions of the Acts that most directly affect Managers in their roles.**
- **Cover recent developments in the Acts.**



# Public Information Act (“PIA”)

- **Governs all “public information,” defined as any recorded information on essentially any medium – paper, electronic (computer or phone), film, or other recording.**
- **Applies to all information held by Central Health or any information to which we have a “right of access” that is held or maintained by another person or entity.**
- **In order to be excluded from release, information must fit within a specific exception outlined in the Act. Only the Texas Attorney General or a court can determine that an exception applies.**
- **Some overlap between closed session reasons under the Open Meetings Act, but they are not identical – there may be release of information that could be the basis for closed session.**

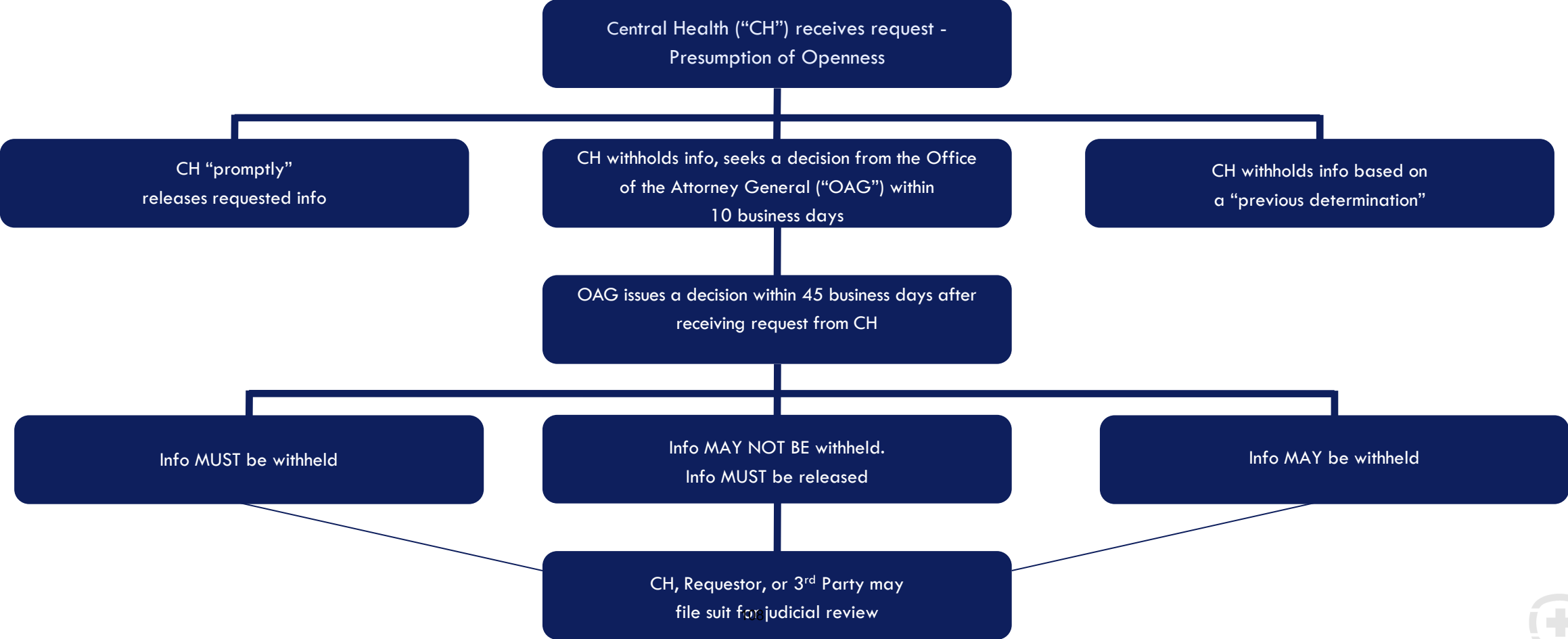


# PIA Requests and Process

- **Any person or entity can request information from Central Health.**
- **No “magic words” necessary to make it a PIA request – just asking for something that is public information.**
- **We have ten business days to release the information or request an AG opinion. If we miss the deadline, the information is likely to be released.**
- **We are not allowed to ask why the person wants the information.**
- **If you receive a request, or anything that looks like one, get it to Briana, Perla, or other senior staff ASAP. Staff and TCAO will handle it for you.**



# Basic Process for Response to PIA Requests



# “Myths” Under the PIA

- **“My personal device can’t have public information on it.” WRONG** – what drives the determination of public information is the content of the information itself. The Legislature included language in the Act to make this clear. This is why we make “public” communication options available to members ([centralhealth.net](http://centralhealth.net)).
- **“If we have information we are treating as confidential, we can withhold it from a requestor.” Only true IF you have an Attorney General ruling on the specific information (or that category of information which clearly fits).**
- **“We don’t have to release information if it’s a draft or something we’re not going to keep.” If the information exists when the request is made, it must fit an exception in the Act and be determined confidential by AG ruling.**



# Penalties Under the PIA

- **Criminal Penalties may result from**
  - Refusing to provide public information
    - Penalty: up to 6 months in jail and/or up to \$1,000 fine (also constitutes official misconduct, which may result in removal from office)
  - Providing, distributing, or misusing confidential information
    - Penalty: up to 6 months in jail and/or up to \$1,000 fine (also constitutes official misconduct, which may result in removal from office)
  - Destroying, removing, or altering governmental information
    - Penalty: up to 3 months in jail and/or fine up to \$4,000



# Open Meetings Act

- **All meetings of a “quorum” of a governmental body in Texas are subject to the Open Meetings Act (“OMA”).**
- **Votes must be taken in public, with certain very rare exceptions (none of which are ever likely to apply to CH business).**
- **Government bodies must allow public testimony at all posted public meetings and cannot prohibit public testimony critical of the body.**



# Clarifications of Terminology under OMA

- **What is a “Quorum”? Under the Tex. Code Construction Act, it is “a majority of the number of members fixed by statute.” Thus a quorum for the full CH Board is always 5. However, Central Health always posts its committee meetings, so a quorum of a committee matters too.**
  - **What constitutes a “meeting” covered by the Act?**
    - **Quorum + deliberation or formal action + governmental body’s public business.**
- OR**
- **Quorum + governmental body conducts & is responsible for or called the meeting + public business.**





# More Terminology

- **What is “deliberation”? A verbal or written exchange between a quorum of the governmental body or between a quorum and another person (including staff), concerning an issue within the jurisdiction of the governmental body.**
- **When is a meeting NOT a meeting? Attendance of a quorum at a social function unrelated to the body’s public business, convention or workshop, ceremonial event, press conference, candidate forum, or testifying before the legislature if formal action is not taken and any discussion of public business is incidental to the noted event or function.**



# Notice of Meetings

- **Local governmental bodies like Central Health must publish notice 72 hours in advance of a meeting.**
- **Notice must include the date, time, and location of the meeting and give reasonable notice to the public of items to be discussed and decided.**
- **Committees require notice if there is some chance their decisions will be “rubber stamped” by the full Board. Historical practice is to post all Central Health committee meetings.**
- **Notice must be posted in publicly accessible place, and best practice is to post internet notice as well.**



# Closed Sessions

- **The Board can meet in closed session only if the subject meets very strict conditions**
- **Examples include –**
  - Consultation with attorney
  - Personnel matters
  - Deliberations about purchase/sale or lease of real property
  - Governance of certain health care operational issues
  - Deliberation of prospective gifts to the organization



# Videoconference Meetings Under OMA

- **The Board can meet via videoconference if the presiding officer and a quorum of the body are physically present at a location specified in the notice of meeting.**
- **The meeting location and all of the remote locations must have two-way audio and video communication with each other location throughout the meeting.**
- **While speaking, each Board participant must be clearly visible audible to each other participant and to the members of the public in attendance at the physical location.**
- **Members are counted as present so long as their audio and video is on.**
- **The body must make at least an audio recording of the meeting, and it must be made available to the public.**
- **Public can participate in all meetings, including video meetings, remotely or in person – their choice.**



# Walking Quorum

- In 2019, there was a successful challenge to the old statutory language, with the Texas Court of Criminal Appeals ruling that it was too vague to be enforceable.
- That same year the Legislature amended the language to prohibit any member knowingly engaging in a series of communications outside of a meeting on issues within the body's jurisdiction in less than a quorum, when the member knew at the time the member engaged in the communication that the series of communications:
  - (A) involved or would involve a quorum; and
  - (B) would constitute a deliberation once a quorum of members engaged in the series of communications.



# Practical Prevention of Walking Quorum

- **Best ways to avoid a walking quorum are:**
  - Do not communicate with more than one of your fellow members on substantive issues before the Board – especially if the other member intends to speak to other members
  - Do not discuss voting intentions or vote alignment on issues before the Board
  - Do not copy fellow Board Managers on emails or “reply to all” if you see other Managers have been copied on an email
  - Do not communicate on substantive issues with fellow Board members in emails which could be used to deliberate or decide an issue. If you wish to convey thoughts via email to staff or fellow Board Managers, send the email directly to senior Central Health staff, who will discuss with you and the Chair and decide whether to post the issue on the Message Board



# Penalties Under the OMA

- **Walking Quorum**
  - Class C Misdemeanor (fine up to \$500 and up to six months in jail)
- **Knowingly participating in or calling an illegal closed meeting or a closed meeting where proper recording is not kept**
  - Class C Misdemeanor (fine up to \$500 and up to six months in jail)
  - Defense if member relied on court order or opinion of counsel that the closed meeting was legal
- **Knowing disclosure of information from a certified agenda or record of closed meeting**
  - Class B misdemeanor (fine up to \$2000 and up to 180 days in jail), PLUS
  - Personal liability for damages (including punitive damages) and attorneys fees of any person injured by the disclosure
- **Civil Penalties against the governmental body for any violations of the Act include overturning decisions and court order to redo any actions illegally taken.**





**Questions?**

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# CENTRAL HEALTH

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## **BOARD MEETING**

**December 13, 2023**

## **REGULAR AGENDA ITEM 8**

Discuss Board compliance policies and procedures, including:

- a. CMP-001P Compliance Program Description Policy;
- b. CMP-0010P Code of Conduct and Ethics for Board Members Policy;
- c. CMP-006P Reporting and Non-Retaliation Policy;
- d. CMP-009P Duality and Conflict of Interest Policy; and
- e. CMP-009SOP Duality and Conflict of Interest Procedures. (*Informational Item*)



AGENDA ITEM SUBMISSION FORM

This form is to provide a general overview of the agenda item in advance of posting for the Board meeting. Proposed motion language is a recommendation only and not final until the meeting and may be changed by the Board Manager making the motion. All information in this form is subject to the Public Information Act.

Agenda Item Meeting Date December 13, 2023

Who will present the agenda item? (Name, Title) McKenzie Frazier, Compliance Officer

General Item Description Highlight 5 compliance policies and procedures for Board awareness.

Is this an informational or action item? Informational

Fiscal Impact N/A

Recommended Motion (if needed – action item) N/A

Key takeaways about agenda item, and/or feedback sought from the Board of Managers:

Will review the compliance policies and procedures listed below:  
CMP-001P Compliance Program Description Policy;  
CMP-0010P Code of Conduct and Ethics for Board Members Policy;  
CMP-006P Reporting and Non-Retaliation Policy;  
CMP-009P Duality and Conflict of Interest Policy; and

- 1) CMP-009SOP Duality and Conflict of Interest Procedures
- 2) Managers will need to complete conflict of interest forms the day of the meeting.

What backup will be provided, or will this be a verbal update? (Backup is due one week before the meeting.) 5 compliance documents (policies and procedures)

Estimated time needed for presentation & questions? 15 minutes

Is closed session recommended? (Consult with attorneys.) No

Form Prepared By/Date Submitted: McKenzie Frazier/ December 8, 2023



<b>Policy Title:</b> Compliance Program Description
<b>Policy #:</b> CMP-001P
<b>Effective Date:</b> 5/28/2010
<b>Policy Owner:</b> VP, Compliance
<b>Executive Sponsor:</b> Chief Executive Officer
<b>Attachments:</b> None

**I. PURPOSE**

The purpose of Central Health’s Compliance Program is to establish and maintain the infrastructure and program components necessary to promote and better ensure compliance with applicable laws, regulations, ordinances, and policies and procedures, including the Central Health Code of Conduct and Ethics. The Compliance Program is intended to promote a culture of ethics and compliance across the organization, foster the identification and reporting of compliance violations and foster rapid response when issues have been identified.

