



September 2017 Preliminary FYTD Financial Statements (unaudited)
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Balance Sheet

Current Assets

Cash and Cash Equivalents – \$40.6 million

Other Receivables – \$83k, which includes:

- \$82k for Seton partial service obligation payment

Prepaid and Other – \$222k, which includes:

- \$37k related to a lease security deposit
- \$185k related to software amortization

Total Assets – \$40.9 million

Liabilities

Accounts Payable and Accrued Liabilities – \$18.8 million, which includes:

- \$3.2 million accounts payable to providers and vendors
- \$14.7 million estimated IBNR including:
 - \$1.1 million Integral Care
 - \$8.7 million CUC
 - \$260k El Buen
 - \$1.1 million Lone Star COC
 - \$361k People's
 - \$190k Front Steps
 - \$42k Paul Bass Specialty Care
 - \$2.1 million Seton Specialty Care
 - \$876k Other MAP Providers
- \$145k non-provider accruals; including \$62k United Way
- \$779k due to Central Health

Deferred Revenue – \$2.8 million deferred revenue related to DSRIP projects

Other Liabilities – \$216k; includes leasehold improvement allowance liability of \$196k



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Payroll Liabilities – \$237k; includes PTO liability of \$212k

Total Liabilities – \$22.1 million

Net Assets

Emergency Reserve – \$5.0 million

Unrestricted Net Assets – \$13.8 million

Total Net Assets – \$18.8 million

Total Liabilities and Net Assets – \$40.9 million



Sources and Uses Report

September financials → twelve months, 100% of the fiscal year

Sources of Funds, Year-to-Date

DSRIP Revenue – \$62.7 million recognized to date, primarily for DY5 performance

Member Payment - Seton – \$26.0 million recognized to date, 63%

Member Payment - Central Health – \$24.5 million recognized to date, 94%

Operations Contingency Carryforward – Consisting of audited net assets from FY2016, less \$5.0 million emergency reserve, is \$26.3 million

Other Sources – \$274k, which includes:

- \$61k interest revenue
- \$50k awarded by Cap Metro’s Transit Empowerment Fund; to be used to transport MAP patients
- \$163k healthcare services revenue

Uses of Funds, Year-to-Date

Operating Expenses

Healthcare Delivery				
Category	YTD Total Operating Cost	FY Approved Budget	% of Budget	Variance
Healthcare Delivery (Providers, Personnel, Other)	66,662,654	77,816,057	82.3%	Primary Care \$44.3M; Specialty Care \$4.8M; Dental \$436k; Behavioral Health \$7.9M; Post-Acute Care \$1.6M; Urgent Care \$182k; Pharmacy \$4.0M; Client Referral Services \$776k; Personnel Costs \$712k; Consulting \$248k; Other Purchased Goods \$270k; TPA Expense \$626k.
HIT	2,467,296	4,458,147	55.3%	Personnel Costs \$1.2M; Consulting \$64k; Other Purchased Goods \$1.2M.
Patient Medical Management	1,605,769	1,782,840	90.1%	



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Quality, Assessment and Performance	668,763	956,974	69.9%	
Administration	1,292,949	1,331,983	97.1%	Personnel Costs \$1.1M; Legal \$36k; Consulting \$8k; Other Purchased Goods \$199k.
MAP Redesign	565,450	5,354,621	10.6%	
MAP Benefits Enhancement Reserve	-	858,938	0.0%	
Service Expansion Funds	-	1,000,000	0.0%	
Operations Contingency	-	1,813,496	0.0%	
Total Healthcare Delivery	73,262,881	95,373,056	74.1%	
UT Affiliation	35,000,000	35,000,000	100.0%	
DSRIP	17,721,016	23,488,234	75.4%	
Total Uses	125,983,897	153,861,290	80.2%	

Change in Net Assets – Year-to-date change in net assets is a decrease of \$12.5 million.

Community Care Collaborative

Financial Statement Presentation (Preliminary)

FY 2017 – as of September 30, 2017

**Central Health Board of Managers
Budget and Finance Committee**

October 25, 2017

**Lisa Owens, Acting CFO
Jon Morgan, Interim Executive Director**



a partnership of Central Health and Seton Healthcare Family

General



- Financial Statements
 - Balance Sheet
 - Sources and Uses Report, Budget vs. Actual
 - Detail of Healthcare Delivery Costs
- Twelve Months of Operations
 - October 1, 2016 – September 30, 2017
- Financial results are preliminary. More information and finalized financials will be presented as the audit is completed.