Additionally, as a steward of public funds, Central Health is obligated to act in accordance with the highest ethical standards. The Central Health Compliance Program was implemented following a resolution of the Central Health Board of Managers Establishing High Standards of Integrity dated May 27, 2010 ("Board Resolution").

**II. SCOPE**

This policy applies to all Central Health employees and operations. This includes Board of Managers, officers, interns, volunteers, and temporary employees.

**III. DEFINITIONS**

None.

**IV. POLICY**

In partnership with the Central Health Code of Conduct, this policy provides a summary of the Compliance Program structure, responsibilities and core components.

The Compliance Program was established by Central Health’s Board of Managers. Under the direction of the Chief Executive Officer/CEO, the Compliance Officer manages the day-to-day operations of the Compliance Program. This includes maintaining a current Compliance Plan that is relevant to Central Health operations and an annual Audit Plan that monitors controls in areas with corrective actions based on actual or potential findings from external reviews, internal routine or special reviews, or any other issues that deserve study for potential improvements. The scope of areas subject to routine and special reviews is vast and may include any department, line of service, or activity within the organization. Additionally, the Compliance Committee may conduct an annual review of the Compliance Program Description and evaluates the effectiveness of the Compliance Program. These work products

may be presented to the CEO and/or the Board of Managers with recommendations for improvement.

The Compliance Program Description and Compliance Plan will address the following:

1. Regularly scheduled review and **distribution of written standards of conduct**, compliance program documents and organizational policies and standard operating procedures (SOP). These materials should articulate Central Health’s commitment to compliance with all applicable laws, regulations, standards, and contractual obligations. Documents should be written in language clearly understandable by impacted employees and distributed in a consistent manner. *CMP-011P should be referenced for additional guidance regarding Policy and Standard Operating Procedure Management.*
2. Identified personnel responsible for the implementation of the Compliance Program, including a **dedicated Compliance Officer and Compliance Committee**. Compliance Officer may at times designate responsibilities to other compliance personnel. This individual, or individuals, will be responsible for the daily operations and monitoring of the Compliance Program. The Compliance Officer will have a clear line of reporting and job performance accountability to the CEO and a line of awareness and activation accountability to the Board of Managers to ensure timely escalation and response to identified risks and issues.
3. Development and implementation of **frequent and effective compliance education and training** for all employees, Board members, and when applicable consultants and contractors. Training topics should include, but not be limited to; the structure of Central Health’s Compliance Program, methods for reporting suspected compliance violations, information regarding fraud, waste and abuse policies, HIPAA privacy and security, ethics and organization-specific policies and Standard Operating Procedures (SOPs).
  - a. Compliance training will occur for all newly hired employees. Training should be completed within ninety (90) days of an employee’s start date. Training may be provided in person or electronically.
  - b. Annual Compliance training will be provided to all employees, Board members, and when applicable, consultants and contractors. Training may be provided in person or electronically.
  - c. Periodic training may be identified as necessary. Circumstance that may warrant a periodic training include: as an element of a corrective action addressing identified noncompliance, changes in individual or department job responsibilities, in response to identified trends, following the issuance of a new regulation or law, etc.
4. Central Health ensures there are clear and effective lines of communication that allow all employees, Board members, consultants, contractors, and the public a **method for reporting any suspected violation** or compliance concern to the Compliance Officer without fear of retaliation. This includes a dedicated Compliance and Ethics Hotline. The Compliance Officer is responsible for communicating the methods in which employees may report concerns and provide a path for investigating if there is suspected retaliation

against an individual for an in good faith compliance report. *HR1-005P can be referenced for further information regarding Central Health's Anti-Harassment & Retaliation policy. Additionally, CMP-006P provides information related to the Compliance and Ethics Hotline.*

5. Promotes the **publication of disciplinary standards and guidelines**, which ensure timely response and consistent enforcement of appropriate action following identification of a violation.
6. Oversight and implementation of **audits and monitoring techniques** to aid in the proactive identification, prompt investigation and reduction of compliance violations. A responsibility of the Compliance Program will be to ensure audit and monitoring activities align with identified risk areas.
7. Systematic method for **responding to suspected compliance violations**, improper or illegal activities. Investigations should incorporate the necessary management, including Legal Counsel, to promptly identify root cause, implement corrective and disciplinary actions, mitigate future violations and, if applicable, manage disclosure or report to necessary authorities. *CMP-008P can be referenced for additional information regarding compliance investigations.*

## V. RELEVANT STATUTES, REGULATIONS OR GUIDANCE

## VI. RELATED POLICIES AND PROCEDURES

HR2-002P - Background Checks  
HR1-005P - Policy Against Harassment  
CMP-006P - Reporting and Non-Retaliation  
CMP-008P - Compliance Investigations  
CMP-011P - Policy and Procedure Management

## VII. PROCESS

None



<b>Policy Title:</b> Reporting and Non-Retaliation
<b>Policy #:</b> CMP-006P
<b>Effective Date:</b> 5/28/2010
<b>Policy Owner:</b> VP, Compliance
<b>Executive Sponsor:</b> Chief Executive Officer
<b>Attachments:</b> None

**I. PURPOSE**

It is Central Health's policy to require all employees to report relevant perceived wrongdoing and suspected violations of compliance policies and to protect reporting employees from retaliation for all reports of perceived wrongdoing.

**II. SCOPE**

This policy applies to all Central Health employees and operations. This includes Board of Managers, officers, interns, volunteers, and temporary employees.

**III. DEFINITIONS**

**Wrongdoing** – Any behavior categorized as illegal, unethical, or dishonest. Can include non-compliance with organizational policies and procedures.

**Retaliation** – Discriminatory action against an individual based solely on their participation in the compliance reporting process. Examples can include, but are not limited to, transferring an employee to a less desirable position, increased scrutiny, unwarranted negative performance evaluation, physical or verbal abuse or employment dismissal.

**IV. POLICY**

- 1) All Central Health employees, including executives and Board members, have an affirmative duty and responsibility for immediately reporting knowledge of perceived wrongdoing, including actual or potential violations of laws, regulations, policies, or procedures, including the Code of Conduct and Ethics for employees and Board members. Employees may report to a Board member, executive, supervisor, Human Resources (in the event of a personnel policy violation), or the Compliance Officer, or through the Compliance and Ethics Hotline. *See Standards of Conduct, Policy Number HR 2-008.* Board members may report to the Chairperson, the CEO, or the Compliance Officer or via the Compliance and Ethics Hotline.
- 2) Employees are encouraged to utilize the Compliance and Ethics Hotline for matters requiring them to remain anonymous to protect them from any possible retaliatory act. Employees may proceed up the chain of command or communicate with the Compliance Officer or Human Resources if a perceived problem or concern is not resolved. **Central Health permits no form of retaliation.**
- 3) Regular business matters that do not require anonymity are encouraged to be directed to an individual's supervisor, an executive, Human Resources or the Compliance Officer.

- 4) Knowledge of a violation or potential violation of this non-retaliation policy must be reported directly to the Compliance Officer or through the Compliance and Ethics Hotline. The Compliance Officer will be responsible for the investigation and follow-up of any reported retaliation against an employee for reporting under this policy and will report the results of an investigation to the Chief Executive Officer, Chief of Human Resources Officer and/or Central Health Board of Managers, as appropriate.
- 5) Officers, supervisors, and employees will be held accountable for failing to comply with, or for the foreseeable failure of their subordinates to adhere to, the applicable standards, laws, and procedures.

## **V. RELEVANT STATUTES, REGULATIONS OR GUIDANCE**

United States Sentencing Guidelines

## **VI. RELATED POLICIES AND PROCEDURES**

HR2-008P - Standards of Conduct

CMP-008P - Compliance Investigations

## **VII. PROCESS**

Known or suspected concerns may be directed to Central Health's third-party managed Compliance and Ethics Hotline in the following ways:

- **Website:** [www.lighthouse-services.com/centralhealth](http://www.lighthouse-services.com/centralhealth)
- **Toll-Free Telephone:** (833) 770-0080 (English)
- **Toll-Free Telephone:** (800) 216-1288 (Spanish)
- **Email:** [reports@lighthouse-services.com](mailto:reports@lighthouse-services.com) (must reference Central Health with the report)
- **Fax:** (215) 689-3885 (must reference Central Health with the report)

Employees will have the ability to remain anonymous if they choose. Please note that the information provided may be the basis for an internal or external investigation and anonymity will be protected to the extent possible by law. However, the employee's identity may become known during the course of the investigation because of the information provided. *Please see CMP-008P Compliance Investigations for additional information.*



<b>Policy Title:</b> Duality and Conflict of Interest
<b>Policy #:</b> CMP-009P
<b>Effective Date:</b> 5/28/2010
<b>Policy Owner:</b> VP, Compliance
<b>Executive Sponsor:</b> Chief Executive Officer
<b>Attachments:</b> CMP-009SOP - Duality and Conflict of Interest (SOP)

**I. PURPOSE**

It is Central Health's policy that all decisions and actions by the members of the Central Health Board of Managers, officers, supervisors, and employees be made in a manner that promotes Central Health's best interests and avoids the perception of a conflict of interest.

This policy shall be maintained by the following practices of disclosure of affiliation and withdrawal from debate, voting, or other decision-making processes where duality or conflict of interest may exist as provided in Chapters 171 and 176 of the Texas Local Government Code.

**II. SCOPE**

This policy applies to all Central Health employees and operations. This includes Board of Managers, officers, interns, volunteers, and temporary employees, which will collectively be referred to as "individuals".

**III. DEFINITIONS**

**Affiliation(s)** – any commitment, compensation arrangement, financial investment or relationship, obligation, involvement or other interest, either direct or indirect, that (i) may influence, or could be perceived to influence, a person’s decision or action; (ii) could reasonably impair the person’s judgement in the performance of his/her official duties; or (iii) might require or induce the person to disclose confidential, privileged, or proprietary information acquired through performance of his/her official duties.

**Cash equivalent** – includes currency, coins, money orders, checks, credits, gift cards, vouchers or coupons.

**Business entity** – a sole proprietorship, partnership, firm, corporation, holding company, joint-stock company, receivership, trust, or any other entity recognized by law.

**Conflict of interest** – when a person in a position to decide, vote or take action on a matter and has a substantial interest in the business entity or real property in which an action will be taken.

**Duality of interest** – any activity or interaction that has the potential to compromise an employee’s objectivity, that conflicts with Central Health’s interests, or that interferes with their ability to perform their work duties for Central Health.

**Family member** – for purpose of this policy, this term is defined as spouse, domestic partner, or any immediate relative (e.g., parent, child and siblings).



**Financial interest** – includes employment, ownership, or investment interest, equity, stock, intellectual property interest (patents or trademarks), royalties, compensation or reimbursement arrangements and any other payments for service.

**Gift** – any item, product or service offered to benefit an individual. The term includes but is not limited to food and drink, promotional materials, cash or cash equivalent, discounts, entertainment, and financial interest.

**Intergovernmental transfer (IGT)** – transfer of public funds by Central Health, for the benefit of a permissible affiliated participating provider, for the purposes of any state or federal supplemental payment program including, but not limited to, the State of Texas 1115 Medicaid Waiver.

**Reasonably likely** – is met when the person or body responsible for determining whether a duality of interest exists finds that there is sufficient evidence that conclude that a particular outcome is more like to occur than not.

**Substantial interest** – exists if 1) owns ten percent or more voting stock or shares of a business entity, 2) owns either ten or \$15,000 or more of the fair market value of a business entity, 3) has direct or indirect compensation arrangement which accounts to more than ten percent of the person’s gross income, 4) holds an equitable or legal ownership in real property with a fair market value of \$2,500 or more; or 5) has a family member who has a substantial interest in a business entity as outlined.

#### IV. POLICY

Central Health encourages opportunities for individuals to engage in professional activities within the community and health care industry. Such activities can help staff develop their skills and expertise and enhance the organization’s mission. At the same time, involvement in these activities may create a conflict or duality of interest. It is Central Health’s policy that these activities must not impair an individual’s ability to perform their role with Central Health. This policy is designed to assist individuals in identifying situations that present potential conflicts or duality of interest and to provide a procedure for appropriately disclosing.