Balance Sheet

As of September 30, 2017



Preliminary

	<u>FY 2017</u>	<u>FY 2016</u>
Assets:		
Cash and Cash Equivalents (1)	\$ 40,624,361	\$ 47,509,294
Other Receivables	\$ 83,428	\$ 280,200
Prepaid and Other	222,412	269,878
Total Assets	<u>\$ 40,930,201</u>	<u>\$ 48,059,372</u>
Liabilities and Net Assets:		
AP and Accrued Liabilities	\$ 18,833,751	\$ 13,437,713
Deferred Revenue	2,801,052	2,801,052
Other Liabilities	216,323	260,253
Accrued Payroll	237,477	243,354
Total Liabilities	<u>22,088,602</u>	<u>16,742,372</u>
Net Assets (1)	<u>18,841,598</u>	<u>31,317,000</u>
Total Liabilities and Net Assets	<u>\$ 40,930,201</u>	<u>\$ 48,059,372</u>

(1) Includes \$5M Emergency Reserve Balance.

Sources and Uses Report, Budget vs Actual

Fiscal Year-to-Date through September 30, 2017



Preliminary

		Approved Budget	YTD Actual
Sources of Funds	DSRIP Revenue	\$ 62,432,400	\$ 62,692,721
	Member Payment - Seton (1)	41,500,000	26,000,000
	Member Payment - Central Health (1)	26,245,166	24,541,639
	Operations Contingency Carryforward	23,643,324	26,316,998
	Other Sources	40,400	274,138
	Total Sources of Funds	\$ 153,861,290	\$ 139,825,496
Uses - Programs	Healthcare Delivery	95,373,056	73,262,881
	UT Services Agreement	35,000,000	35,000,000
	DSRIP Project Costs	23,488,234	17,721,016
	Total Uses	\$ 153,861,290	\$ 125,983,897
Sources Over (Under) Uses		\$ -	\$ 13,841,598

(1) Final contributions will be subject to provisions of the MSA, which requires the parties to collaborate to adequately fund the CCC, but leaves the amount of funding up to each parties' discretion. Each member contribution could be more or less than the budget, depending upon a variety of factors.

Healthcare Delivery Costs - Summary

Fiscal Year-to-Date through September 30, 2017



Preliminary

	Approved Budget	Re-Allocated Budget	YTD Actual	% of Re-Allocated Budget
Primary Care (1)	\$ 48,792,582	\$ 49,494,582	\$ 44,594,799	90%
Specialty Care (2)	8,526,951	10,526,951	4,972,416	47%
Dental Specialty Care (3)	629,711	629,711	436,420	69%
Behavioral Health/Substance Use Disorder (3)	8,833,856	8,833,856	8,238,760	93%
Post-Acute Care (3)	1,150,000	1,900,000	1,595,135	84%
Convenient/Urgent Care	600,000	600,000	182,401	30%
Pharmacy	5,350,000	5,350,000	4,010,612	75%
Client Referral Services	856,309	856,309	776,204	91%
HCD Operating Cost	1,991,647	1,991,647	1,229,978	62%
Health Information Technology	4,458,147	4,458,147	2,467,296	55%
Patient Medical Management	1,782,840	1,782,840	1,605,769	90%
Quality, Assessment and Performance	956,974	956,974	668,763	70%
Claims Payment Services/TPA	1,085,000	1,085,000	625,929	58%
Administration	1,331,983	1,331,983	1,292,949	97%
MAP Redesign (4)	5,354,622	4,852,622	565,450	12%
MAP Benefits Enhancement Reserve	858,938	658,938	-	0%
Service Expansion Funds	1,000,000	-	-	n/a
Operations Contingency	1,813,496	63,496	-	0%
Total Healthcare Delivery	\$ 95,373,056	\$ 95,373,056	\$ 73,262,881	77%

(1) Detail provided on Slide 6.

(3) Detail provided on Slide 8.

(2) Detail provided on Slide 7.

(4) Detail provided on Slide 9.