Accordingly, it is the policy of Central Health that:

- All individuals shall seek approval from their supervisor or governing authority prior to entering into any employment, transaction, or other arrangement that may be a conflict or duality of interest;
- All individuals, including Board members, shall not use their position with Central Health for personal gain for themselves or family members;
- Contracts and agreements on behalf of Central Health will involve reasonable precautions to avoid any personal benefit;
- All individuals, including Board members, shall complete a Conflict of Interest Disclosure form at hire or oath of office, or at the time a new situation occurs which alters the previous disclosure submission, and annually thereafter;
- All individuals, including Board members, shall update their disclosure statement with any new potential conflicts or duality of interest as soon as they arise;
- All individuals, including Board members, shall review and follow the attached guidelines for identifying and disclosing conflicts of interest; and

- Engaging in an undisclosed conflict of interest may be cause for disciplinary action up to and including termination of employment. *Employees may reference HR2-017P for additional discipline information.* Board member disciplinary actions will be determined by the Board or Chief Executive Officer (CEO).

## V. RELEVANT STATUTES, REGULATIONS OR GUIDANCE

Chapter 171 and Chapter 176, Texas Local Government Code.

## VI. RELATED POLICIES AND PROCEDURES

HR2-015P - Participation in Professional Associations, Community Affairs, and Civic Organizations

HR2-017P - Discipline

HR2-033P - Nepotism

CMP-002P - Code of Conduct and Ethics for Employees

CMP-009SOP - Duality and Conflict of Interest (SOP)

CMP-009-SOPa - Duality and Conflict of Interest (Attachment A)

TBD - Prohibition of Patient Inducements (Contact the Compliance Officer)

## VII. PROCESS

The following categories of items and services are examples of potential situations in which a conflict or duality of interest must be disclosed. Note this is not an exhaustive list as there may be other relationships, items or services that may be perceived as a conflict or duality of interest that require disclosure.

- **Gifts**
  - Individuals may retain gifts that will not influence decision-making and have nominal value of less than \$50 per gift and \$300 per year in the aggregate.
  - Additional circumstances in which individuals may offer or accept gifts, in which value may not be known, include the following:
    - Achievement awards;
    - Donations if solely for use and operation by Central Health. Donations should come through the Finance department for clearance;
    - Provided in connection with attendance at continuing education programs or other professional society meetings open to the public (i.e. raffle prizes);
    - The offering or accepting of these gifts is still dependent on there being no perception of an influence on the individuals' decision-making.
  - Individuals are prohibited from accepting gifts from clients and/or patients unless the gift is modest and nominal token of appreciation and the gift is not cash or cash equivalent.
  - If there is a concern about whether a particular gift should be accepted, employees must consult the Compliance Officer.

- **Personal Financial Interests**
  - Individuals may have personal substantial interest, ownership, or investment with entities, which do or seek to do business with Central Health. However, these interests must be disclosed to ensure effective controls are implemented.
  - Examples of controls include, but are not limited to; individuals may be required to abstain from negotiation, oversight, and decision-making processes related to the business entity if the individuals personal financial interest causes or has the appearance of affecting their ability to act in the best interest of Central Health.
  - *See CMP-009SOP Conflict of Interest and Duality Procedure for additional information* regarding the process for disclosing such affiliations, and the procedure for implementing controls to mitigate any influence on decision-making.
- **Outside Employment**
  - Individuals may engage in outside work with prior approval of their supervisor so long as the outside work does not conflict with Central Health’s interests, mission or strategic plan or does not interfere with the employee’s work performance.
  - Individuals, such as officers or Board members, may be required to abstain from debate, voting, and decision-making processes if their employment causes or has the appearance of affecting their ability to act in the best interest of Central Health.
- **Charitable Organizations and Events**
  - Individuals may participate in professional associations, charitable and/or civic organizations so long as participation does not conflict with Central Health’s interests, mission or strategic plan or does not interfere with the employee’s work performance. *See also HR2-015P Participation in Professional Associations, Community Affairs & Civic Organizations for additional information.*
- **Family, Friends, and Personal Workplace Relationships**
  - Central Health will not permit an employment arrangement which would result in a supervisor/subordinate relationship between family members, or if it creates an appearance of control of interest. *HR2-033P Nepotism provides additional information* regarding controls, which may be required to mitigate any perceived or actual conflict of interest regarding familiar and personal workplace relationships.
- **Revolving Door**
  - For two years post appointment as a Board Member or post-employment with Central Health, an individual may not accept an offer of employment from any entity in which they participated on behalf of Central Health in a successful procurement or contract negotiation involving that entity.
  - For two years post appointment as a Board Member or post-employment as a Director or above with Central Health, an individual may not submit responses to any Central Health proposal for services or goods, including professional services.
  - For two years post appointment as a Board Member or post-employment as a Director or above with Central Health, an individual may not approach a current Board Member or employee on behalf of any person and/or organization with the intent to influence Central Health action.

Additional procedures related to the identification, disclosure and implementation of controls to mitigate individuals' affiliations from influencing decision-making can be reviewed in *CMP-009SOP Conflict of Interest and Duality Procedure*.

Nothing in this policy should be interpreted to imply that Central Health Board or staff members are expected to disclose or otherwise reveal any political, religious, ethnic, or fraternal Affiliations.



<b>Standard Operating Procedure Title:</b> Duality and Conflict of Interest Standard Operating Procedure (SOP)
<b>SOP #:</b> CMP-009SOP
<b>Effective Date:</b> 1/31/2019
<b>SOP Owner:</b> VP, Compliance
<b>Executive Sponsor:</b> Chief Executive Officer
<b>Attachments:</b> Employee Conflict of Interest Disclosure (Attachment A); Statement of Interest Form (Attachment B); Personal Financial Statement (Submitted Separately); Form CIS (Submitted Separately).

**I. PURPOSE**

The purpose of this standard operating procedure (SOP) is to assist employees and Members of the Central Health Board of Managers in understanding and complying with Central Health’s duality and conflict of interest policy. This procedure includes instructions for disclosing potential duality or conflict of interests and actions to be taken by individuals to mitigate perception or known duality or conflicts from influencing their ability to perform their role or function on behalf of Central Health.

**II. SCOPE**

This SOP applies to all Central Health employees, volunteers, and operations. This application includes Board of Managers, officers, interns, volunteers, and temporary employees; collectively referred to as “individuals”.

**III. DEFINITIONS**

See policy CMP-009P for related definitions.

**IV. RELEVANT STATUTES, REGULATIONS OR GUIDANCE**

Chapter 171 and Chapter 176, Texas Local Government Code.

**V. RELATED POLICIES AND PROCEDURES**

- CMP-009P - Duality and Conflict of Interest
- CMP-009-SOPa - Duality and Conflict of Interest (Attachment A)
- CMP-009-SOPb - Duality and Conflict of Interest (Attachment B)

**VI. PROCEDURE**

It is the duty of all individuals to disclose at hire or initial contracting situations, which may be perceived as a conflict or duality of interest. Procedures for how employees and Board members may report any conflict or duality of interest to the Compliance Officer is as follows:

### Central Health Staff Disclosure Statements:

- **New Hire Attestation:**

Upon hire all new employees will be required to complete an *Employee Conflict of Interest Disclosure form* (see Attachment A). Form to be completed and submitted within 90 days of the start of their employment.

- **Annual Attestation:**

Annually, employees with financial responsibility, including decision-making regarding the procurement of vendor services, and Director-and-above level employees will acknowledge receipt and understanding of *CMP-009SOP Duality and Conflict of Interest Policy*. Additionally, employees will submit an annual Employee Conflict of Interest Disclosure form.

- **Situational Attestation:**

Employees are responsible for reporting to the Compliance Officer when there has been a change in their situation, which affects their last submitted *Employee Conflict of Interest Disclosure Form*. If circumstances have changed and a situation that could be considered a conflict of interest as arisen the employee is responsible for submitting an updated *Employee Disclosure Form* to the Compliance Officer.

- **Chief Executive Officer Personal Financial Statement:**

The Central Health Chief Executive Officer (CEO) must complete and submit a *Personal Financial Disclosure Statement* that complies with the requirements of Texas Government Code Sections 572.022 and 572.023. The statement must be submitted to the Travis County Intergovernmental Relations Department by electronic mail or personal delivery no later than:

- 5:00 p.m. on the forty-fifth day after the date on which he or she assumes the duties of the position of CEO.

In the event that the Central Health CEO experiences a substantial change in his, her, or their financial circumstances the CEO shall notify the Chairperson of the Central Health Board of Managers. The Chairperson of the Central Health Board of Managers, in consultation with Legal Counsel, shall determine whether the change is of such a nature or amount that it should be reported to the Travis County Commissioners Court. If the Chairperson determines that the change should be reported to the Travis County Commissioners Court, the Chairperson will first notify the affected individual of the Chairperson's determination. The Chairperson will then report the change to the clerk of the Travis County Commissioners Court.

### Central Health Board of Manager Disclosure Statements:

- **Statement of Interest Form:**

Members of the Central Health Board of Managers must complete and submit a written *Statement of Interest Form* (see Attachment B) describing the nature and extent of any of his,her, or their Affiliations as follows:

- Within twenty business days of taking the oath of office; and
- The annual Board Meeting each year.

These disclosure statements must be submitted to the Board Secretary by 5:00 p.m. on the twentieth business day after taking the oath of office and the annual Board Meeting each year.

Board Members are also required to submit amended Statement of Interest Forms whenever their Affiliations change. Amended statements shall be submitted within seven business days of the date on which a Board becomes aware of facts that require the amending of the statement.

- **Conflict of Interest:**

Within twenty business days of taking the oath of office, and annually thereafter, the Central Health Board of Managers will complete the Local Government Officer *Conflicts Disclosure Statement (Form CIS)* as published by the Texas Ethics Commission. For a copy of the current CIS form, individuals can contact the Board Liaison.

- **Personal Financial:**

Additionally, members of the Central Health Board of Managers must complete and submit a *Personal Financial Disclosure Statement* that complies with the requirements of Texas Government Code Sections 572.022 and 572.023. The statement must be submitted to the Travis County Intergovernmental Relations Department by electronic mail or personal delivery no later than:

- For Board Members – 5:00 p.m. on the later of
  - the sixtieth day after the deadline to apply for appointment to the Central Health Board of Managers by the Travis County Commissioners Court; or
  - February 12<sup>th</sup>.

In the event that a Board member appointed by the Travis County Commissioners Court experiences a substantial change in his, her, or their financial circumstances the Board member shall notify the Chairperson of the Central Health Board of Managers. The Chairperson of the Central Health Board of Managers, in consultation with Legal Counsel, shall determine whether the change is of such a nature or amount that it should be reported to the Travis County Commissioners Court. If the Chairperson determines that the change should be reported to the Travis County Commissioners Court, the Chairperson will first notify the affected individual of the Chairperson’s determination. The Chairperson will then report the change to the clerk of the Travis County Commissioners Court.

At every Board or Committee meeting at which action is expected to be taken, the Secretary of the Board or the Committee Chair, as appropriate, will read a statement designed to remind Board members of their duty to disclose any Conflict of Interest or Duality of Interest. Each Board member must disclose said Conflict of Interest or Duality of Interest before discussion on any

matter involving the interest begins and such disclosure will be reflected in the minutes of the Board or committee meeting. Where a Conflict of Interest exists, the nature and extent of the Board member's interest must also be memorialized in the affidavit required pursuant to Chapter 171 of the Texas Local Government Code.

**Determining Whether a Conflict of Interest or Duality of Interest Exists for staff and Board of Managers:**

- **Before a Board and Committee Meeting or Participation in Transaction:**

- **Conflict of Interest:**

Board members or staff will seek a written opinion from Legal Counsel with the Travis County Attorney's Office on the existence of a Conflict of Interest. Legal Counsel will consider the information contained in the Board or staff member's *Conflict of Interest Disclosure Statement*, as well as all other material facts provided to him/her/them by the Board or staff member for the purpose of forming an opinion on whether the Board or staff member has a Conflict of Interest. The Board or staff member will be required to acknowledge Legal Counsel's opinion and follow Legal Counsel's recommended course of action. Examples of recommended courses of action include, but are not limited to the following:

- Abstention or removal from any decision-making duties that involve the source of the Conflict of Interest
- Application of additional oversight to the transaction
- Insertion of contractual terms that prohibit the vendor from making any royalty payments or offering any other compensation or thing of value to staff or Board members subsequent to the execution of a contract.

Legal Counsel's opinion is protected by the attorney-client privilege and will remain confidential, unless a violation of this policy is alleged or litigation involving Board action is initiated, in which case, Legal Counsel may share the written opinion with the Chairperson of the Board, the Executive Committee, Legal Counsel, and/or the Compliance Officer, as appropriate. Legal Counsel will report to the Board or Committee at each meeting whether an opinion has been sought by an individual Board Member and, if so, whether any Board member has been determined to have a Conflict of Interest.

To ensure that Legal Counsel has adequate time to produce a written opinion, a Board or staff member must request such opinion at least three business days in advance of the Board/Committee meeting or transaction date. Failure to request an opinion at least three business days in advance of the Board/Committee meeting or transaction date will prevent Legal Counsel from forming an opinion on the subject. Legal Counsel will not, under any circumstances, opine upon the existence of a Conflict of Interest during or immediately prior to a Board or Committee meeting or proposed transaction.



- **Duality of Interest:**

Except in situations involving a vote on intergovernmental transfers, Board and staff members will seek an opinion from the Compliance Officer on the existence of a Duality of Interest. The Compliance Officer will judge whether the Board or staff member's Affiliation is Reasonably Likely to influence the decisions or actions of the Board or staff member in the performance of his/her/their role. The decision of the Compliance Officer will be binding upon staff members and is not appealable; however, Board members may appeal the Compliance Officer's decision to the Executive Committee. The Executive Committee will review the Compliance Officer's decision and may uphold or overturn it. To ensure that Compliance Officer has adequate time to produce an opinion, a Board or staff member must request such opinion at least three business days in advance of the Board/Committee meeting or transaction date. Failure to request an opinion at least three business days in advance of the Board/Committee meeting or transaction date will result in the Compliance Officer recommending that the Board or staff member abstain from participating in the discussion or transaction at issue. The Board or staff member will be required to acknowledge the Compliance Officer's determination and follow the Compliance Officer's recommended course of action.

- **Before a Vote on Intergovernmental Transfers or Funding for Contracts with Governmental or Non-Governmental Entities:**

The Board of Managers or the Budget & Finance Committee will determine, by majority vote, whether an individual Board member's Affiliation presents a Duality of Interest that would require his, her, or their recusal or abstention. Before the Board or Budget & Finance Committee makes such determination, the interested Board member will have the opportunity to make a brief presentation on their Affiliation. The presentation must identify the nature of the Affiliation and include all material facts surrounding the Board member's Affiliation.

After allowing the interested Board member an opportunity to present the facts surrounding the Affiliation, the interested Board member must leave the Board or Budget & Finance Committee meeting and the remainder of the Board or Budget & Finance Committee must discuss the implications of the Affiliation and determine whether a Conflict of Interest or Duality of Interest exists. The Board or Budget & Finance Committee will, upon receiving a recommendation from the Compliance Officer, determine in the first instance whether an Affiliation exists that represents a Duality of Interest. If the Board or Budget & Finance Committee determines a potential Duality of Interest exists, then they shall deliberate whether the relationship or involvement of the Board member with the hospital designated to receive an IGT that it will reduce the likelihood that the Board member can act impartially and in the best interests of Central Health. The Board or Budget & Finance Committee may consider the fact that a Board member's Affiliation could subject Central Health to

public disapproval, the appearance of impropriety, reputational harm, or litigation in making such decision.

The decision of the Board or Budget & Finance Committee must be reflected in the minutes of the Board or Budget & Finance Committee meeting.



<b>Policy Title:</b> Code of Conduct and Ethics for Board Members
<b>Policy #:</b> CMP-010P
<b>Effective Date:</b> 5/28/2010
<b>Policy Owner:</b> VP, Compliance
<b>Executive Sponsor:</b> Chief Executive Officer
<b>Attachments:</b> None

**I. PURPOSE**

This Code of Conduct and Ethics for Board Members is a component of the written compliance policies for the Central Health Compliance Program. The Code of Conduct and Ethics for Board Members is a set of standards for legal and ethical business conduct that requires all Central Health Board members to comply with applicable federal and state laws governing Central Health's governance and to provide guidance to Board members regarding Board conduct and practices. The Code of Conduct and Ethics for Board Members will be distributed to all Board members upon appointment.

**II. SCOPE**

This Code of Conduct and Ethics for Board Members applies to all Board members in carrying out their duties and authorities pursuant to their constitutional and statutory mandates, as well as those outlined in governing documents and policies.

**III. DEFINITIONS**

None.

**IV. POLICY**

**1) Be Honest**

All Central Health Board members must be honest in the performance of their duties for Central Health.

**2) Follow applicable law, policies, and procedures**

Central Health is committed to complying, and Board members are under an ongoing duty to comply with all applicable state and federal laws, regulations, guidelines, and Central Health policies and procedures, where applicable, including this Code of Conduct and Ethics for Board Members. Noncompliance with Central Health policies, procedures federal or state laws, regulations, guidelines, or any implication or suspicion thereof must be brought to the attention of a Board Chairperson, Legal Counsel or the Compliance Officer.

**3) Maintain and protect information**

Certain actions and transactions, including compliance-related transactions, must be accurately documented on a timely basis according to Central Health policy and procedures and in accordance with applicable state and federal laws and regulations.

Falsifying a government record may result in criminal liability. Central Health has provided a Record Management Policy that establishes guidance regarding the creation, distribution, retention, storage, retrieval, and destruction of documents. The Record Management policy outlines retention for (i) all records and documentation required by state and federal law for participation in state or federal health care programs; and (ii) all records necessary to protect the integrity of Central Health.

#### **4) Protect Central Health assets**

Central Health property, facilities, equipment, supplies, personnel time, and accounts receivable (including monies owed to Central Health) are Central Health assets. Central Health Board members must respect Central Health property and maintain and protect it. Central Health assets and property, including but not limited to computers, supplies, staff time, records, business records and cash, may not be used for personal use or gain. Equipment and supplies removed from Central Health must be accounted for, used for Central Health business and all equipment and unused disposable goods must be returned in good condition.

#### **5) Respect the rights of others**

All persons at Central Health should be treated with dignity and respect.

Central Health does not tolerate harassment or discrimination of any personnel or member of the public in any manner or form, and specifically, on the basis of sex, race, color, national origin, citizenship status, marital status, sexual orientation, veteran status, religion, age, or disability. Suspected harassment or discrimination shall be reported to the Board Chairperson or Compliance Officer.

#### **6) Business transactions must be conducted free from offers or solicitation of gifts**

Central Health Board members must conduct all Central Health business with honesty and integrity. Central Health Board members are expected to conduct business in a manner that is free from offers or solicitation of gifts, favors, or other improper inducements. Business transactions with outside vendors, contractors and other third parties must be free from offers or solicitation of gifts and favors or other improper inducements in exchange for influence or assistance in a transaction. Central Health Board members cannot accept gifts, favors, services, entertainment, or other things of value to the extent that the decision making of Central Health or a Board member might be influenced. **Board members will abide by Local Government Code, Chapter 171 and Chapter 176.** If there is any concern about whether a particular gift should be accepted, Board members should consult with Central Health Legal Counsel or Compliance Officer. Board members should reference Compliance Policy CMP-009P and CMP-009SOP Duality and Conflict of Interest Policy and Standard Operating Procedures for additional information.

#### **7) Do not offer, solicit, or accept bribes, kickbacks, or rebates**

Offering, soliciting, or accepting a bribe, kickback, or rebate for any good or service associated with Central Health is inappropriate, illegal, unethical, and strictly prohibited by Central Health.

- A "bribe" is money or other thing of value, including a favor, given or promised in order to influence the judgment or conduct of another person.

- A "kickback" is the return of a part of a sum or other thing of value received, often because of a confidential agreement or coercion.
- A "rebate" is the return of part of a payment.

If there are any questions concerning a potential offer, solicitation, or other arrangement, contact the Compliance Officer or Legal Counsel. Board members should reference Compliance Policy CMP-009P and CMP-009SOP Duality and Conflict of Interest Policy and Standard Operating Procedures for additional information.

### **8) Lead by example**

It is incumbent upon Central Health Board members to provide leadership that is ethical and lawful to Central Health and to assure that adequate systems, policies and procedures are in place to promote and ensure ethical and legal conduct.

### **9) Fiduciary Duties**

Under Texas law and Central Health policy, Board members owe a fiduciary duty to Central Health. A Board member's fiduciary duty includes the duty to act in the best interests of Central Health, including placing the public interest and Central Health's interests above personal conflicts or conflicting loyalties. A Board member's fiduciary duty includes but is not limited to avoiding conflicts of interest. A Board member's fiduciary duty to Central Health precludes a Board member from appearing before the Central Health Board or lobbying Central Health staff as an advocate for Central Health funding to outside persons, groups, or interests.

### **10) Use of Central Health staff and resources**

#### **Official requests for staff time or resources**

Board members making use of Central Health staff time or resources should specify whether the request is made in an official capacity regarding Board policy or potential Board policy, or individual capacity. Requests from board members not expressed during a board or committee meeting should be directed to Central Health's President and CEO or designee. If the request is made in an official capacity, the Board member should first discuss the request with the Chairperson. Board members shall be respectful of Central Health staff time and duties and avoid unduly burdensome and/ or unreasonably repetitive requests. Requests for staff time or resources shall not be unreasonably withheld.

#### **Information requests for staff time or resources**

Information requests made by a Board member in a personal capacity should utilize the process established by Central Health for receiving Texas Public Information Act requests, including the submission of the request in writing. Board members who request information in their personal capacity should not seek special or expedited treatment. Before making requests for information retained by Central Health, that may be confidential or protected by law, Board members may consult with Legal Counsel.

### **11) Appointments**

A Board member appointed by Central Health to another board or organization, including affiliated entities of Central Health, should remain aware, in consultation with Central Health's President and CEO and Legal Counsel, that the Board member's duty is to represent Central Health. If a conflict of interest arises between Central Health and the entity to which the Board member is appointed, the Board member should recuse themselves from any discussion, consideration, or action that presents a conflict of interest. A member of the Board of Managers appointed to another entity shall protect from unauthorized disclosure all confidential information that may be orally presented or come into the physical possession of the Board member, concerning Central Health, the other organization, or entity.

### **12) Public Appearances**

A Board member appearing in a public forum or making a public statement should make it clear whether the Board member is speaking in a personal capacity or as a representative for Central Health. A Board member appearing in a public forum or making public statements should not purport to speak on behalf of Central Health or the Board of Managers unless authorized to do so by the Board or Board Chairperson. A Board member may communicate publicly concerning official Board policy positions or an approved Central Health initiative or activity. A Board member appearing at a public forum or making public statements or communications in any capacity should be cognizant of his or her fiduciary duty to Central Health.

### **13) Record Retention**

Board members will comply with Central Health policies on record retention. Board members will be cognizant that communications in their official capacities or concerning Central Health business made using personal email, text messaging or any electronic media may be subject to public disclosure under the Texas Public Information Act. Board members shall retain personal Central Health and Board related electronic correspondence and other communications on personal devices and systems in a manner that complies with Central Health's record retention policy and applicable law. Board members will cooperate fully with Central Health staff, the Compliance Officer and/or Legal Counsel in responding to information requests that seek official Central Health communications maintained by a Board member in private email or on other private electronic communication systems. Board members should avoid making statements in an official capacity by emails or any electronic media that, if disclosed, could reasonably cause negative public perceptions of Central Health or the Board of Managers.

### **14) Ethics**

Board members will:

- Listen carefully to fellow Board Members;

- Respect the opinion of fellow Board Members;
- Respect and support the majority decisions of the Board or Board Committee;
- Bring to the attention of the Board any issue the Board member has reason to believe will adversely affect Central Health or the Board of Managers;
- Not discuss the confidential business or proceeding of Central Health or the Board;
- Adhere to any signed agreements/understandings as Board Managers outside the boardroom or confidential and privileged setting; and
- Not interfere with the duties of the President and CEO.

### **15) Compliance**

Violations of this Code of Conduct and Ethics for Board members policy shall be reviewed by the Chairperson, who may and in consultation and with the approval of the Board officers, may take reasonable action that is intended to correct the violation and encourage compliance. Any such action may include a verbal discussion with the Board member, written documentation of the violation, making or changing committee assignments, or other action that may be deemed appropriate as determined by the Board.