HCD Providers Expenditures – Primary Care Detail

Fiscal Year-to-Date through September 30, 2017



Preliminary

	Approved Budget	Re-Allocated Budget	YTD Actual	% of Re-Allocated Budget
Primary Care				
CommUnityCare	\$ 39,450,000	\$ 39,450,000	\$ 35,973,100	91%
El Buen Samaritano	2,350,000	2,350,000	2,247,485	96%
Lone Star Circle of Care	4,364,995	4,364,995	3,411,225	78%
Peoples Community Clinic	1,798,000	2,500,000	2,118,745	85%
Volunteer Clinic	100,000	100,000	109,179	109%
City of Austin EMS	696,822	696,822	696,822	100%
Other Providers	32,765	32,765	38,243	117%
	\$ 48,792,582	\$ 49,494,582	\$ 44,594,799	90%

HCD Providers Expenditures – Specialty Care Detail

Fiscal Year-to-Date through September 30, 2017



Preliminary

	Approved Budget	Re-Allocated Budget	YTD Actual	% of Re-Allocated Budget
Specialty Care				
Seton Healthcare Family Specialty	\$ 500,000	\$ 2,500,000	\$ 1,914,182	77%
Austin Cancer Centers	359,000	359,000	342,967	96%
Project Access	330,000	330,000	330,000	100%
Ophthalmology	550,951	550,951	492,001	89%
Ophthalmology - Surgical	400,000	400,000	195,023	49%
Orthotics and Prosthetics	100,000	100,000	155,586	156%
Orthopedics	2,000,000	2,000,000	612,314	31%
Urology	450,000	450,000	112,039	25%
Gastroenterology	650,000	650,000	289,740	45%
Neurology	150,000	150,000	-	0%
Cardiology	100,000	100,000	3,000	3%
Endocrinology	100,000	100,000	2,819	3%
Dermatology	100,000	100,000	-	0%
Telemedicine	200,000	200,000	-	0%
Gynecology Integrated Practice Unit	500,000	500,000	56,126	11%
Ear, Nose and Throat	400,000	400,000	6,112	2%
Audiology	50,000	50,000	-	0%
Allergy	50,000	50,000	-	0%
Specialty Referral Process Improvement	25,000	25,000	56,677	227%
CommUnity Care Specialty Transition	1,500,000	1,500,000	400,000	27%
Other Providers	12,000	12,000	3,830	32%
	\$ 8,526,951	\$ 10,526,951	\$ 4,972,416	47%

HCD Providers Expenditures – Other Detail

Fiscal Year-to-Date through September 30, 2017



Preliminary

	Approved Budget	Re-Allocated Budget	YTD Actual	% of Re-Allocated Budget
Dental Specialty Care				
Dental Devices	\$ 200,000	\$ 200,000	\$ 163,232	82%
Oral Surgery	429,711	429,711	273,188	64%
	\$ 629,711	\$ 629,711	\$ 436,420	69%
Behavioral Health/Substance Use Disorder				
Integral Care	\$ 8,000,000	\$ 8,000,000	\$ 7,854,834	98%
SIMS Foundation	383,856	383,856	370,980	97%
Medication Assisted Therapy Pilot	450,000	450,000	12,946	3%
	\$ 8,833,856	\$ 8,833,856	\$ 8,238,760	93%
Post-Acute Care				
Front Steps/Recuperative Care Beds	\$ 600,000	\$ 1,000,000	\$ 958,500	96%
Skilled Nursing Facilities	550,000	900,000	636,635	71%
	\$ 1,150,000	\$ 1,900,000	\$ 1,595,135	84%

HCD Providers Expenditures – MAP Redesign Detail

Fiscal Year-to-Date through September 30, 2017



Preliminary

	Approved Budget	Re-Allocated Budget	YTD Actual	% of Re-Allocated Budget
MAP Redesign				
Health Risk Assessment	\$ 262,500	\$ 262,500	\$ -	0%
Preventative Services	51,819	51,819	-	0%
Pain Management	275,708	275,708	-	0%
Group Health Education	56,250	56,250	960	2%
Palliative/Hospice Care	322,592	522,592	471,626	90%
Integrated Behavioral Health	150,000	150,000	20,000	13%
Complex Care Management	800,000	800,000	31,059	4%
Expansion of Eligibility from 21% to 50% of FPL	951,856	757,371	11,582	2%
Expansion of High-Risk Patients to 100% of FPL	2,483,896	1,976,381	30,223	2%
	\$ 5,354,622	\$ 4,852,622	\$ 565,450	12%

Thank You

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