## **V. RELEVANT STATUTES, REGULATIONS OR GUIDANCE**

Chapter 171 and 176, Texas Local Government Code.

## **VI. RELATED POLICIES AND PROCEDURES**

CMP-001P - Compliance Program

CMP-006P - Reporting and Non-Retaliation

CMP-008P - Compliance Investigations

CMP-009P - Duality and Conflict of Interest

CMP-009SOP – Duality of Conflict and Interest (SOP)

CMP-009-SOPa - Duality and Conflict of Interest (Attachment A)

## **VII. PROCESS**

None.



# CENTRAL HEALTH

## **Our Vision**

Central Texas is a model healthy community.

## **Our Mission**

By caring for those who need it most, Central Health improves the health of our community.

## **Our Values**

Central Health will achieve excellence through:

*Stewardship* - We maintain public trust through fiscal discipline and open and transparent communication.

*Innovation* - We create solutions to improve healthcare access.

*Right by All* - By being open, anti-racist, equity-minded, and respectful in discourse, we honor those around us and do right by all people.

*Collaboration* - We partner with others to improve the health of our community.

## **BOARD MEETING**

**December 13, 2023**

## **REGULAR AGENDA ITEM 9**

Receive and discuss a Central Health Enterprise state of the organization update. (*Informational Item*)





**AGENDA ITEM SUBMISSION FORM**

This form is to provide a general overview of the agenda item in advance of posting for the Board meeting. Proposed motion language is a recommendation only and not final until the meeting and may be changed by the Board Manager making the motion. All information in this form is subject to the Public Information Act.

Agenda Item Meeting Date December 13, 2023

Who will present the agenda item? (Name, Title) Mike Geeslin

General Item Description State of Organization

Is this an informational or action item? Informational

Fiscal Impact NA

Recommended Motion (if needed – action item) NA

Key takeaways about agenda item, and/or feedback sought from the Board of Managers:

1. Overview of current workforce for Central Health.  
Items for Board awareness, including growth in needed support operations, clinical teams, and
2. challenges to ensuring focus of time resources on priorities.

What backup will be provided, or will this be a verbal update? (Backup is due one week before the meeting.) Backup and verbal update

Estimated time needed for presentation & questions? 30-45 minutes

Is closed session recommended? (Consult with attorneys.) No

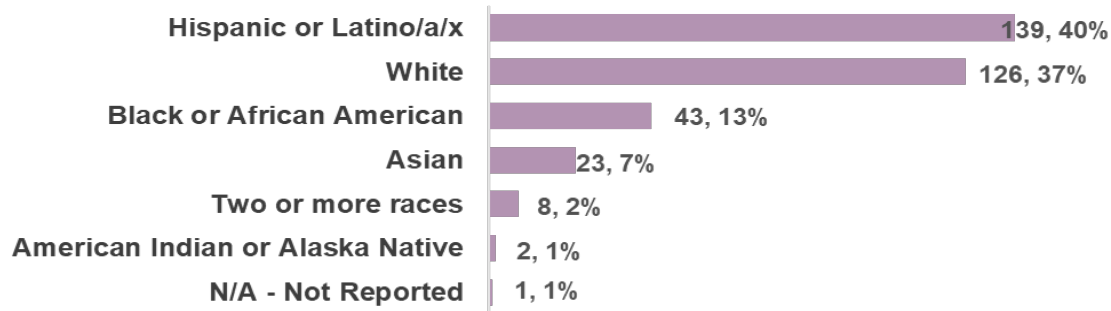
Form Prepared By/Date Submitted: December 8, 2023

# Q4 FY23 Central Health Employee Demographics

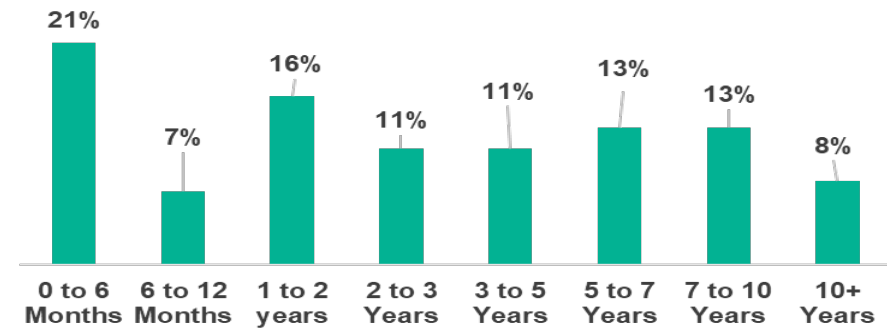
Including a Look at the Last Five  
Years of Population Change

# Central Health Employee Demographics, Q4 FY23

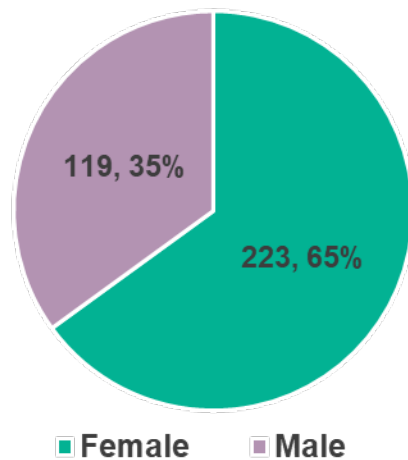
## Racial/Ethnic Distribution



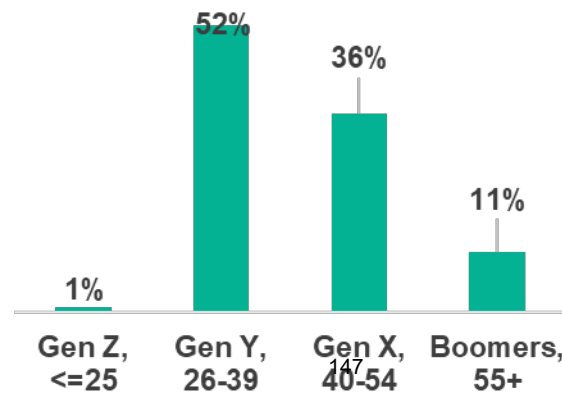
## Employee Tenure



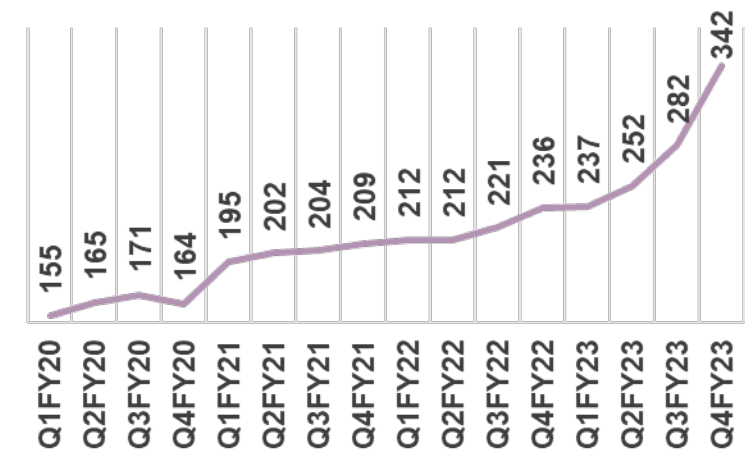
## Gender Distribution



## Generational Information

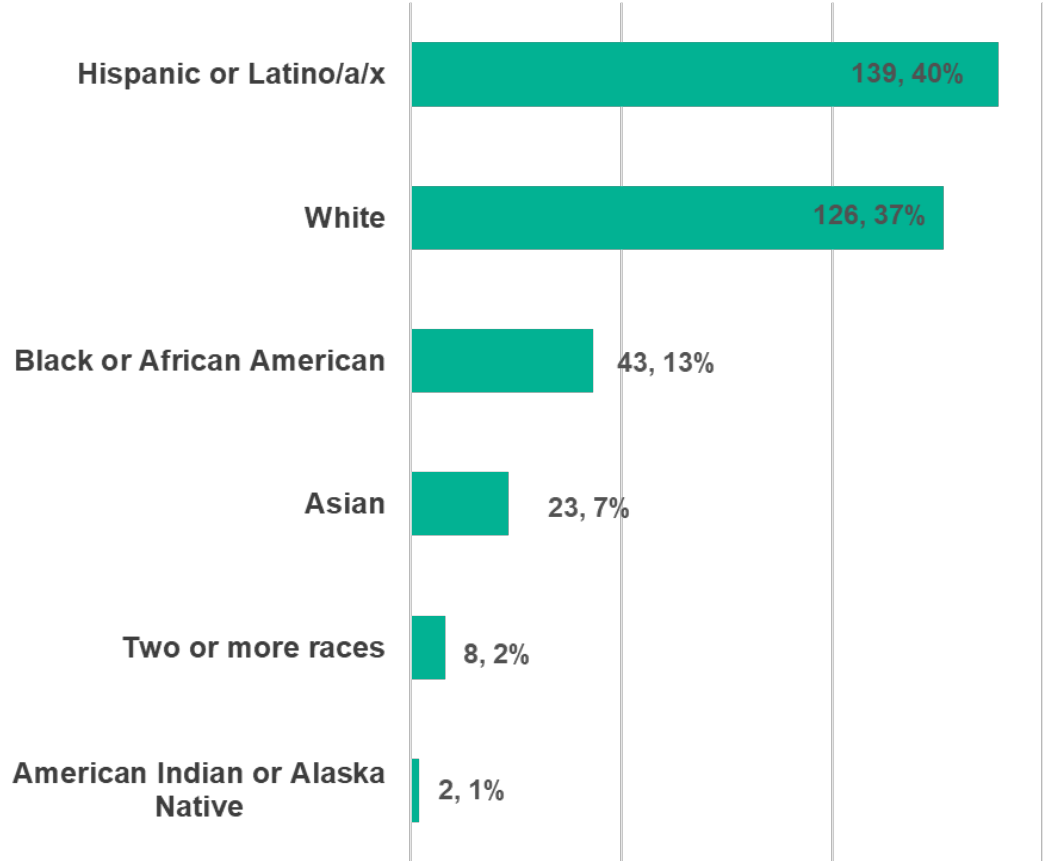


## Headcount Over Time

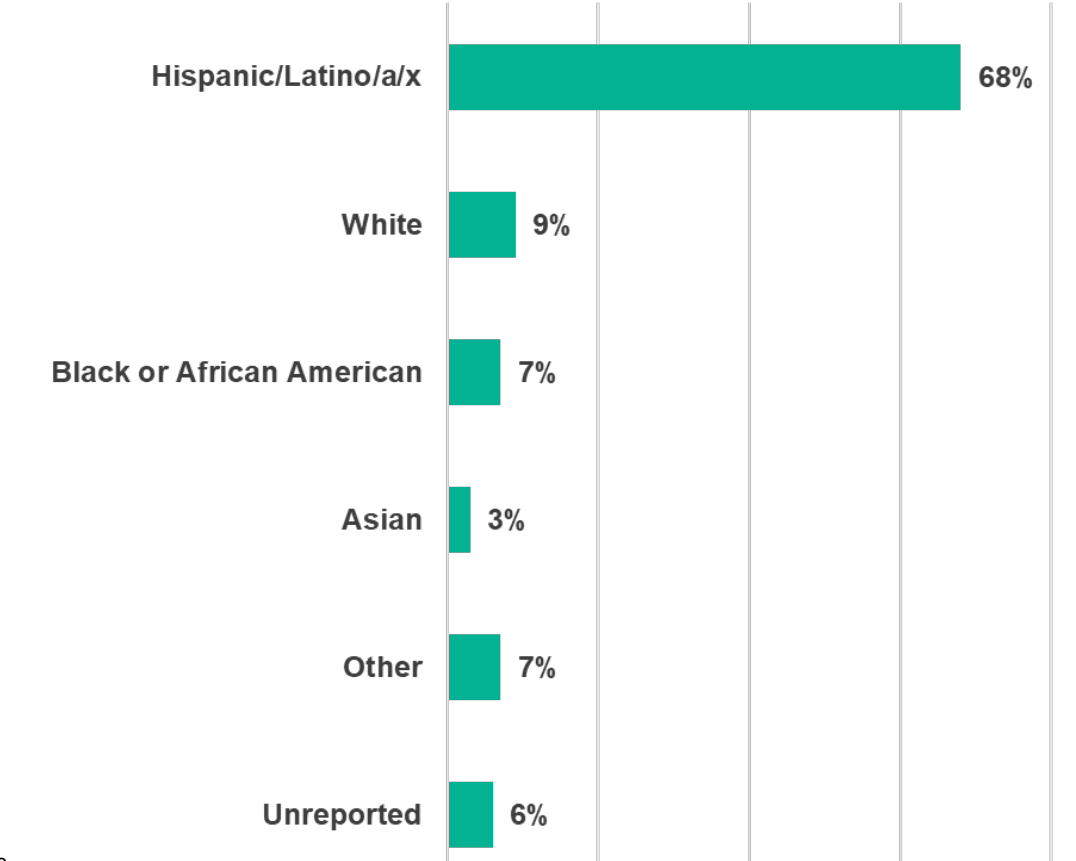


# Q4 FY23 Central Health Racial/Ethnic Demographics

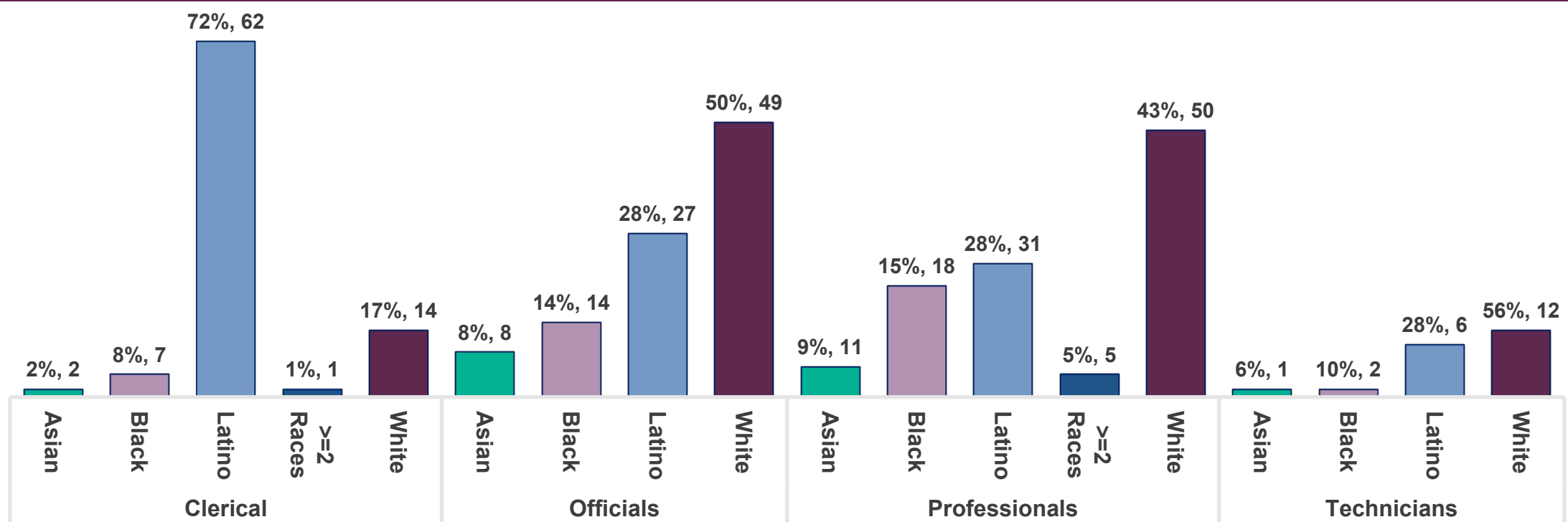
## Central Health Employee Population



## The Population We Serve



# Q4 FY23 Racial/Ethnic Distribution by EEO-4 Group (% of Total, #)



Occupations in which workers are responsible for internal and external communication, recording, and retrieval of data and/or information and other paperwork required in an office.

Occupations in which employees set broad policies, exercise overall responsibility for execution of these policies, or direct individual departments or special phases of the agency's operations, or provide specialized consultation on a regional, district, or area basis

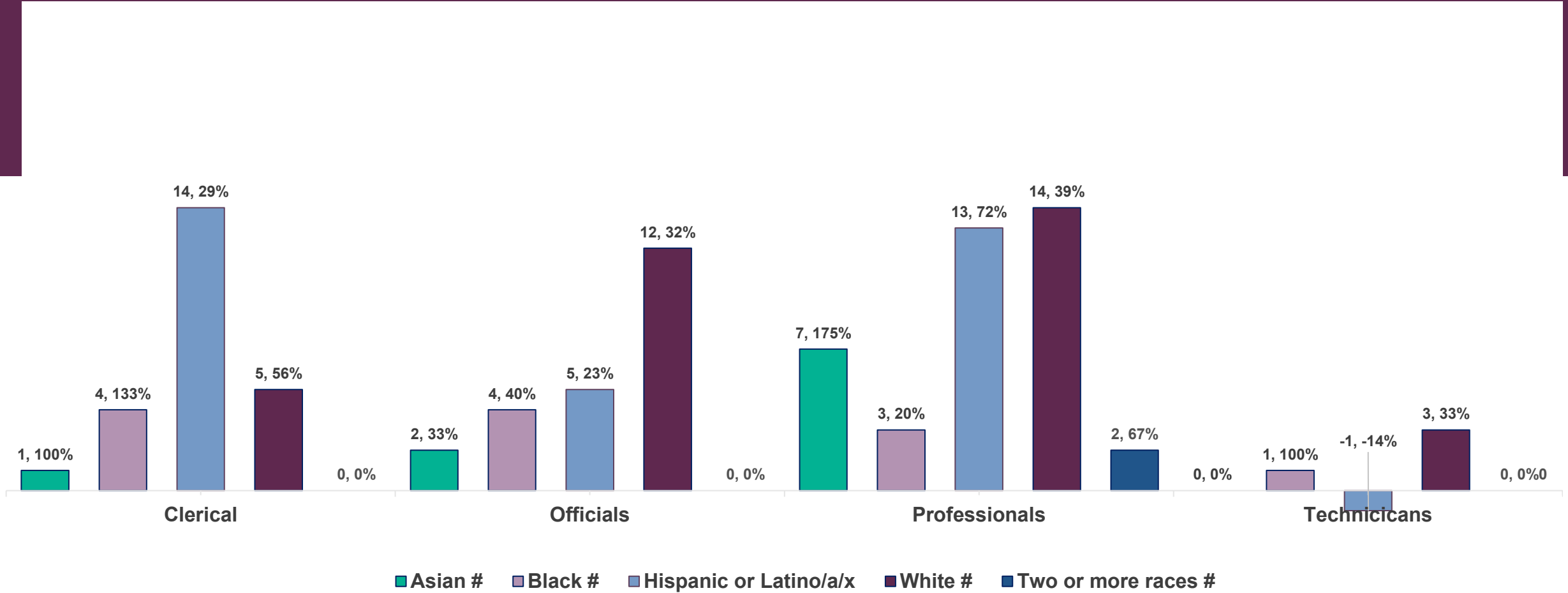
Occupations which require specialized and theoretical knowledge which is usually acquired through college training or through work experience and other training which provides comparable knowledge.

Occupations which require a combination of basic scientific or technical knowledge and manual skills which can be obtained through specialized post-secondary school education or through equivalent on the job training.

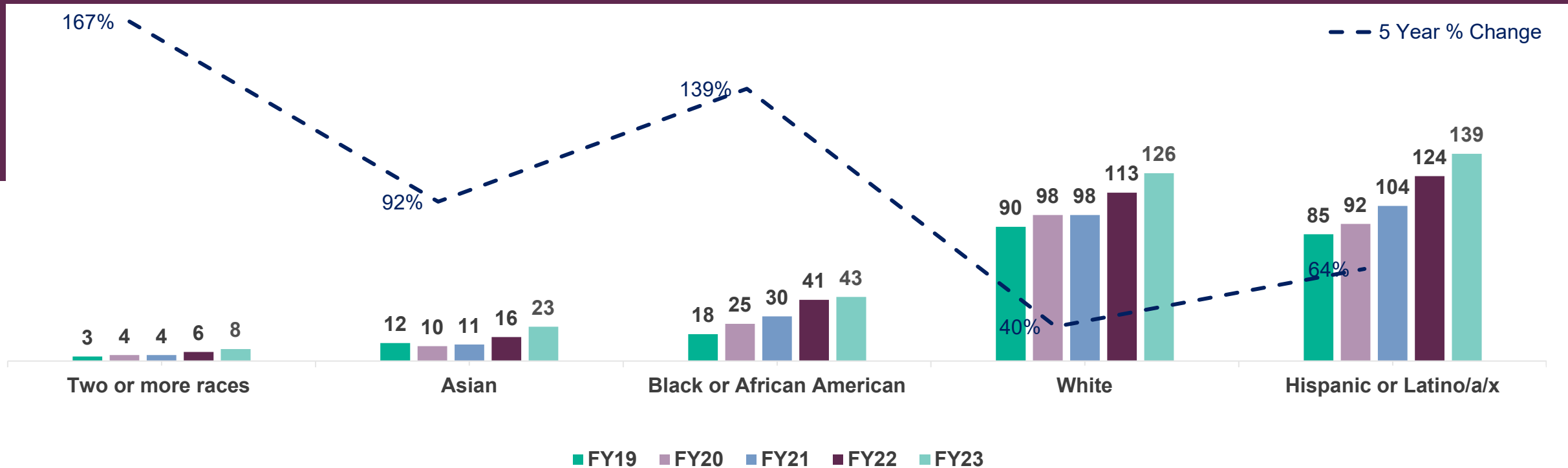


# FY22/23 Change Racial/Ethnic Distribution by EEO-4 Group

## (Increase / Decrease # of EEs / % Change)



# The Racial/Ethnic Population Change Over Five Years



- The total population from FY22 to FY23 grew by 45%. The population is defined as the active headcount as of the effective end of period, i.e., September 30, 2023.
- The employee population of Two or More Races had a 33% increase between FY22 and FY23, the Asian group saw a 44% increase, the Black or African American population increased 5%, and both the White and the Hispanic/Latino/a/x employee population grew by 12%.



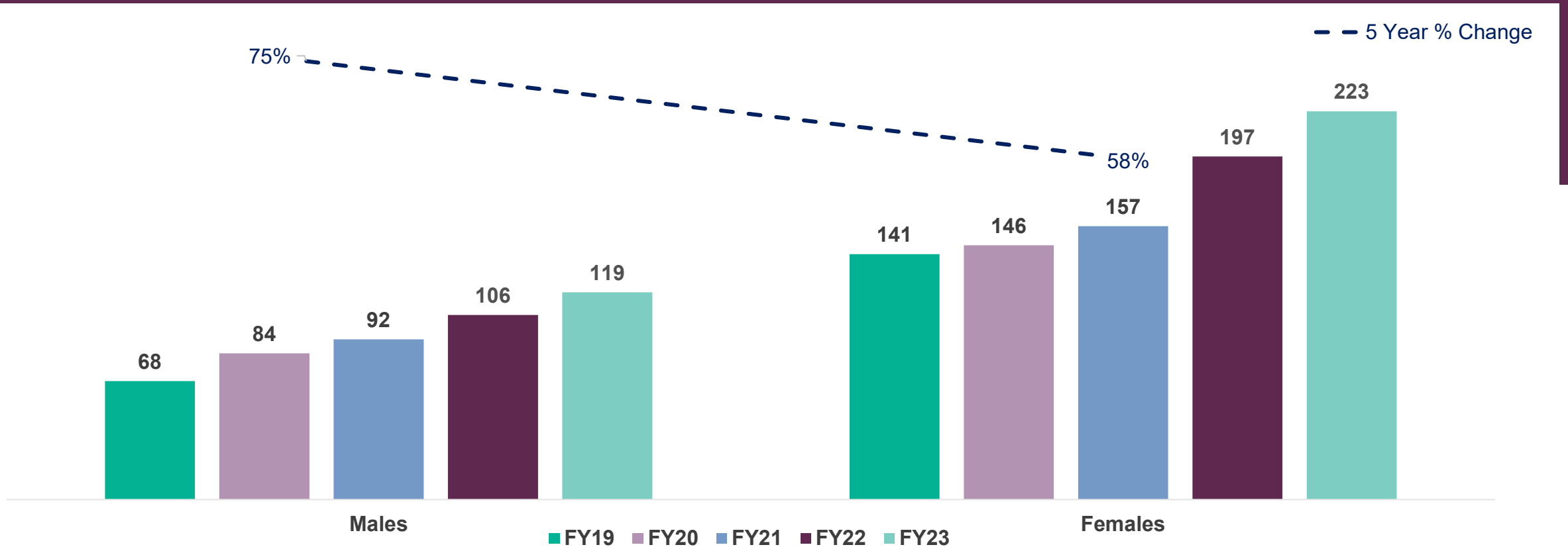
# Reporting of Our Non-Binary Population

- Central Health allowed the Self-ID of employees' gender identification in April of 2022.
- Since that time, 46 employees have self-identified their gender identification (N=2, Non-Binary).





# Gender Population Change Over Five Years



- From FY22 to FY23 the female population grew 13%, while the male population increased by 12%.
- Between FY19 and FY23, the *overall* population grew by 64%.
- The overall growth was 58% for Central Health employees that identify as female, and 75% for those that identify as male.



# CENTRAL HEALTH EXECUTIVES & THE MEDICAL EXECUTIVE BOARD



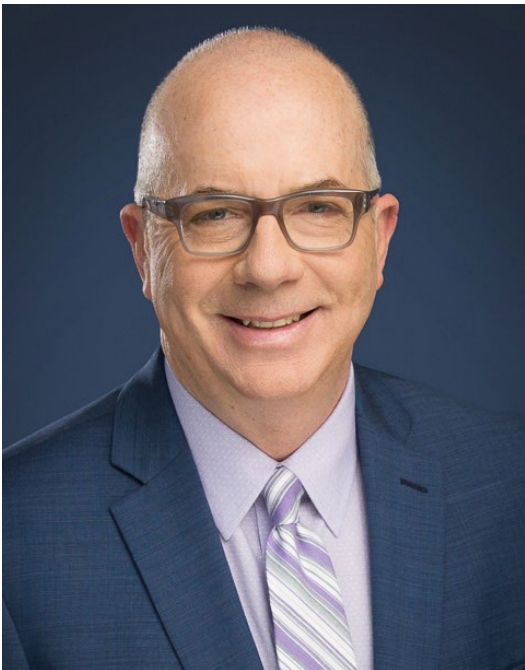
CENTRAL HEALTH



# EXECUTIVE LEADERSHIP

**TED BURTON**

**Chief Communications Officer**



**PERLA CAVAZOS**

**Deputy Administrator**



# EXECUTIVE LEADERSHIP

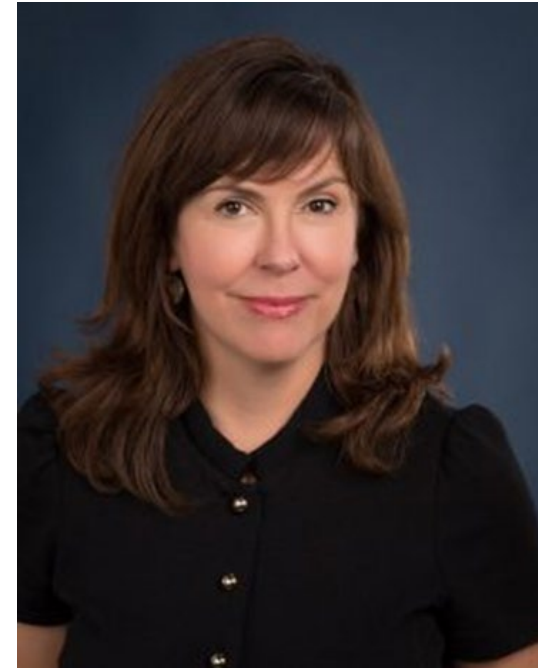
**JOHN F. CLARK**

**Chief Information Officer**



**MONICA CROWLEY**

**VP, Chief Strategy and Planning Officer & Sr. Counsel**



# EXECUTIVE LEADERSHIP

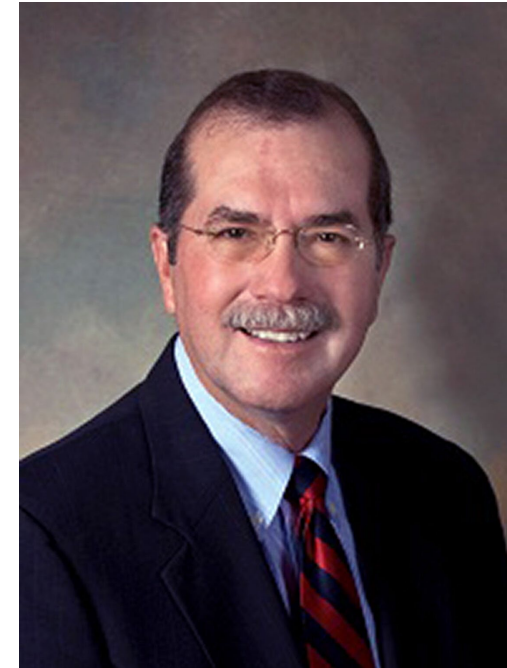
**MCKENZIE FRAZIER**

Vice President of Compliance & Compliance Officer



**JUAN GARZA**

Special Advisor for Development, Community Affairs



# EXECUTIVE LEADERSHIP

**JEFF KNODEL**

**VP & CFO**



**STEPHANIE MCDONALD**

**VP of Enterprise Alignment and Coordination**





# EXECUTIVE LEADERSHIP

**JONATHAN MORGAN**

**Chief Operating Officer**



**ALAN SCHALSCHA, MD**

**Chief Medical Officer**



# EXECUTIVE LEADERSHIP

**JEANNIE VIRDEN**

Enterprise Chief Human Resources Officer



**RAETTE SMITH-HEARNE**

Executive Advisor for Organization Designs & Transitions





# MEDICAL EXECUTIVE BOARD PHYSICIAN MEMBERS

**JAMES BROWN, MD**  
**VICE CHAIR**

*DIRECTOR OF UTILIZATION*



**JOHN JORDAN,**  
**MD, MPH, MBA, MS**  
*Director of*  
**DATA SCIENCE**



# MEDICAL EXECUTIVE BOARD PHYSICIAN MEMBERS

**AUDREY KUANG, MD**

*DIRECTOR OF  
HIGH-RISK POPULATIONS*



**JEWEL MULLEN,  
MD, MPH, MPA**

*DIRECTOR OF  
HEALTH EQUITY AND QUALITY*



# MEDICAL EXECUTIVE BOARD PHYSICIAN MEMBERS

**MICHELLE OWENS,  
DO, FAAFP, FAAHPM**

*DIRECTOR OF  
SPECIALTY CARE*



**HEMALI PATEL, MD, MPH**

*DIRECTOR OF TRANSITIONS OF CARE*



# MEDICAL EXECUTIVE BOARD PHYSICIAN MEMBERS

**ALAN SCHALSCHA, DO**  
**CHAIR**

*CHIEF MEDICAL OFFICER*



**MILINDA ZABRAMBA,**  
**MD, MBA**  
**ASSOCIATE**

*CHIEF MEDICAL OFFICER*





# CENTRAL HEALTH

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## **BOARD MEETING**

**December 13, 2023**

## **REGULAR AGENDA ITEM 10**

Receive updates on strategic objectives pursuant to the Fiscal Year 2024 Budget Resolution, as amended, including updates on work involving the delivery of health care, operations, and partnership initiatives.<sup>3</sup> (*Informational Item*)



AGENDA ITEM SUBMISSION FORM

This form is to provide a general overview of the agenda item in advance of posting for the Board meeting. Proposed motion language is a recommendation only and not final until the meeting and may be changed by the Board Manager making the motion. All information in this form is subject to the Public Information Act.

Agenda Item Meeting Date December 13, 2023

Who will present the agenda item? (Name, Title) No presentation, staff will be available for questions.

General Item Description Receive updates on strategic objectives pursuant to the Fiscal Year 2024 Budget Resolution, as amended, including updates on work involving the delivery of health care, operations, and partnership initiatives. (Informational Item)

Is this an informational or action item? Informational

Fiscal Impact N/A

Recommended Motion (if needed – action item) N/A

Key takeaways about agenda item, and/or feedback sought from the Board of Managers:

Updates on the following FY 2024 Budget Resolution strategic objectives:

- Diversion Center Pilot Update
• Collaboration with Travis County Sherriff Office on Inmate Health
• Integral Care Funding Update

- 1)
2)

What backup will be provided, or will this be a verbal update? (Backup is due one week before the meeting.) Memo

Estimated time needed for presentation & questions? No presentation

Is closed session recommended? (Consult with attorneys.) Possible closed session

Form Prepared By/Date Submitted: Briana Yanes/December 8, 2023

## Central Health

### Summary of Fiscal Year 2024 Budget Items:

#### **Diversion Center Pilot, Inmate Health Expansion, and Integral Care Funding**

**December 8, 2023**

**Diversion Center Pilot (Pilot).** The Pilot is a joint planning and service effort with Integral Care to improve upon access to needed health care, including mental and behavioral health. The Pilot is part of a larger criminal justice initiative to divert persons from incarceration (aka, deflection) when the underlying issues necessitate a non-criminal justice approach. The Pilot will involve more health care partners and/or the direct provisions of care by Central Health as it is developed.

Pertinent Budget Resolution Language: Enhance behavioral health and substance use treatment integration into comprehensive care models, including health-related support for diversion or deflection services in conjunction with other local efforts.

Work to date includes:

- Following the adoption of the FY2024 budget, Central Health, Integral Care, and Travis County staff, including those from Commissioner Howard's office, met to align and discuss our approach to this work. This meeting resulted in further alignment and consensus on the approach Central Health, Integral Care and Travis County were jointly taking for funding these pilot services and also deeper inclusion of Central Health in the longer-term Diversion Center planning efforts.
- Central Health and Integral Care staff have met multiple times to exchange detailed information about proposed service expansions, expansion sites, care models, estimated costs and pricing of care models, and estimates of population and utilization.
- Central Health will provide contractual support for services provided at the Psychiatric Emergency Services (PES) facility through a bundled, episode-based payment model and will support the expansion of Integral Care's PES facility to provide 24/7 access and expanded psychiatric prescriber services. This model and contract amendment is in development and is anticipated to be completed during January of 2024.
- Discussions regarding future provision of services by Central Health teams at other diversion or deflection locations that are part of the Diversion Pilot will continue as this pilot is operationalized.

**Inmate Health Expansion (Inmate Health).** The Inmate Health work includes more effective enrollment of individuals, as they transition from jail, into the Medical Access Program (MAP), assessing the current Inmate Health programs, and assisting the County with a more optimized care model including the development of a new local indigent coverage program that allows

Central Health to provide access to services for inmates through Central Health's direct practice or contracted specialty care. This update focuses on discussions around the development of a new program and staging collaborations for the joint objectives.

Pertinent Budget Resolution Language: Staff coordination with Travis County, including the Sherriff's Department, to allocate resources to the eligibility and enrollment of low-income county jail inmates in applicable care programs and pursue a shared-cost assessment regarding county jail inmate health needs and care models, including historical and ideal states and associated costs. (Note: Other stipulations and objectives were added in amendments at the end stages of the budget process, such as a contingency that the Sherriff's Department would have a staffing plan that enables effective coordination with Central Health before operations could be optimized. See excerpt at end of document.)

Work to date includes:

- Central Health, Sherriff Hernandez and her staff, and Travis County staff met to discuss the development of a new indigent coverage program that could expand Central Health coverage for directly provided and contracted specialty care services to eligible inmates of the Travis County jail.
- During the meeting between CH and Travis County, staff discussed potential, low-barrier, enrollment opportunities into the new program and opportunities to align the enrollment process with those related to Travis County and jail booking processes.
- We also discussed possible opportunities to facilitate expanded enrollment into MAP and to transition inmates into primary care upon release from the jail.
- The Sherriff's office is gathering additional data about screening and enrollment opportunities for the new potential coverage program and will provide additional utilization and cost data regarding historical specialty services.
- Scheduling a follow up discussion is underway.

**Integral Care Funding (IC Funding).** The IC Funding is a mitigation of IC's budget deficit and resulting service risk by two means: 1) An initial \$7 million increase in potential reimbursement for Integral Care through Central Health's services agreement, to ensure services or programs for low-income, eligible Travis County residents continue; and 2) a stop-gap provision to prevent the disruption of services for eligible, low-income Travis County residents if the \$7 million reimbursement proves inadequate. An additional Budget Resolution amendment, added at end stages of the Budget process, involves development of an interlocal or other services agreement for funding. This update focuses on the \$7 reimbursement and stop-gap provision.

Pertinent Budget Resolution Language: CH staff will regularly coordinate with IC to develop approaches to avoid disruptions or delays in the mental and behavioral health services for the low-income population in Travis County. CH staff will take steps to avoid, as expeditiously as



possible, such service disruptions to eligible, low-income persons and may utilize additional contingency reserves to do so, provided that CH staff see Board approval prior to the expenditure of funds under this section. CH staff will report to the BOM Budget Committee on a regular basis any allocation of reserves for this purpose. This section is intended to take effect if the additional funding specified in Paragraph B (eg. the additional \$7 reimbursement) is insufficient to avoid disruptions or delays in services.

Work to date includes:

- On November 9th, Integral Care and Central Health signed an amendment to its services agreement that includes additional outpatient treatment services provided by Integral Care to eligible patients that may be reimbursed, up to an additional \$7.0 million, for a total contact amount of \$15.1 million annually.
- Central Health and Integral Care are continuing to discuss the “stop-gap” provision and additional collaboration on comprehensive service delivery and care model planning.
- Prior to December 22, 2023, the Central Health President & CEO will transmit to Integral Care an outline of basic governing terms for the stop-gap funding provision in the Budget Resolution.

Excerpt from Budget Resolution Amendment, Inmate (Jail) Health

1. JAIL SERVICES.

A. The Board of Managers is committed to partnering with the County to develop more effective and sustainable care models to address the needs of low-income eligible incarcerated individuals. The BOM is committed to co-funding a joint study with Travis County, and other appropriate partners to assess physical, mental, behavioral, and Substance Use Disorder (SUD) care models for serving this population.

B. Historic and current analysis of most common conditions, causes of conditions, including if they are pre-existing, treatment efficacy, and final outcomes. Cost analysis including funding sources and billing providers, this specifically would include a review, in the interest of both fiscal analysis and accuracy, including but not limited to health care services billed by providers to the Medicaid funding source when applicable for eligible patients and service billed by providers at "list price" or non-insured market rates.

C. Substantial progress within 90-days to enroll individuals who are low-income, uninsured, and residing in Travis County in a health program and connecting to Central Health's contracted or provided services, whether incarcerated or at-risk of incarceration while in law enforcement custody at County jail facilities; commencement of 90-day period conditional on commitment and summary staffing plan from County to support planning and implementation and essential contracts with the Sheriff's Department. The Board amends the Budget to add \$2 million to fund supplemental healthcare pilot for FY24 for eligible Travis County residents for the purposes stated above.



# CENTRAL HEALTH

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## **BOARD MEETING**

**December 13, 2023**

## **REGULAR AGENDA ITEM 11**

Receive and discuss an update on the Co-Applicant Agreement involving Central Health and CommUnityCare Health Centers.<sup>3</sup> (*Informational Item*)



**AGENDA ITEM SUBMISSION FORM**

This form is to provide a general overview of the agenda item in advance of posting for the Board meeting. Proposed motion language is a recommendation only and not final until the meeting and may be changed by the Board Manager making the motion. All information in this form is subject to the Public Information Act.

Agenda Item Meeting Date December 13, 2023

Who will present the agenda item? (Name, Title) Mike Geeslin, Monica Crowley

General Item Description Update and discussion on the Co-Applicant Agreement between Central Health and CommUnityCare Health Centers.

Is this an informational or action item? Informational

Fiscal Impact \_\_\_\_\_

Recommended Motion (if needed – action item) N/A

Key takeaways about agenda item, and/or feedback sought from the Board of Managers:

Central Health is the FQHC Grantee from the Health Resources Services Administration (HRSA) and CommUnityCare is our Co-Applicant. As a Grantee-Co-Applicant arrangement, a Co-Applicant Agreement (Agreement) is entered into pursuant to HRSA compliance manuals and serves as the foundation for the arrangement.  
1) \_\_\_\_\_

Central Health and CommUnityCare’s CEOs have been in discussion about our Agreement, including amendments to ensure alignment between the two organizations. Legal consultation to opine on the *actual required amendments* by HRSA is pending.  
2) \_\_\_\_\_

Fidelity to the concepts laid out in the Co-Applicant agreement will support provision of the highest quality, most accessible and most comprehensive care for residents of Travis County and the patients we will collectively serve by enhancing team member equity across organizations as Central Health becomes a more mature hospital district. This same fidelity and clarity will ensure the Central Health Board makes funding and investment decisions in a manner that fulfills the mission of Central Health.  
3) \_\_\_\_\_

The Board should be aware of the crucial importance of the Agreement, activities to date, future work, and forthcoming Board approvals of any amendments.  
4) \_\_\_\_\_

What backup will be provided, or will this be a verbal update? (Backup is due one week before the meeting.) Verbal update



Estimated time needed for presentation & questions?

30 mins

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Is closed session recommended? (Consult with attorneys.)

Yes

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Form Prepared By/Date Submitted:

Monica Crowley, December 6, 2023

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## **BOARD MEETING**

**December 13, 2023**

## **REGULAR AGENDA ITEM 12**

Discuss updates on matters related to a Performance Review contracted by Travis County, including delegations to the President & CEO to execute necessary agreements between Central Health and Travis County, and Mazars USA.<sup>3</sup> (*Informational Item*)



AGENDA ITEM SUBMISSION FORM

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Agenda Item Meeting Date December 13, 2023

Who will present the agenda item? (Name, Title) Mike Geeslin, President & CEO

Discuss updates on matters related to a Performance Review contracted by Travis County, including delegations to the President & CEO to execute necessary agreements between Central Health and Travis County, and Mazars USA.

General Item Description

Is this an informational or action item? Informational

Fiscal Impact N/A

Recommended Motion (if needed – action item) N/A

Key takeaways about agenda item, and/or feedback sought from the Board of Managers:

- Please note that this agenda item will be taken up at the discretion of the chair based on status 1) of the situation at the time of the meeting.

What backup will be provided, or will this be a verbal update? (Backup is due one week before the meeting.) Verbal update

Estimated time needed for presentation & questions? TBD

Is closed session recommended? (Consult with attorneys.) Yes

Form Prepared By/Date Submitted: Briana Yanes/ November 9, 2023



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## **BOARD MEETING**

**December 13, 2023**

## **REGULAR AGENDA ITEM 13**

Receive and discuss a briefing regarding *Travis County Healthcare District d/b/a Central Health v. Ascension Texas f/k/a Seton Healthcare Family*, Cause No. D-1-GN-23-000398.<sup>3</sup> (*Informational Item*)





AGENDA ITEM SUBMISSION FORM

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Agenda Item Meeting Date December 13, 2023

Who will present the agenda item? (Name, Title) Monica Crowley

General Item Description Receive and discuss a briefing regarding Travis County Healthcare District d/b/a Central Health v. Ascension Texas f/k/a Seton Healthcare Family, Cause No. D-1-GN-23-000398.<sup>3</sup> (Informational Item)

Is this an informational or action item? Informational

Fiscal Impact N/A

Recommended Motion (if needed – action item) N/A

Key takeaways about agenda item, and/or feedback sought from the Board of Managers:

- 1) Briefing with legal counsel as needed. Please note that this agenda item will be taken up at the discretion of the chair based on status of the situation at the time of the meeting.
- 2) \_\_\_\_\_

What backup will be provided, or will this be a verbal update? (Backup is due one week before the meeting.) Verbal update

Estimated time needed for presentation & questions? TBD

Is closed session recommended? (Consult with attorneys.) Yes

Form Prepared By/Date Submitted: Briana Yanes/ November 9, 2023



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## **BOARD MEETING**

**December 13, 2023**

## **REGULAR AGENDA ITEM 14**

Receive and discuss a briefing regarding *Birch, et al. v. Travis County Healthcare District d/b/a Central Health and Mike Geeslin*, Cause No. D-1-GN-17-005824 in the 345<sup>th</sup> District Court of Travis County.<sup>3</sup> (*Informational Item*)



AGENDA ITEM SUBMISSION FORM

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Agenda Item Meeting Date Decmeber 13, 2023

Who will present the agenda item? (Name, Title) Monica Crowley

General Item Description Receive and discuss a briefing regarding Travis County Healthcare District d/b/a Central Health v. Ascension Texas f/k/a Seton Healthcare Family, Cause No. D-1-GN-23-000398.<sup>3</sup> (Informational Item)

Is this an informational or action item? Informational

Fiscal Impact N/A

Recommended Motion (if needed – action item) N/A

Key takeaways about agenda item, and/or feedback sought from the Board of Managers:

- 1) Briefing with legal counsel as needed. Please note that this agenda item will be taken up at the discretion of the chair based on status of the situation at the time of the meeting.
- 2) \_\_\_\_\_

What backup will be provided, or will this be a verbal update? (Backup is due one week before the meeting.) Verbal update

Estimated time needed for presentation & questions? TBD

Is closed session recommended? (Consult with attorneys.) Yes

Form Prepared By/Date Submitted: Briana Yanes/ November 9, 2023



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## **BOARD MEETING**

**December 13, 2023**

## **REGULAR AGENDA ITEM 15**

Discuss and take appropriate action on a Community Care Collaborative (CCC) Board appointment.<sup>3,4</sup> (*Action Item*)



**AGENDA ITEM SUBMISSION FORM**

This form is to provide a general overview of the agenda item in advance of posting for the Board meeting. Proposed motion language is a recommendation only and not final until the meeting and may be changed by the Board Manager making the motion. All information in this form is subject to the Public Information Act.

Agenda Item Meeting Date December 13, 2023

Who will present the agenda item? (Name, Title) Jonathan Morgan, Chief Operating Officer

General Item Description Discuss and take appropriate action on a Community Care Collaborative (CCC) Board appointment.

Is this an informational or action item? Action Item

Fiscal Impact N/A

Recommended Motion (if needed – action item) Approve a CCC Board appointment.

Key takeaways about agenda item, and/or feedback sought from the Board of Managers:

- 1) With Mike Geeslin departing, December 31, 2023, there will be a vacancy on the CCC Board needing to be filled.
- 2) \_\_\_\_\_

What backup will be provided, or will this be a verbal update? (Backup is due one week before the meeting.) Verbal update

Estimated time needed for presentation & questions? 10 minutes

Is closed session recommended? (Consult with attorneys.) Closed

Form Prepared By/Date Submitted: Briana Yanes/December 8, 2023



# CENTRAL HEALTH

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## **BOARD MEETING**

**December 13, 2023**

## **REGULAR AGENDA ITEM 16**

Present an update on the President & CEO position and take appropriate action as necessary on a potential offer of employment for the position.<sup>3,4</sup> (*Action Item*)



AGENDA ITEM SUBMISSION FORM

This form is to provide a general overview of the agenda item in advance of posting for the Board meeting. Proposed motion language is a recommendation only and not final until the meeting and may be changed by the Board Manager making the motion. All information in this form is subject to the Public Information Act.

Agenda Item Meeting Date 12/13/23 – Board of Managers Meeting

Who will present the agenda item? (Name, Title) Chairperson Bell & Jeannie Virden, Enterprise CHRO

General Item Description Present an update on the President & CEO position & take appropriate action as necessary on a potential offer of employment for the President & CEO position.

Is this an informational or action item? Action

Fiscal Impact None

Recommended Motion (if needed – action item) Move that the board direct staff to extend an offer of employment to the selected candidate for the Central Health President & CEO position within the parameters discussed in closed session.

Key takeaways about agenda item, and/or feedback sought from the Board of Managers:

- 1) Update the board on the status of the President & CEO position. As necessary, take appropriate action on a potential offer of employment for the President & CEO position.
2)
3)

What backup will be provided, or will this be a verbal update? (Backup is due one week before the meeting.) No public backup

Estimated time needed for presentation & questions? 20 minutes for discussion.

Is closed session recommended? (Consult with attorneys.) Closed Session

Form Prepared By/Date Submitted: Jeannie Virden – December 4, 2023



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## **BOARD MEETING**

**December 13, 2023**

## **REGULAR AGENDA ITEM 17**

Confirm the next regular Board meeting date, time, and location. (*Informational Item*)